

# Independent Component Analysis of Electrophysiological Data



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**22<sup>st</sup> EEGLAB Workshop**

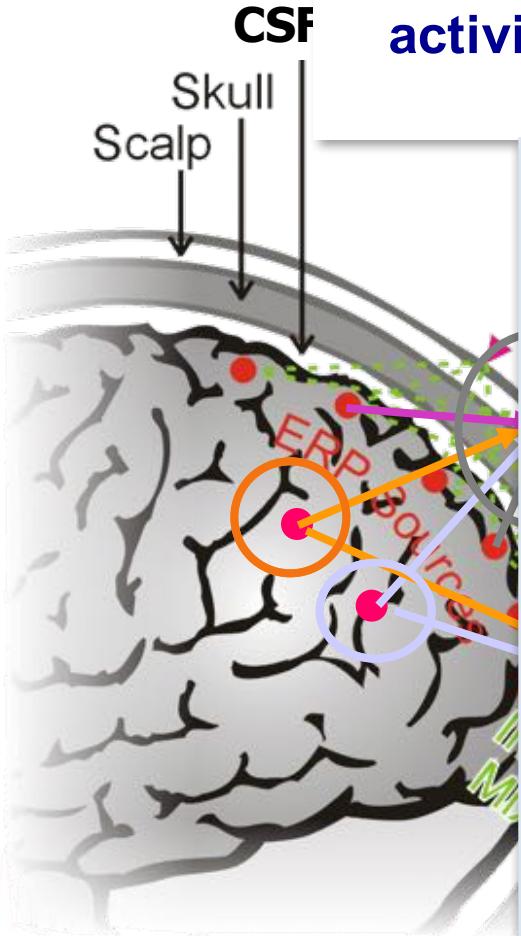
UCSD, La Jolla, California

November, 2016

# Blind EEG Source Separation by Independent Component Analysis



Tony Bell,  
developer  
of Infomax  
ICA



ICA can find distinct EEG source activities -- and their 'simple' scalp maps!

Independent Component Analysis of Electroencephalographic Data

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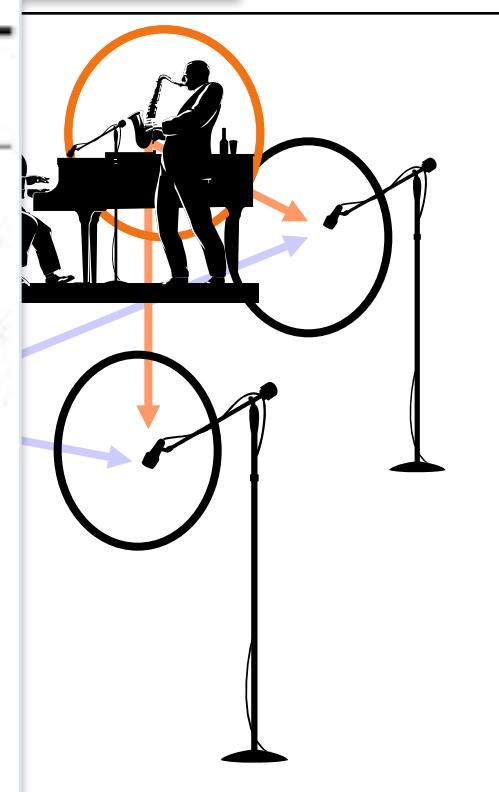
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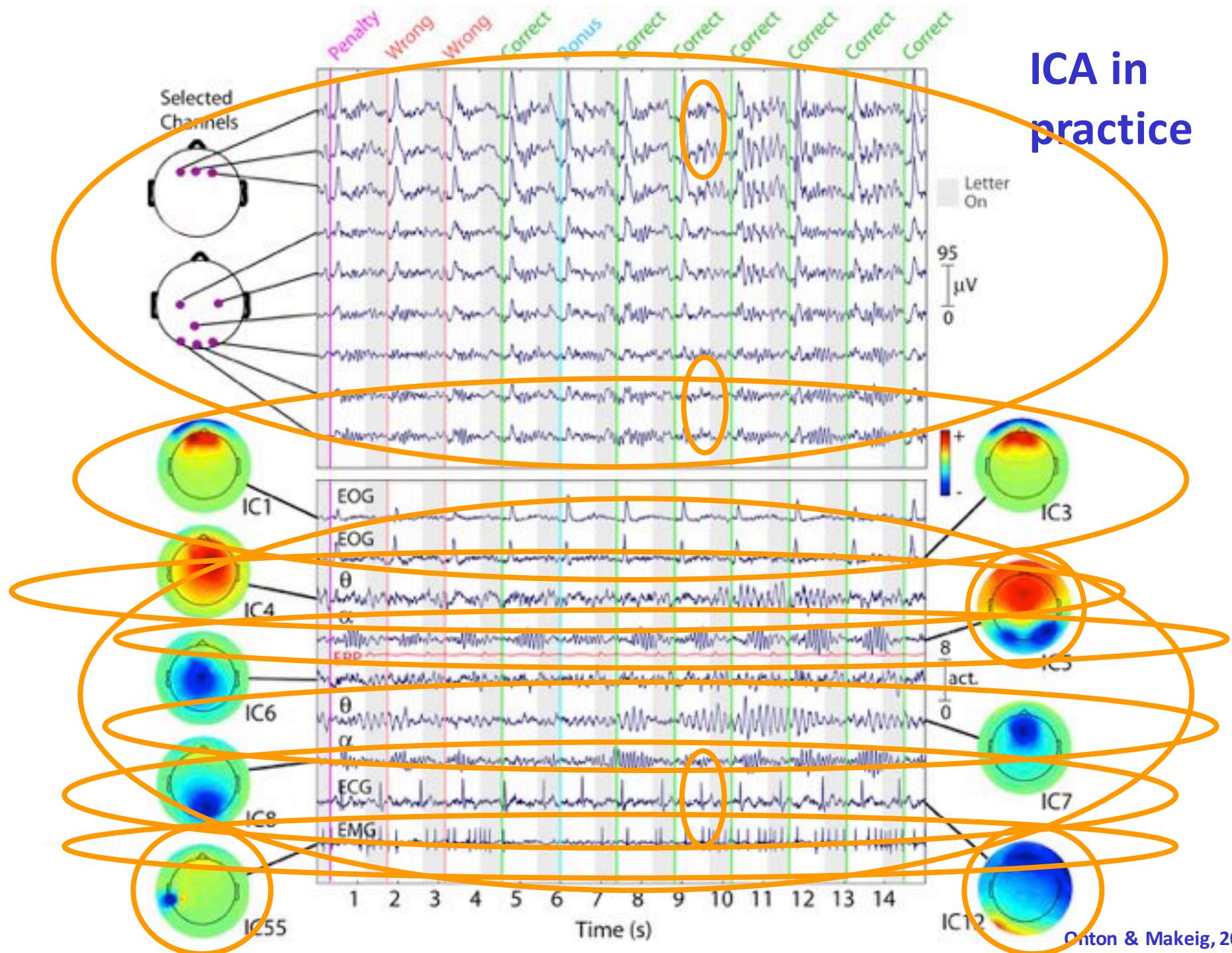
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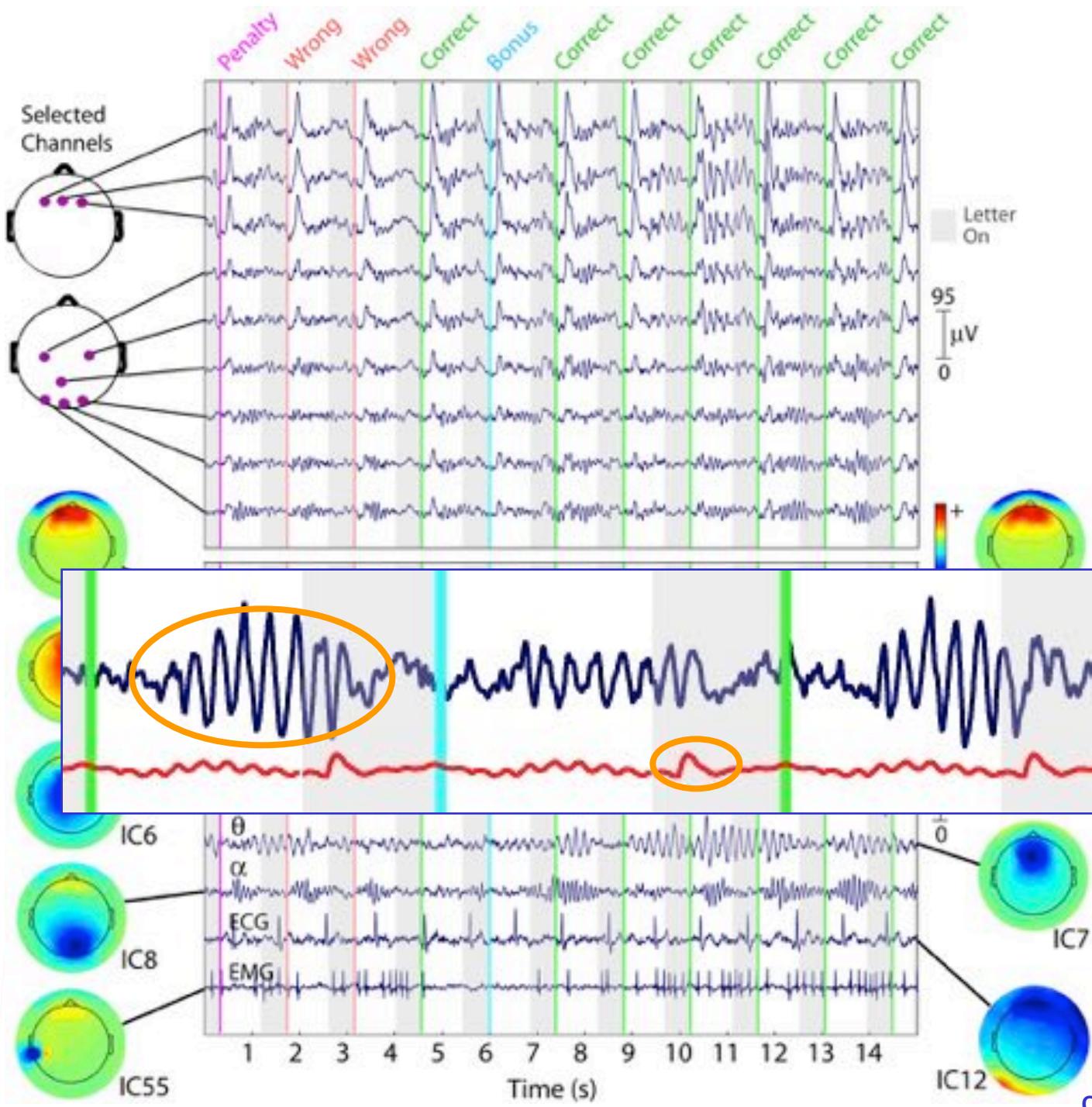
**Abstract**

Because of the distances between the skull and brain and their differing sensitivities, electroencephalographic (EEG) data collected from any point on the human scalp include activity generated within a large brain area. This spatial averaging of EEG data by volume-conduction does not hinder significant time delays, however, suggesting that the Independent Component Analysis (ICA) algorithm of Bell and Sejnowski<sup>1</sup> is favorable for performing blind source separation on EEG data. The ICA algorithm separates the position of source information from that of neural localization. This article describes of applying the ICA algorithm to EEG and functional magnetic resonance (fMRI) data collected during a sustained auditory detection task along with ICA matching it to several different stimulus sets. (2) ICA may be used to segregate various cortical fMRI components (fMRI and muscle) and separate them from one another. (3) ICA is capable of isolating overlapping fMRI phenomena, including alpha and theta bands, and spatially separate fMRI components, to separate ICA channels. (4) Nonstationarities in fMRI and behavioral data can be tracked using ICA via changes in the amount of statistical correlation between ICA-derived component channels.

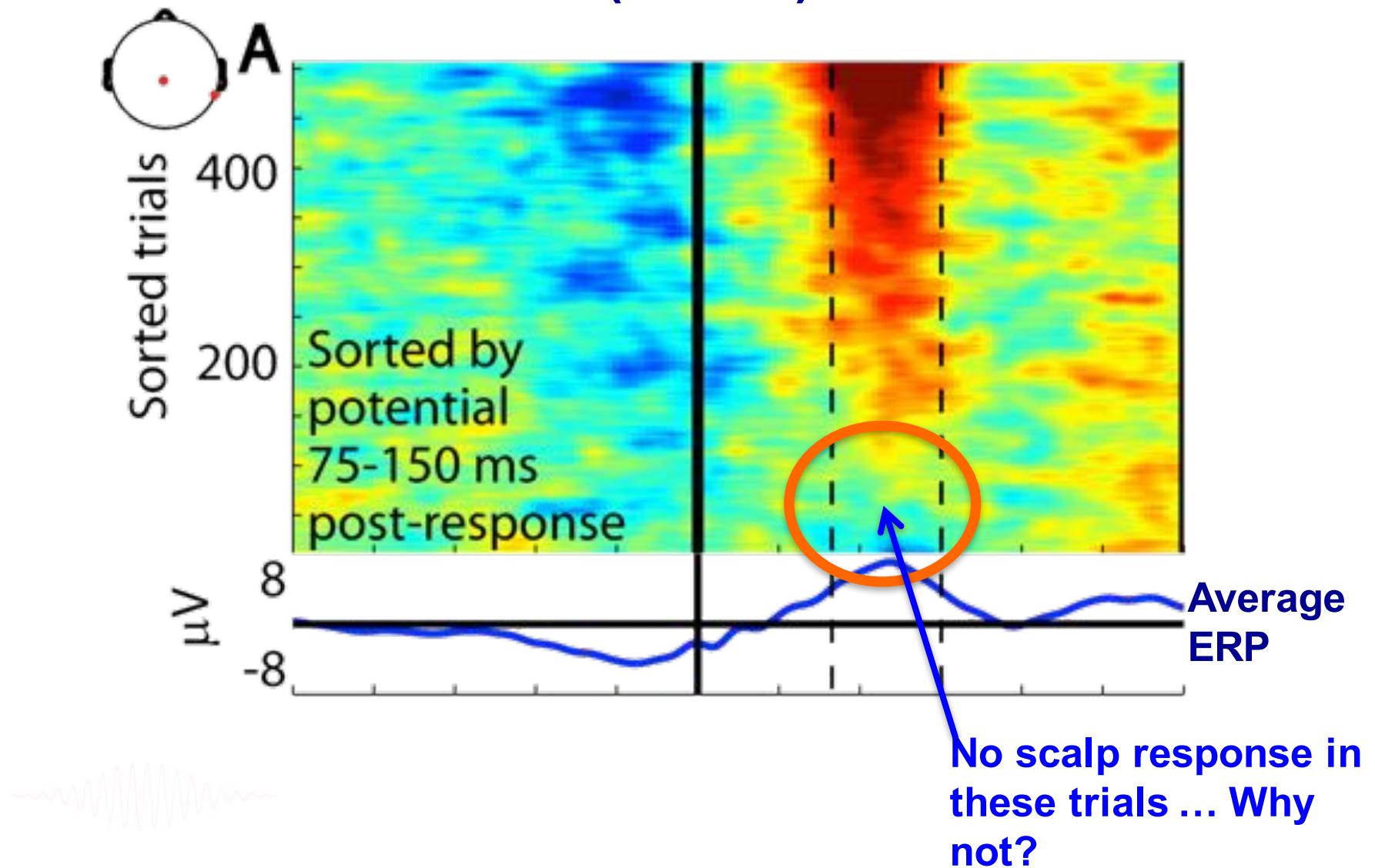


# ICA in practice

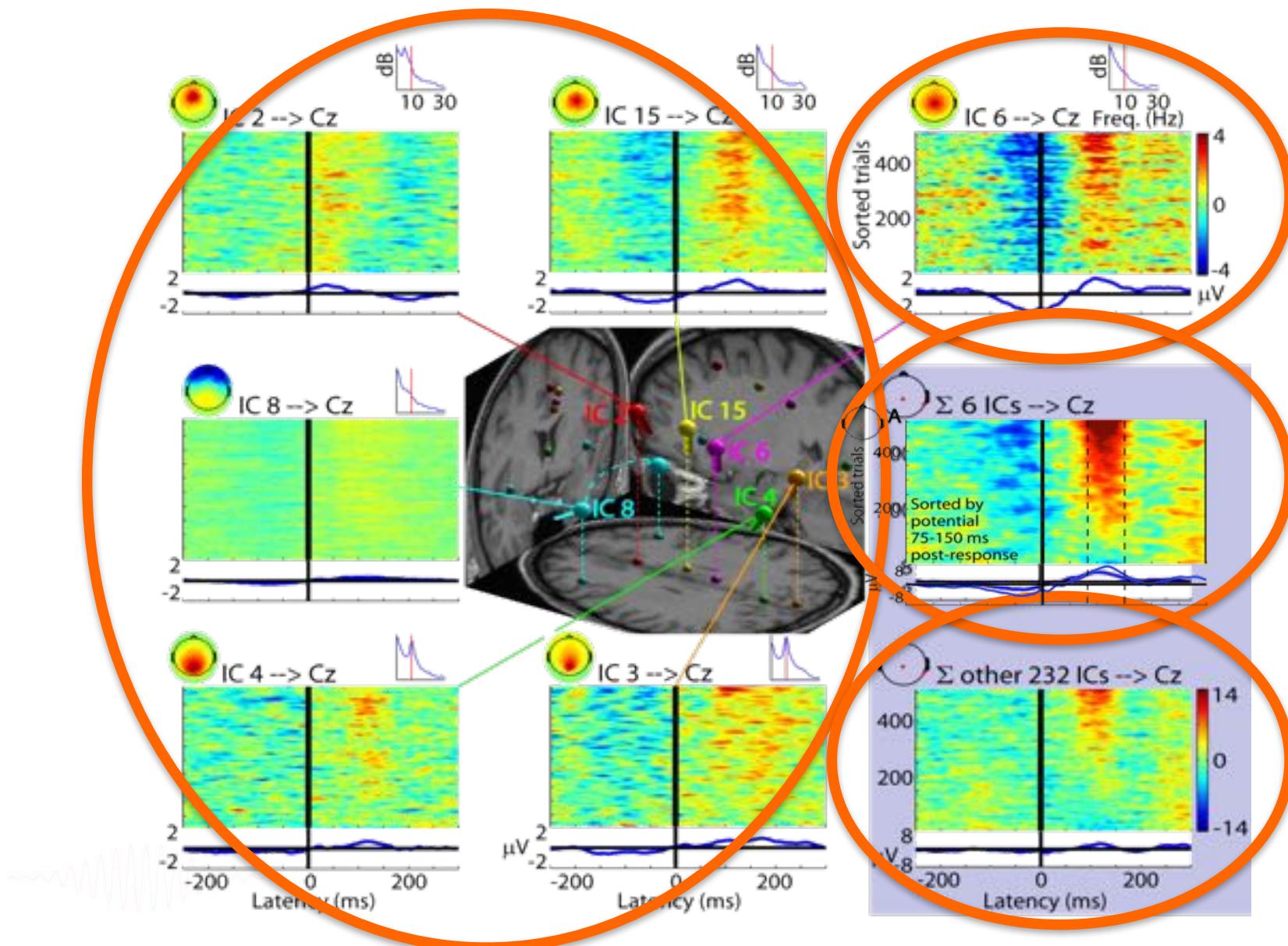




# A P300' visual target response at electrode Cz (vertex)



# The response (at Cz) sums 238 independent sources



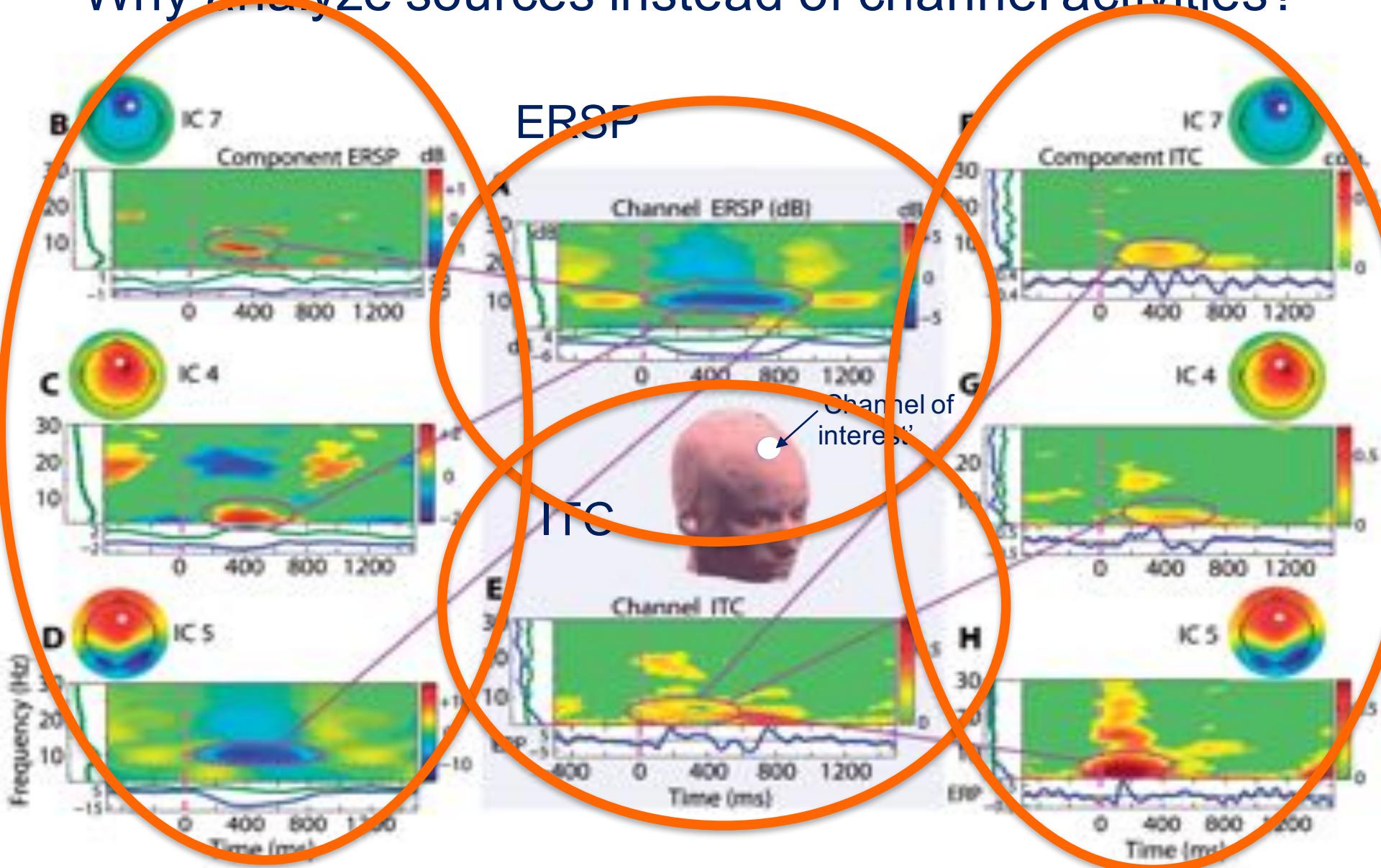
No more than  
~30% of any  
scalp channel  
variance is  
produced by any  
one brain source!

Scalp EEG signals are  
strong mixtures  
of brain sources.

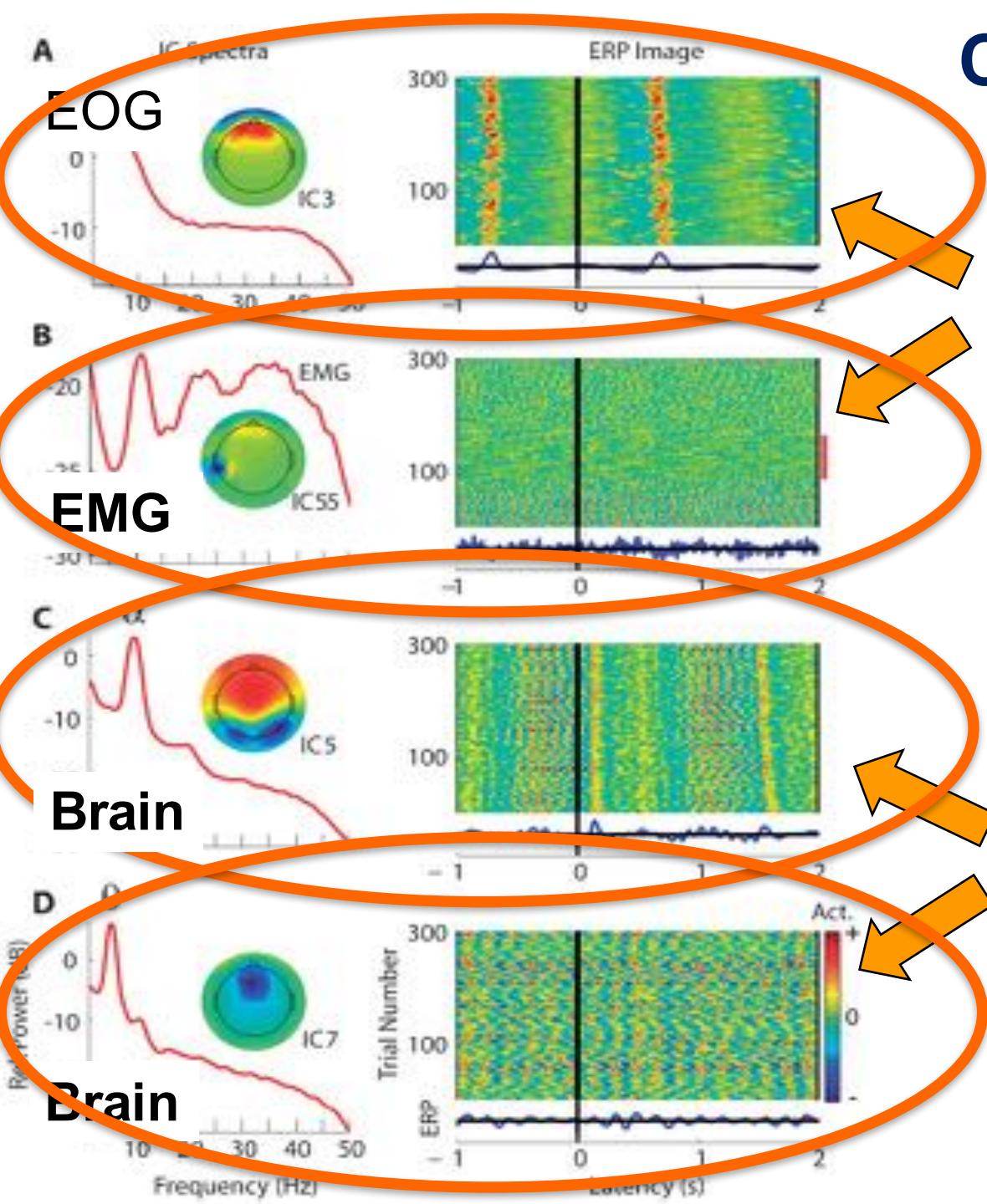
In this sense scalp  
channel signals are  
*epiphenomena*.

Source signals are the EEG  
phenomena of interest.

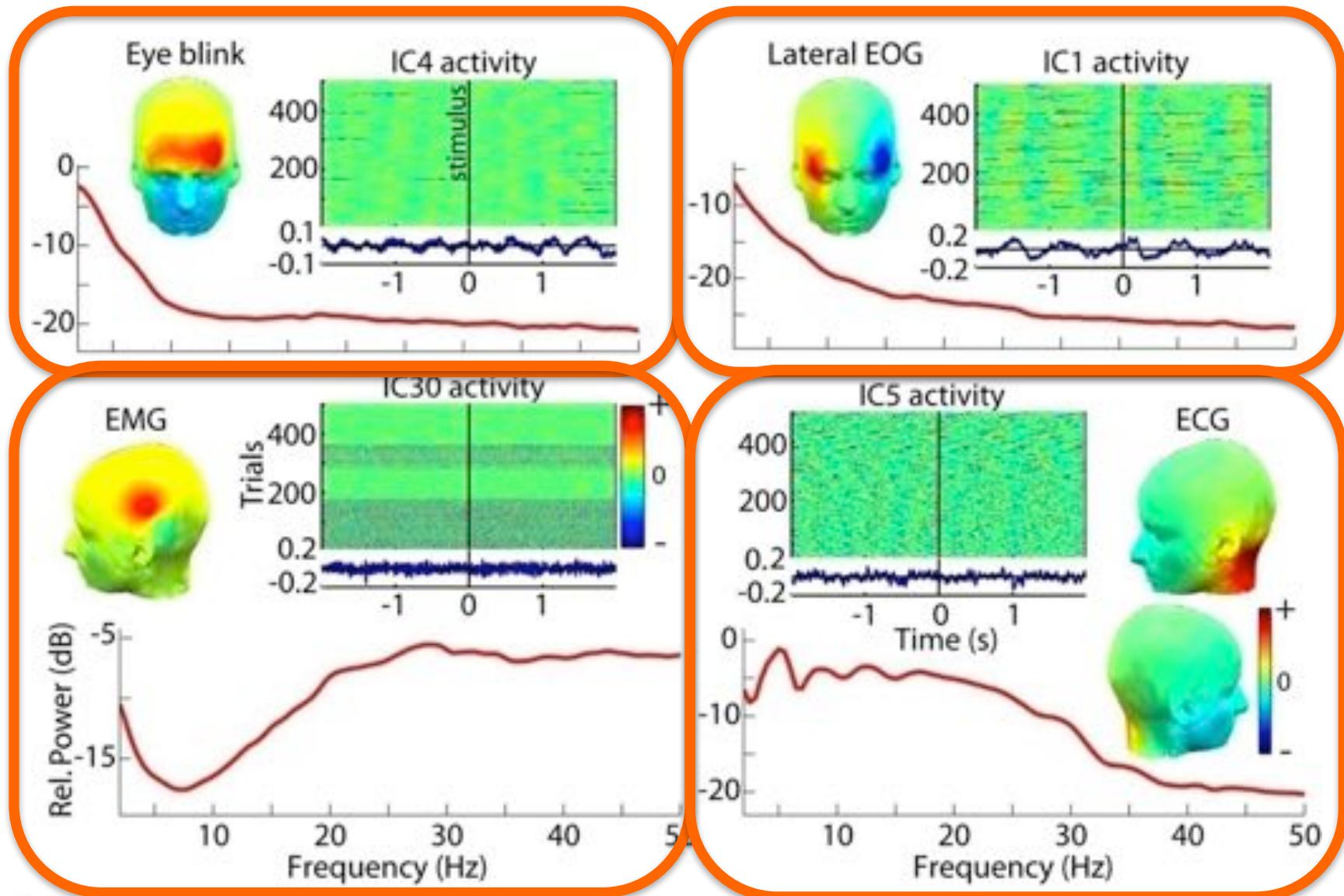
# Why analyze sources instead of channel activities?



# Classifying ICs

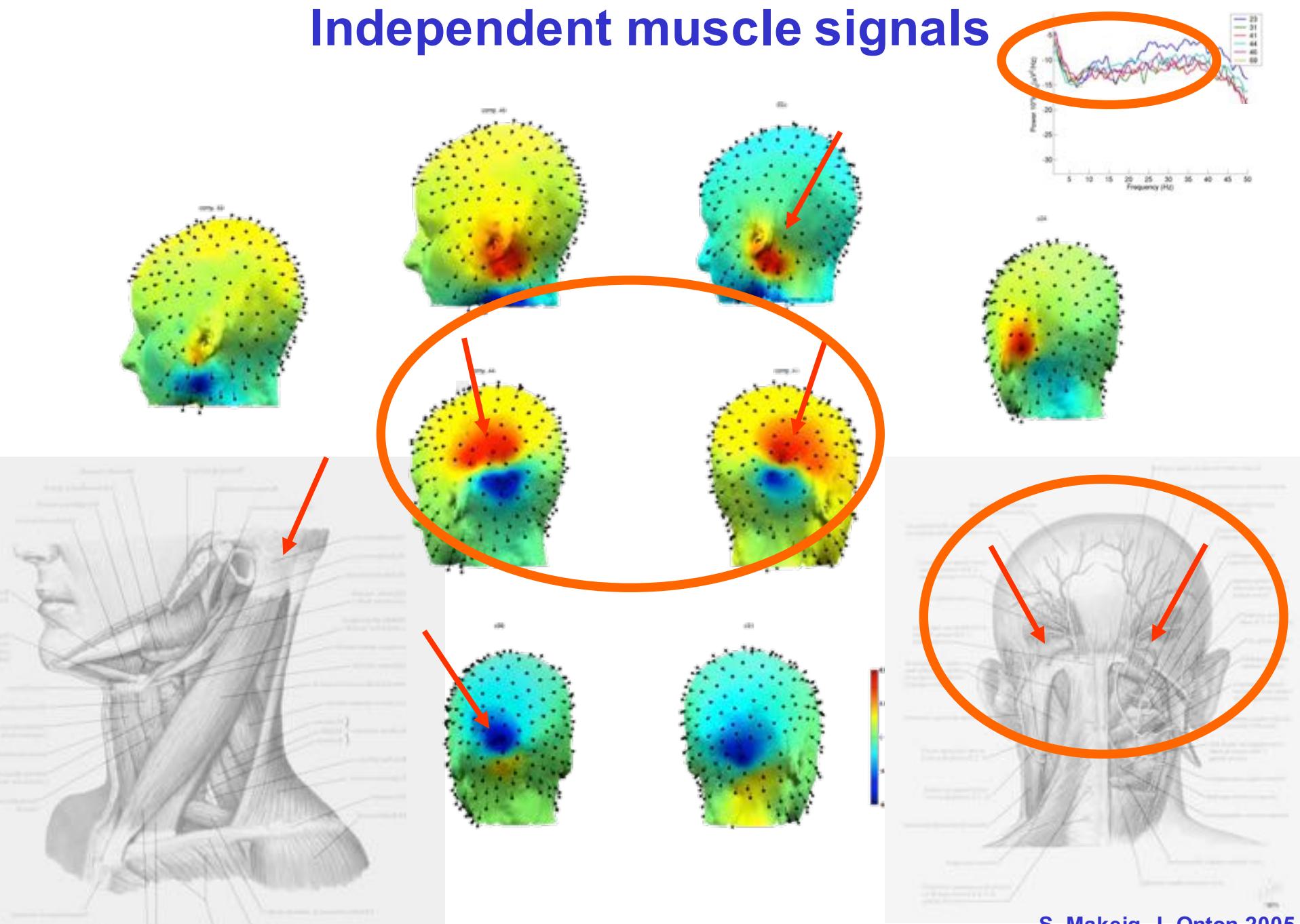


# ICA finds Non-Brain Independent Component (IC) Processes ...

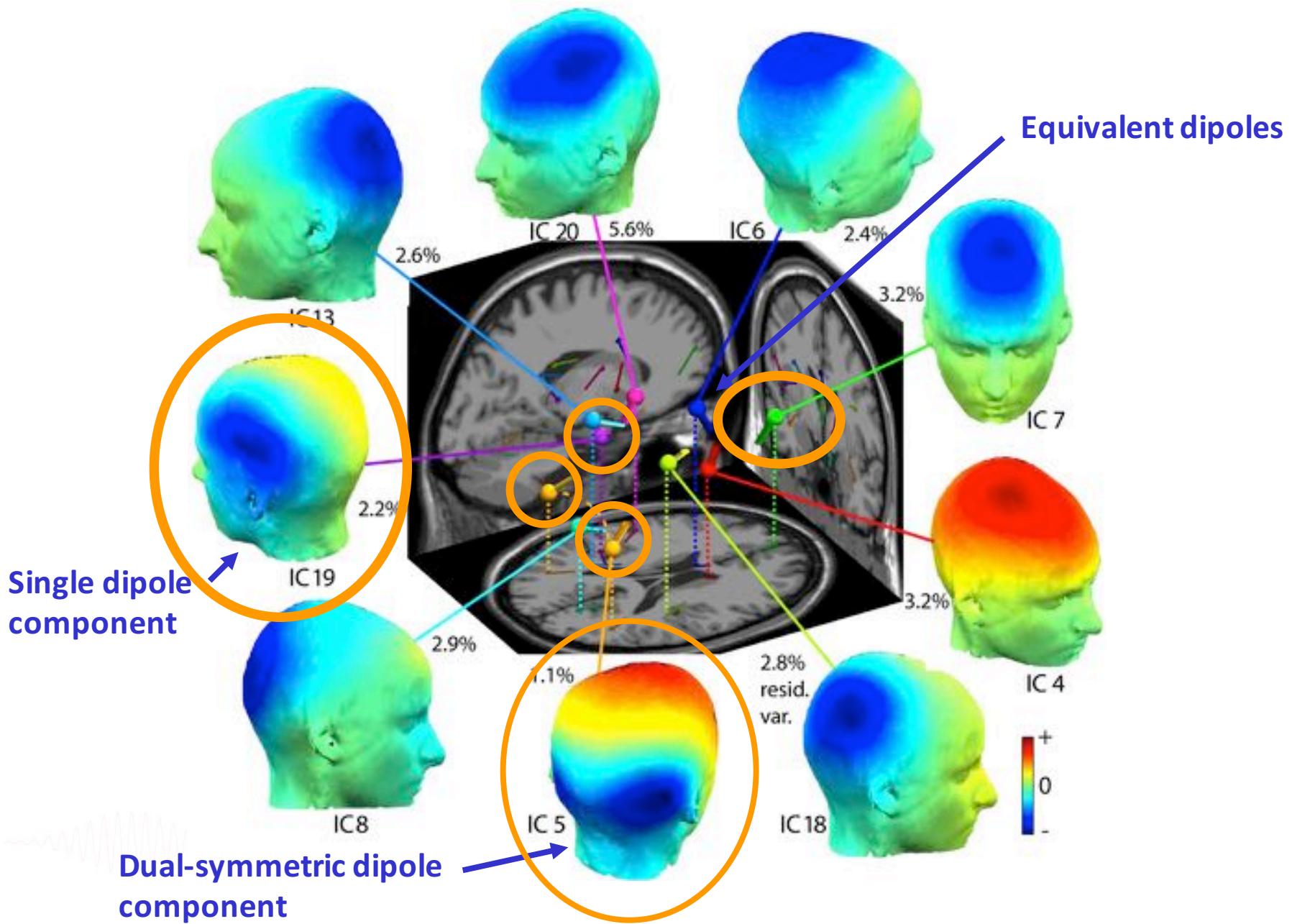


... separates them from the remainder of the data ...

# Independent muscle signals



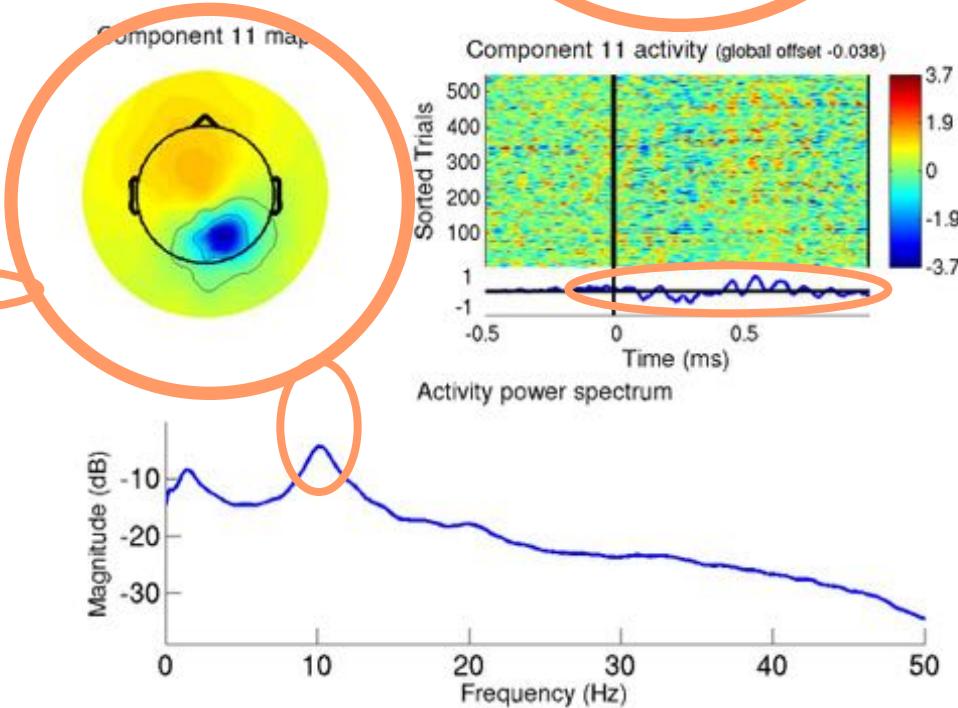
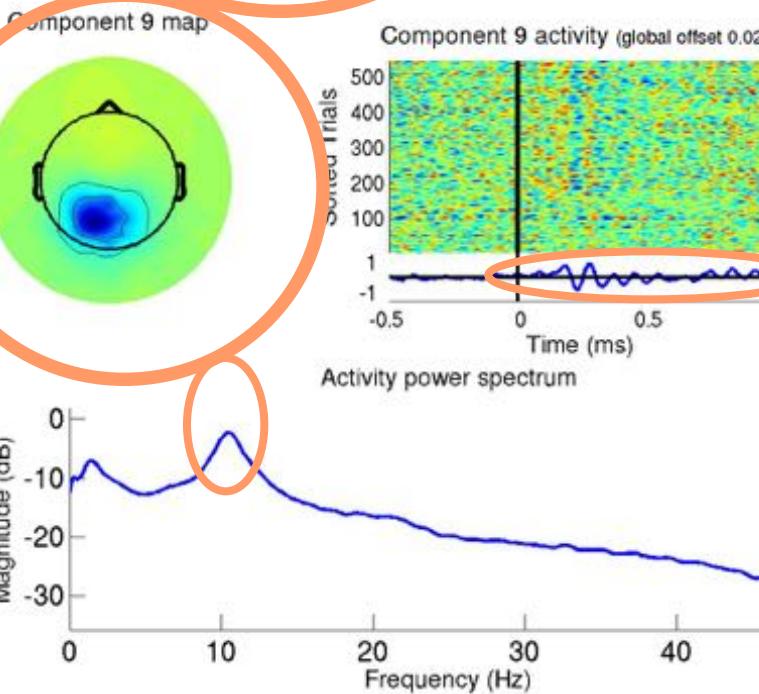
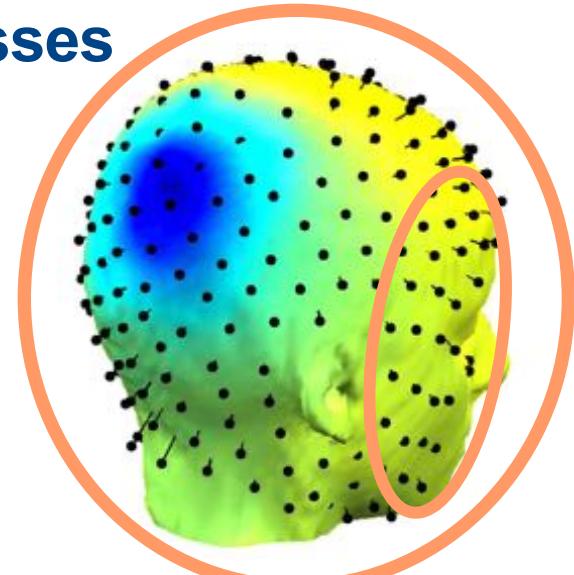
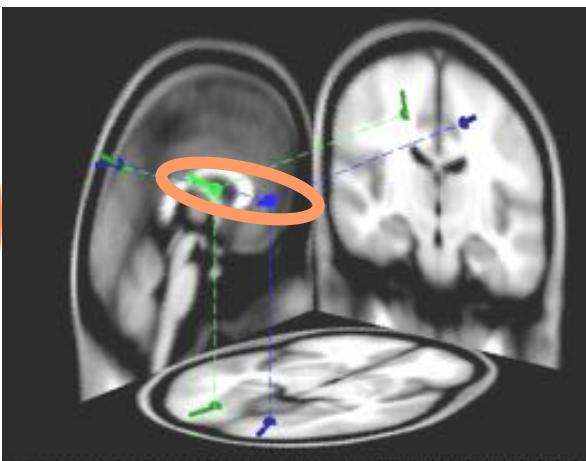
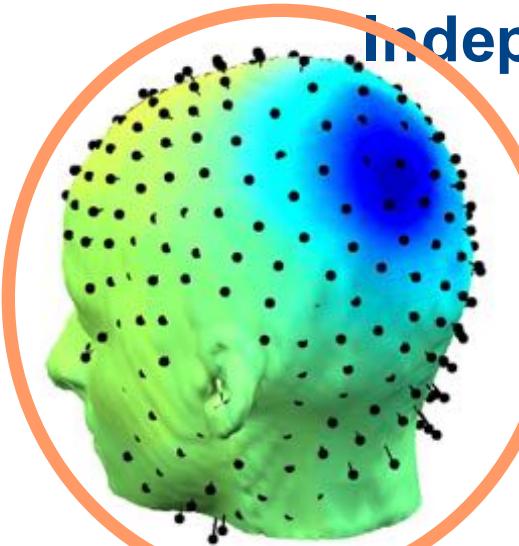
... and also separates cortical brain IC processes



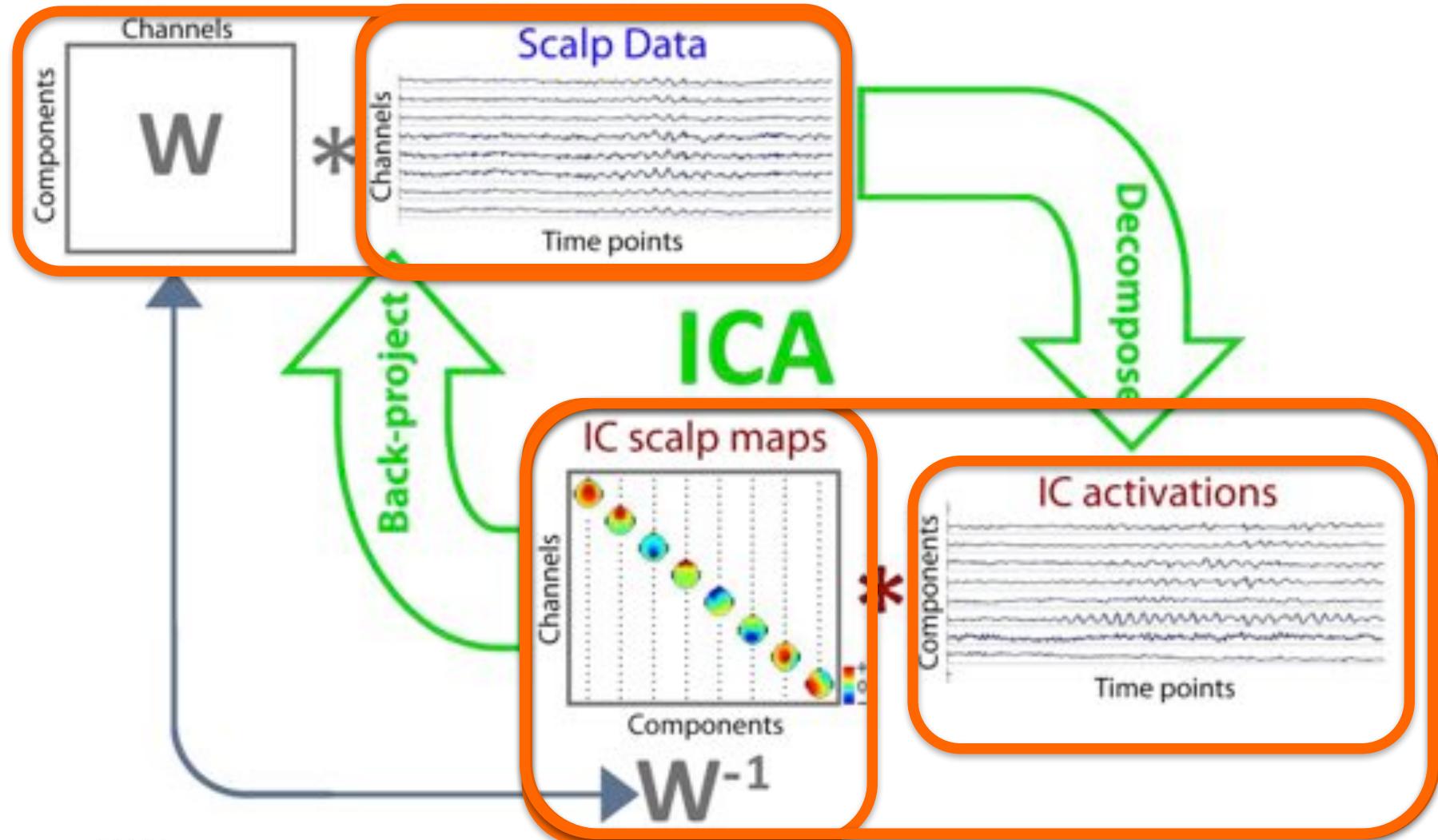
IC9

IC11

# Single Session - Two Maximally Independent Alpha Processes



# ICA is a linear data decomposition method



**>> ScalpData = MixingMatrix \* ICActivations**

# ICA learning approach

How to make the outputs statistical independent?

Minimize their redundancy or mutual information.

Consider the joint entropy of two components,

$$H(y_1, y_2) = H(y_1) + H(y_2) - I(y_1, y_2).$$

Maximizing  $H(y_1, y_2) \Rightarrow$  minimizing  $I(y_1, y_2).$

The learning rule:

$$\Delta \mathbf{w} \propto \frac{\partial H(\mathbf{y})}{\partial \mathbf{w}} \underbrace{\mathbf{w}^T \mathbf{w}}$$

Natural gradient normalization (Amari)

↓  
Infomax

↓  
Is 0 if the two variables  
are independent

# Historical Remarks

- Herault & Jutten ("Space or time adaptive signal processing by neural network models", *Neural Nets for Computing Meeting*, Snowbird, Utah, 1986): **Seminal paper**
- Bell & Sejnowski (1995): Information maximization (**Infomax**)
- Amari et al. (1996): Natural gradient learning
- Cardoso (1996): Joint approximate diagonalization (JADE)
- Hyvarinen (1999): (fastICA)
- Lee/Girolami (1999): Mixture model ICA (**Extended Infomax**)
- Palmer (2006): Adaptive mixture ICA (**AMICA**)

## Applications of ICA to biomedical signals

- EEG/ERP analysis (Makeig, Bell, Jung & Sejnowski, *NIPS 1996*)
- fMRI analysis (McKeown et al., 1998)
- Fetal/mother ECG separation (Cardoso, 1998)
- Electrocorticography (ECoG) (Whitmer, 2010)

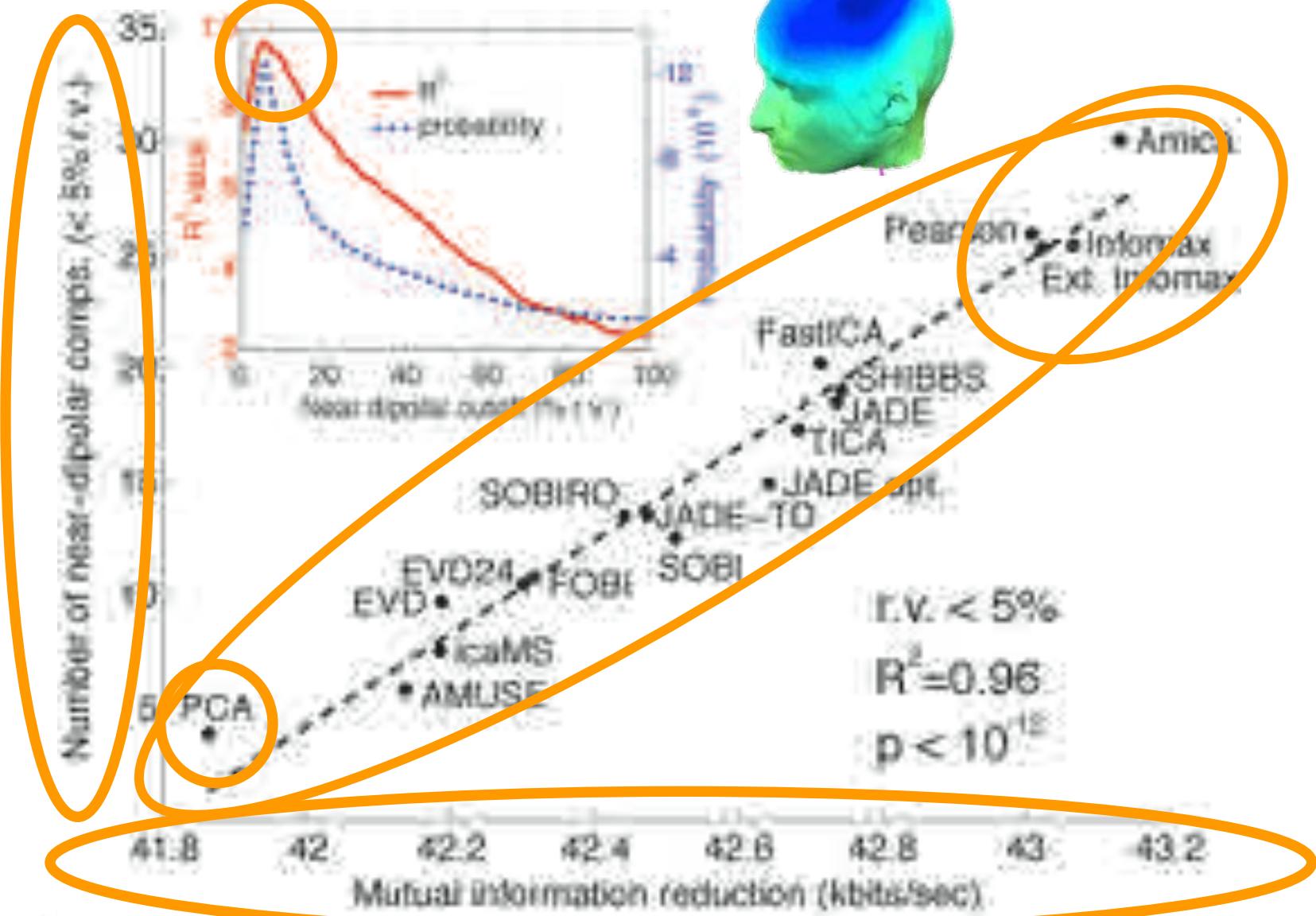
# Important Recent Result

Those linear decompositions of multi-channel EEG data that find ICs whose time courses are more temporally independent ...

Also find more ICs whose scalp maps are highly ‘dipolar’ – i.e., ICs compatible with the spatial projection of a single local cortical (or non-brain, artifactual) source process – whose location can be accurately estimated.

More independent time courses  $\leftrightarrow$  Larger number of dipolar ICs

Dipolar ICs = Localized cortical source processes



Delorme et al., *PLOS One*,  
2012

S. Makeig, 2011

# Important Recent Result

Those linear decompositions of multi-channel EEG data that find ICs whose time courses are more temporally independent ...

Also find more ICs whose scalp maps are highly ‘dipolar’ – i.e., ICs compatible with the spatial projection of a single local cortical (or non-brain, artifactual) source process – whose location can be accurately estimated.

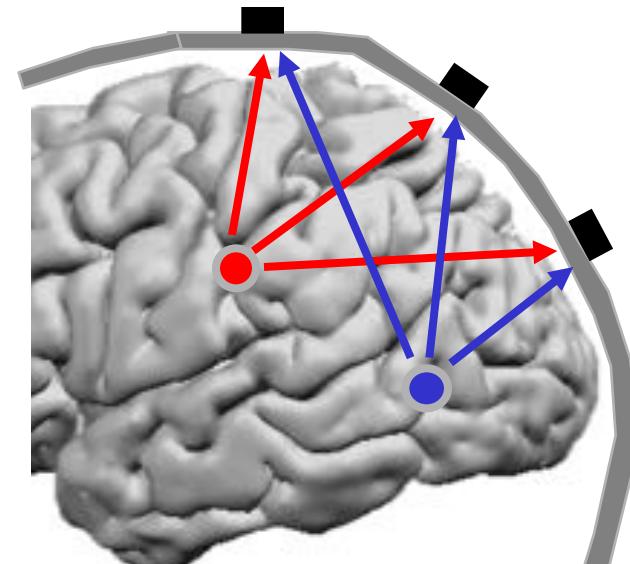
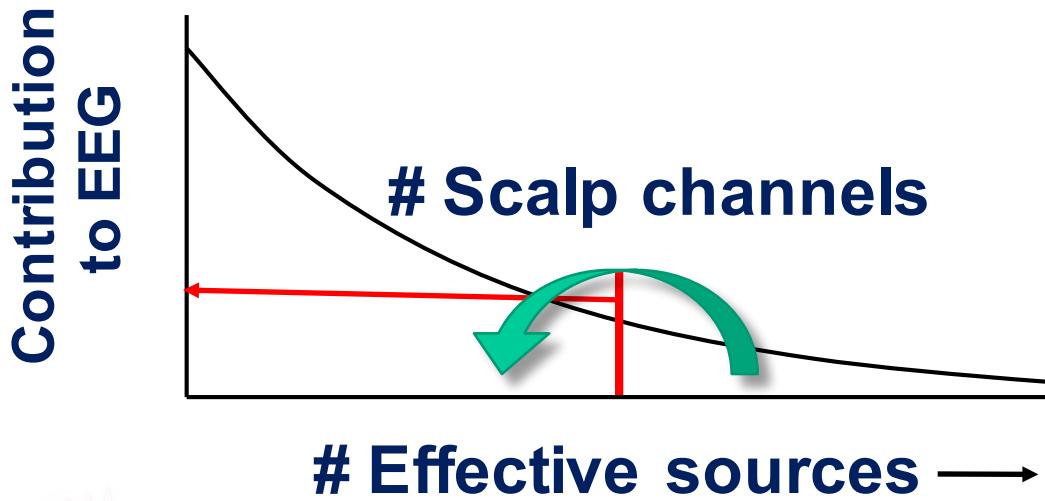
More independent time courses  $\leftrightarrow$  Larger number of dipolar ICs

Dipolar ICs = Localized cortical source processes

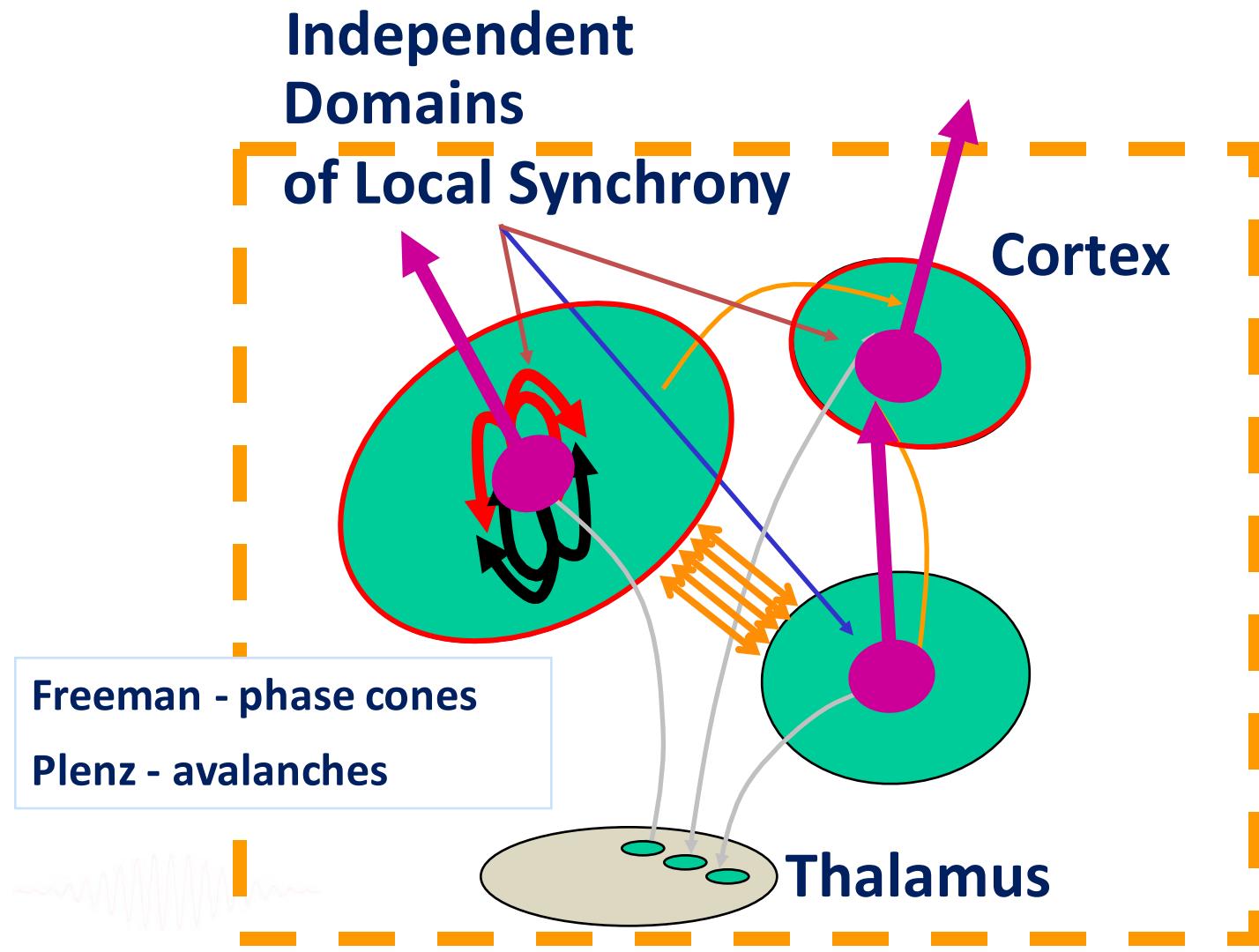
# ICA Assumptions

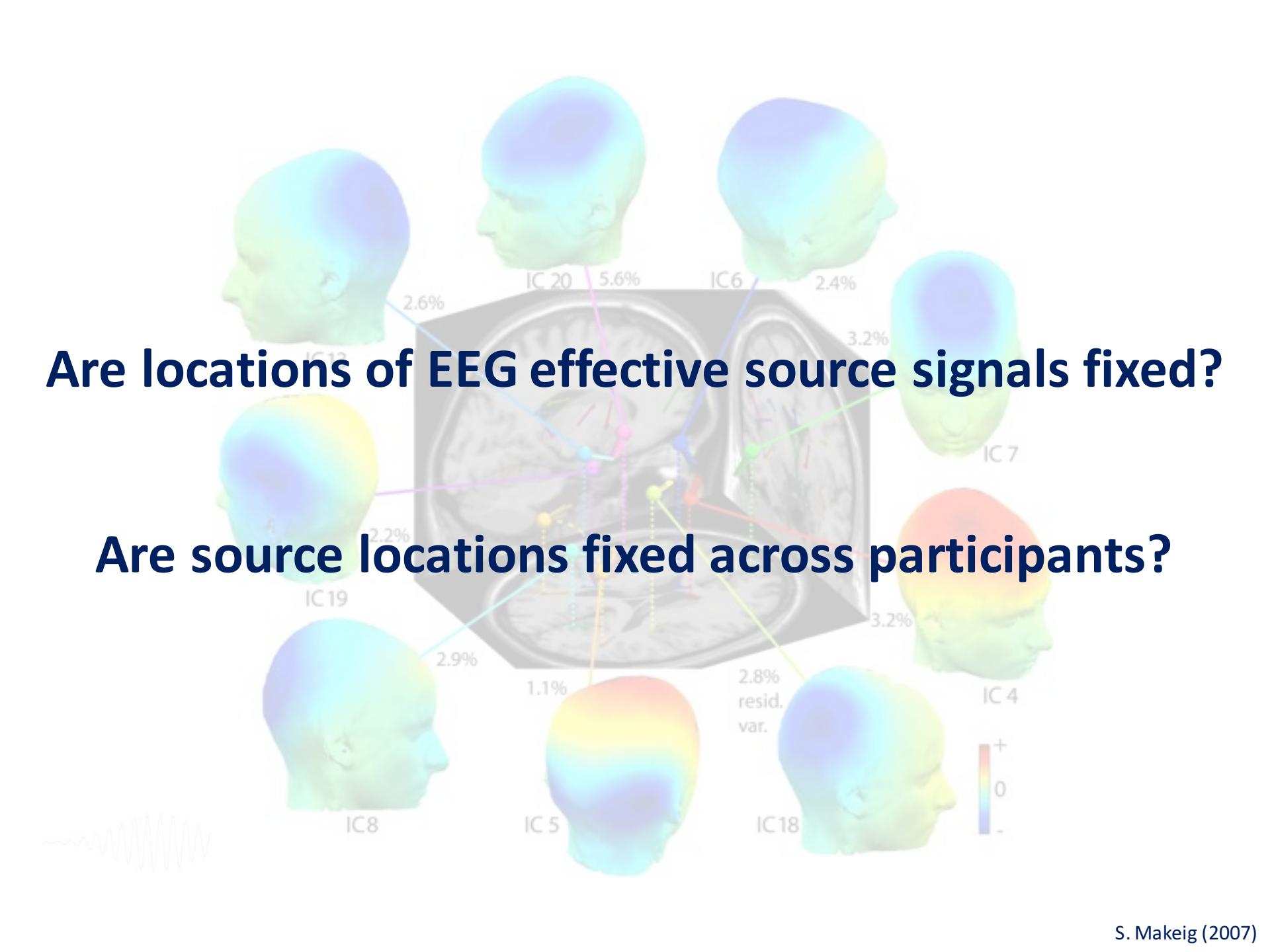
- Mixing is linear at electrodes
- Propagation delays are negligible
- Component locations are fixed
- Component time courses are independent
- # components  $\leq$  # scalp channels

✓ ✓ ? ? ?



# Are EEG effective source signals independent?



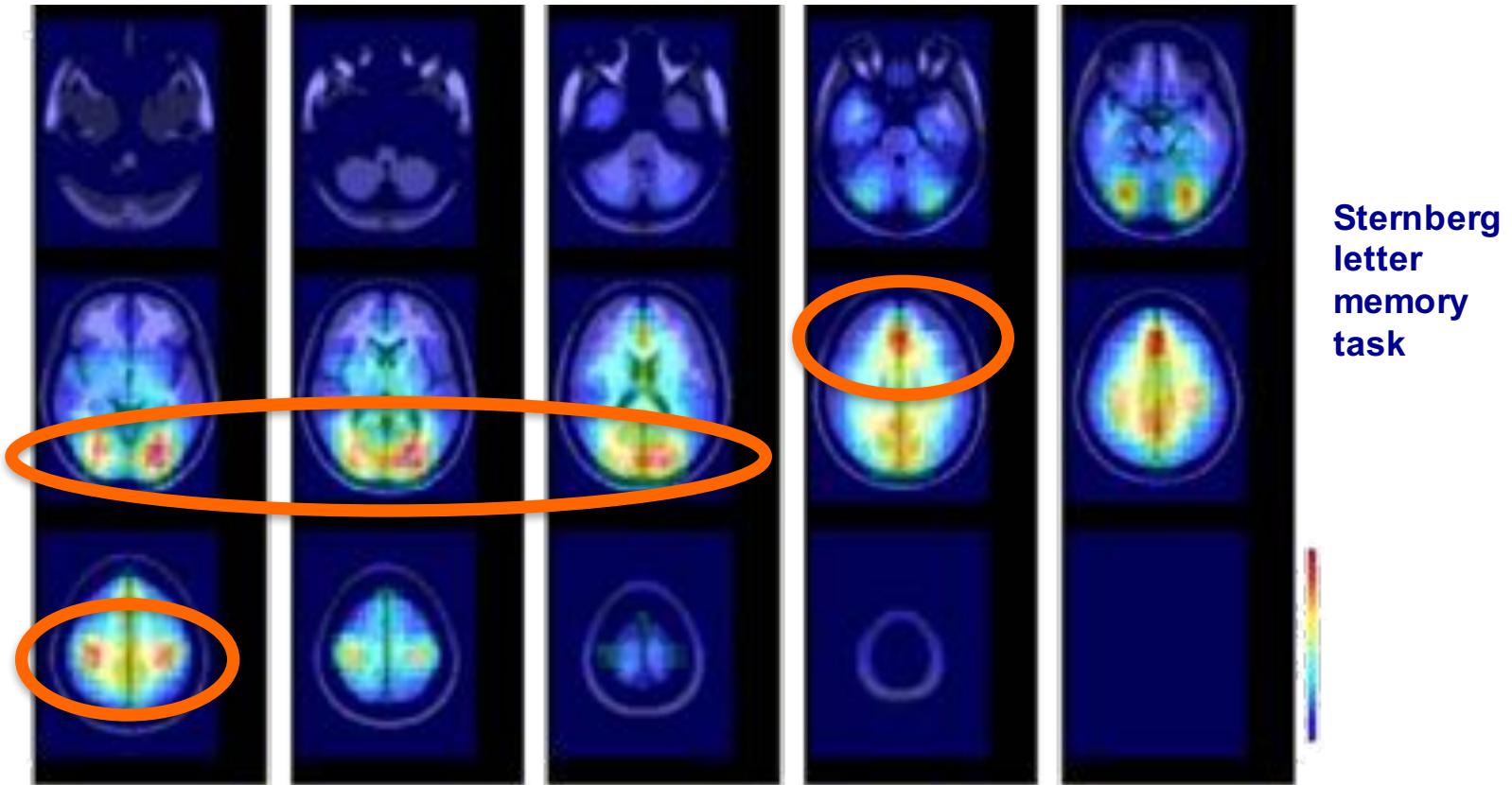


**Are locations of EEG effective source signals fixed?**

**Are source locations fixed across participants?**

# Effective Source Density

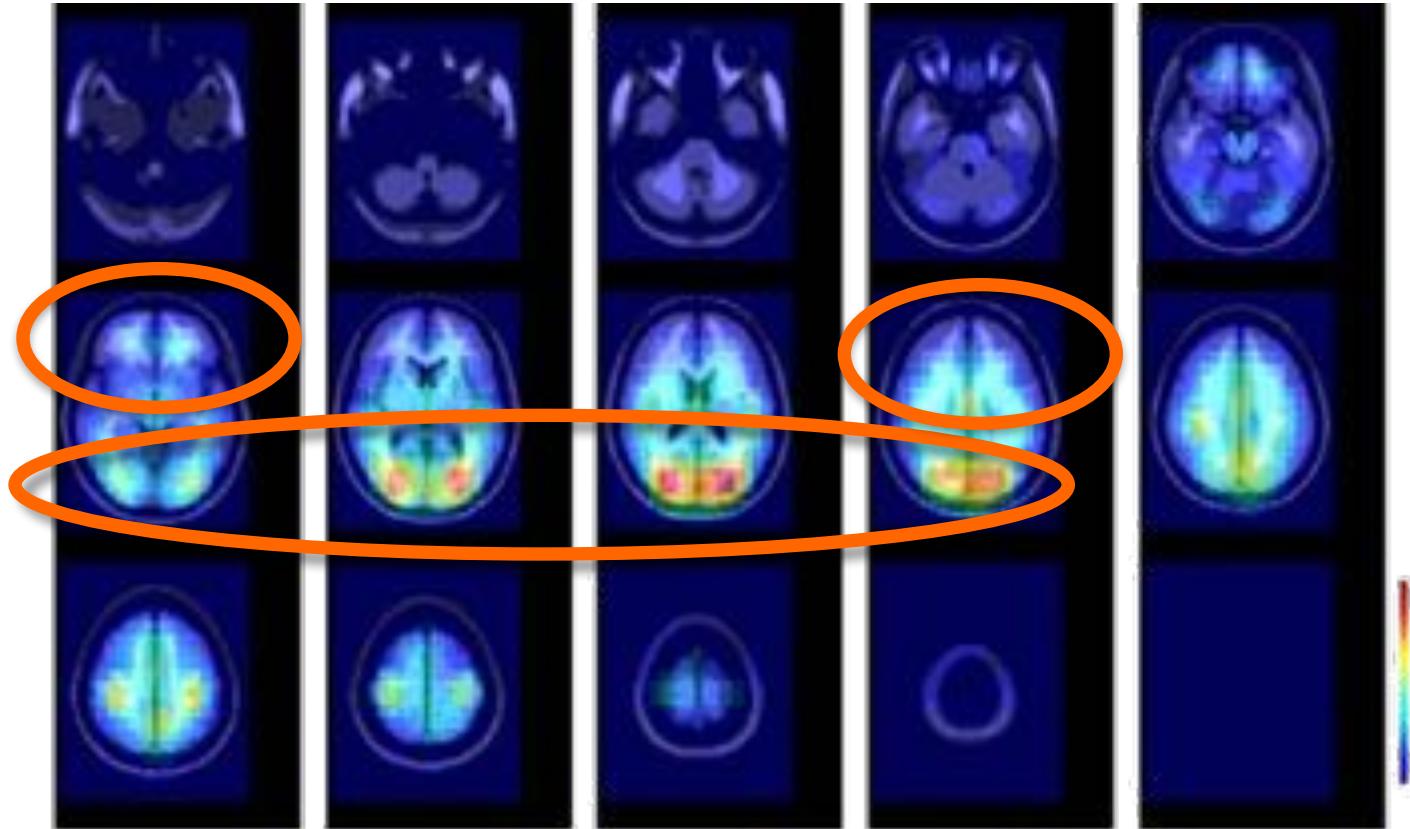
## Visual Working Memory



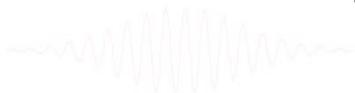
dipoledensity()

# Effective Source Density

## Eyes-closed emotion imagination

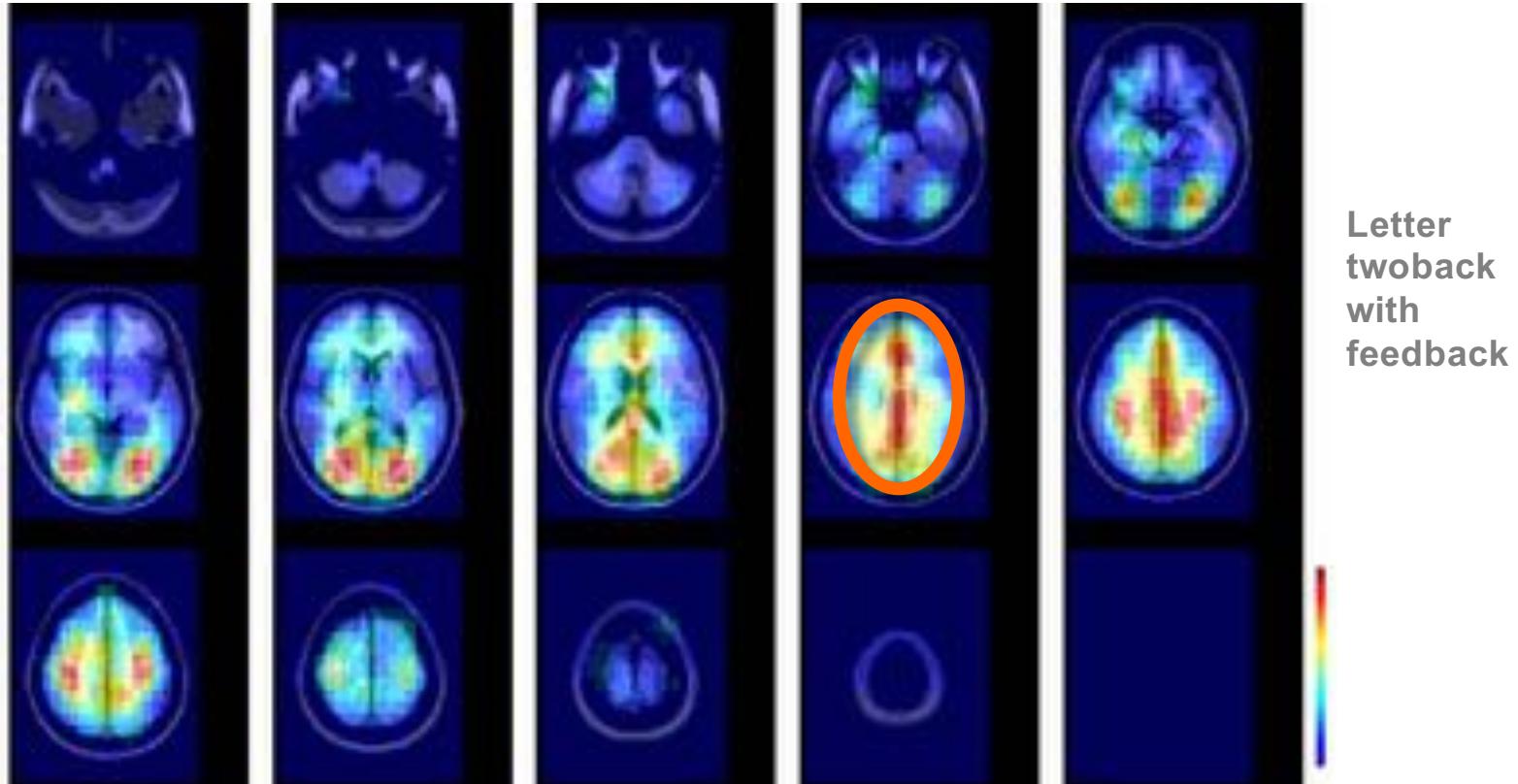


>> dipoledensity()



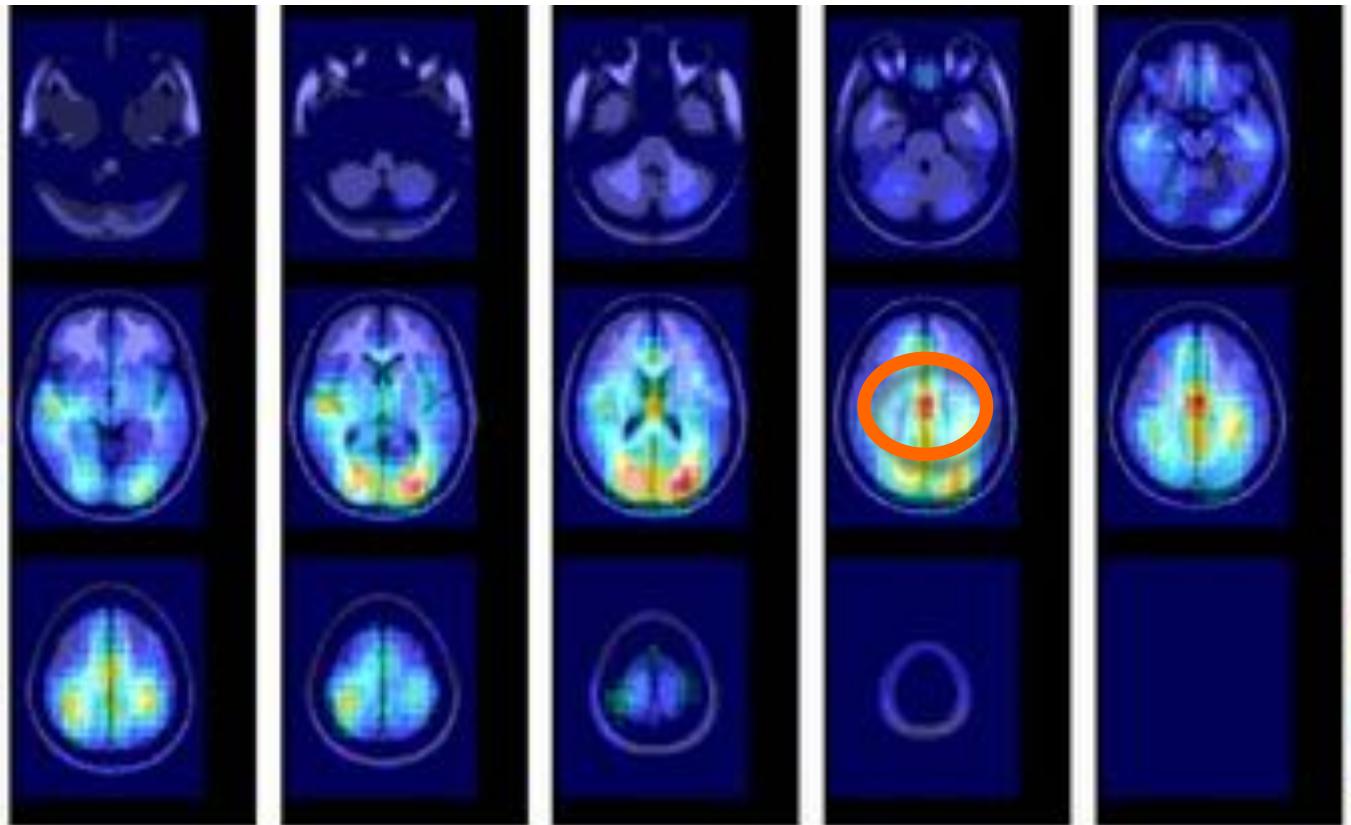
# Effective Source Density

## Letter twoback with feedback



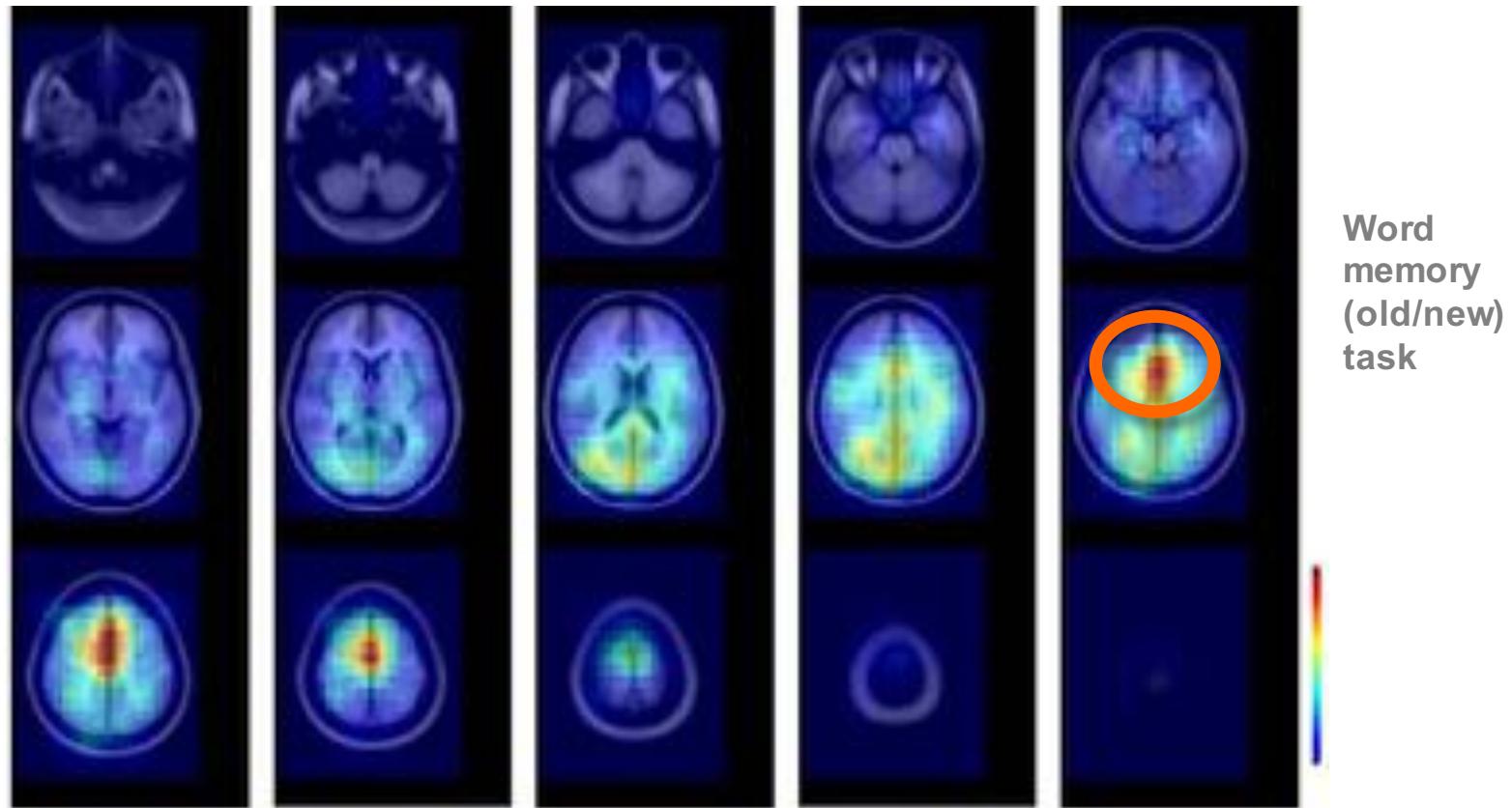
# Effective Source Density

## Auditory novelty oddball



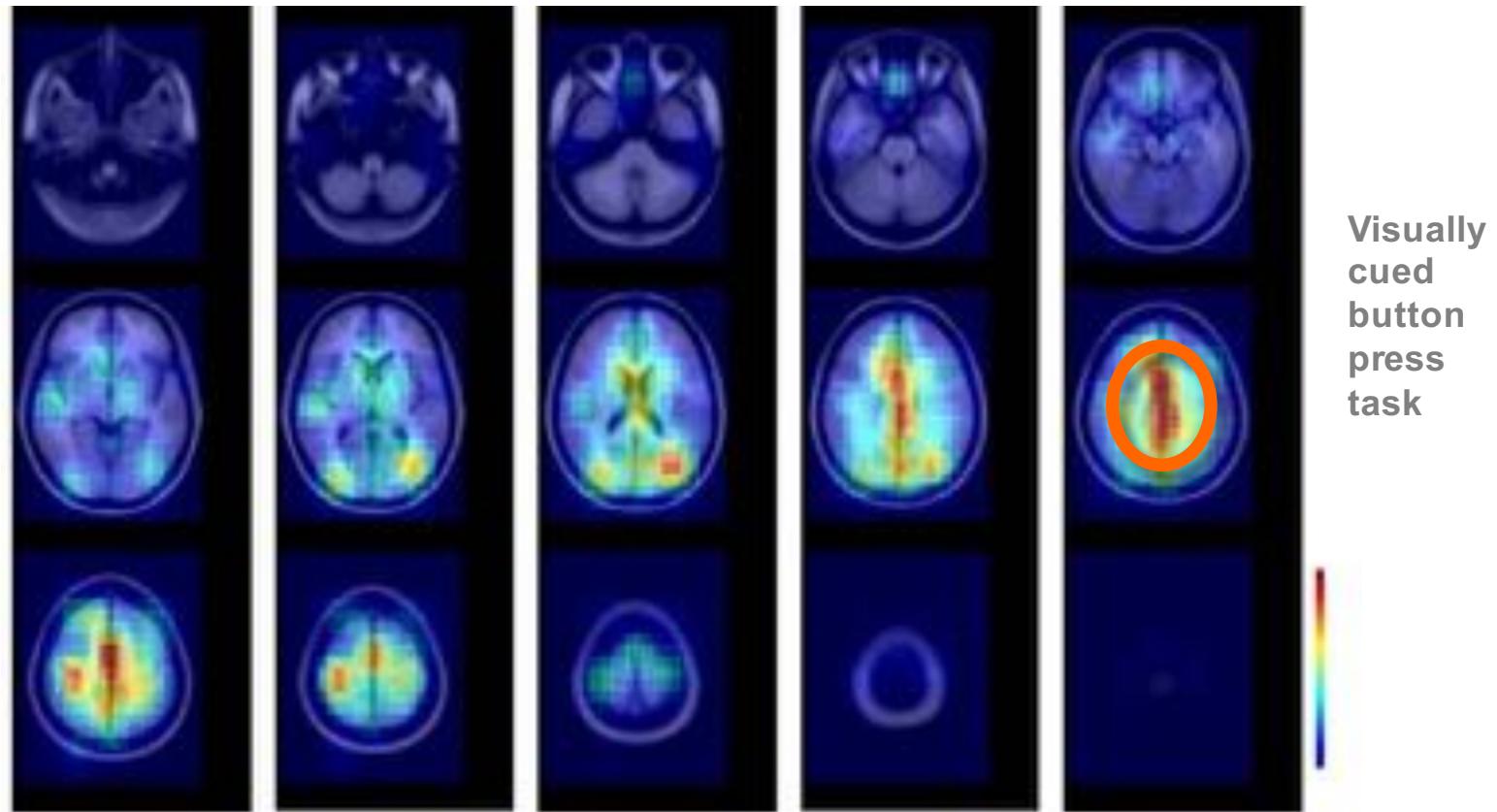
# Effective Source Density

## A. Old/new word memory

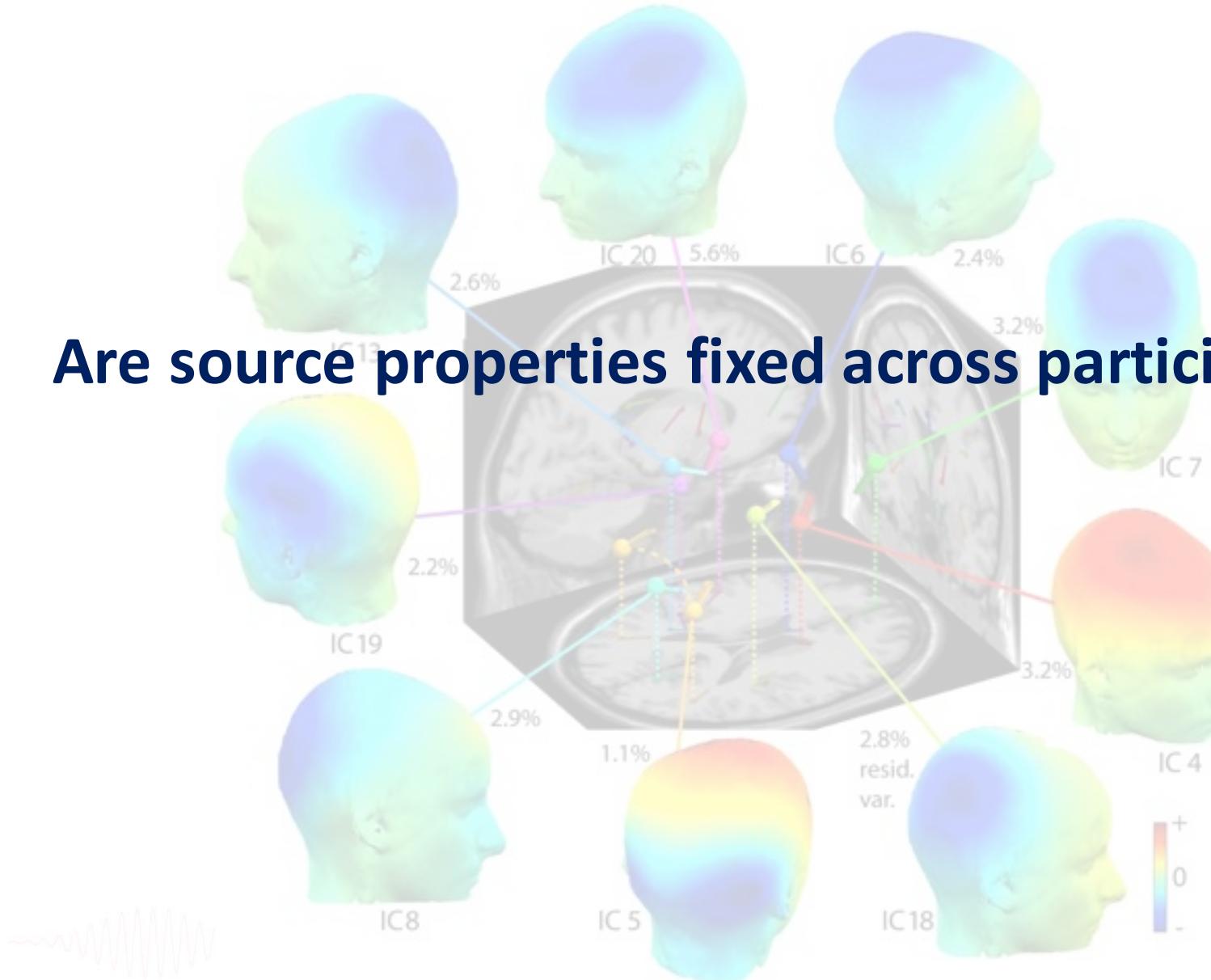


# Effective Source Density

## B. Visually cued selective response

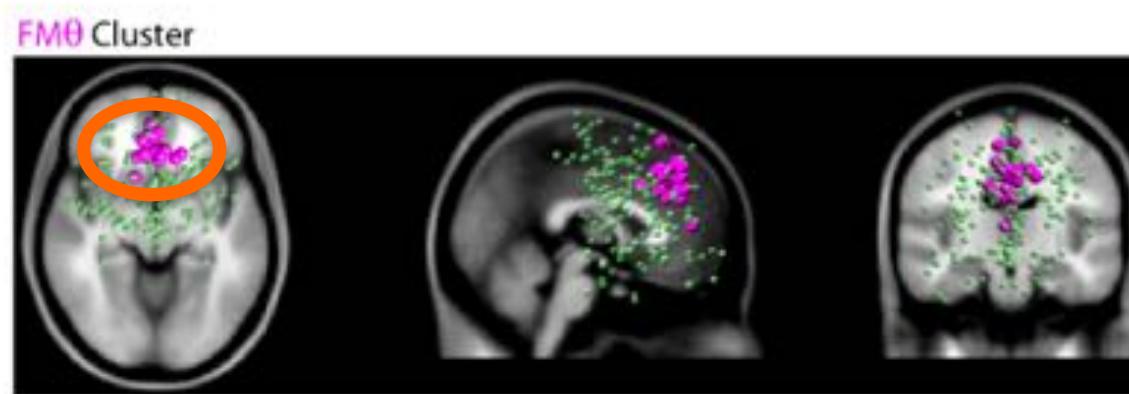


# Are source properties fixed across participants?

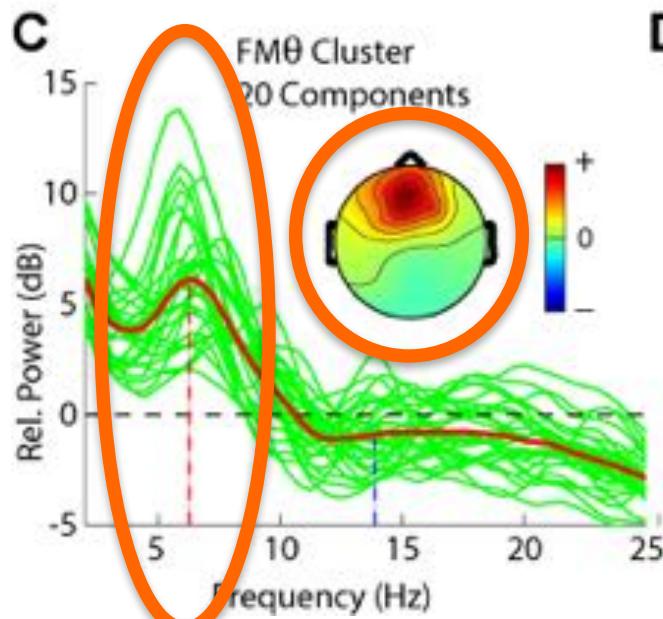


# Example: frontal midline theta cluster

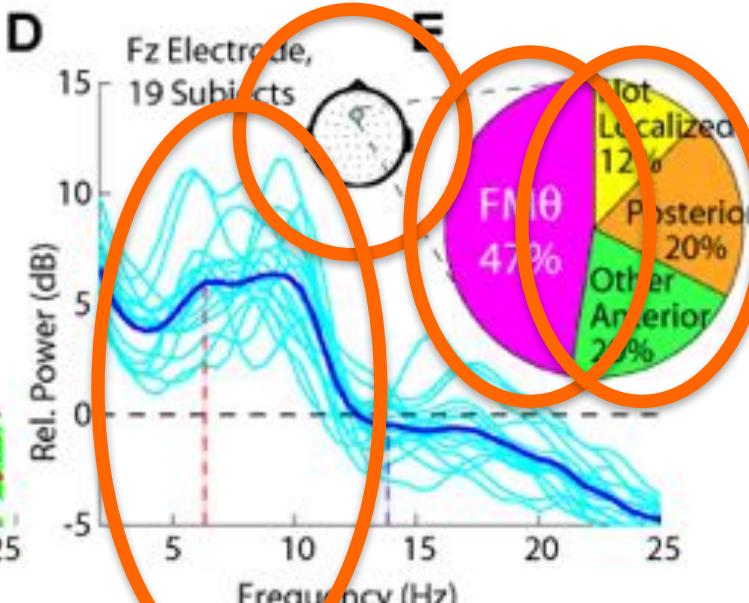
B



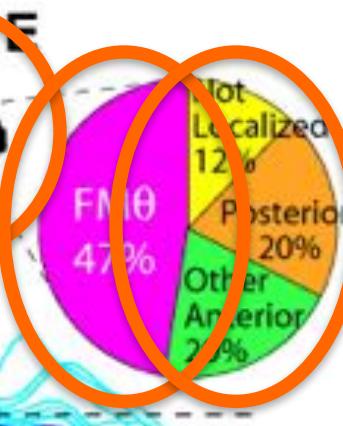
C



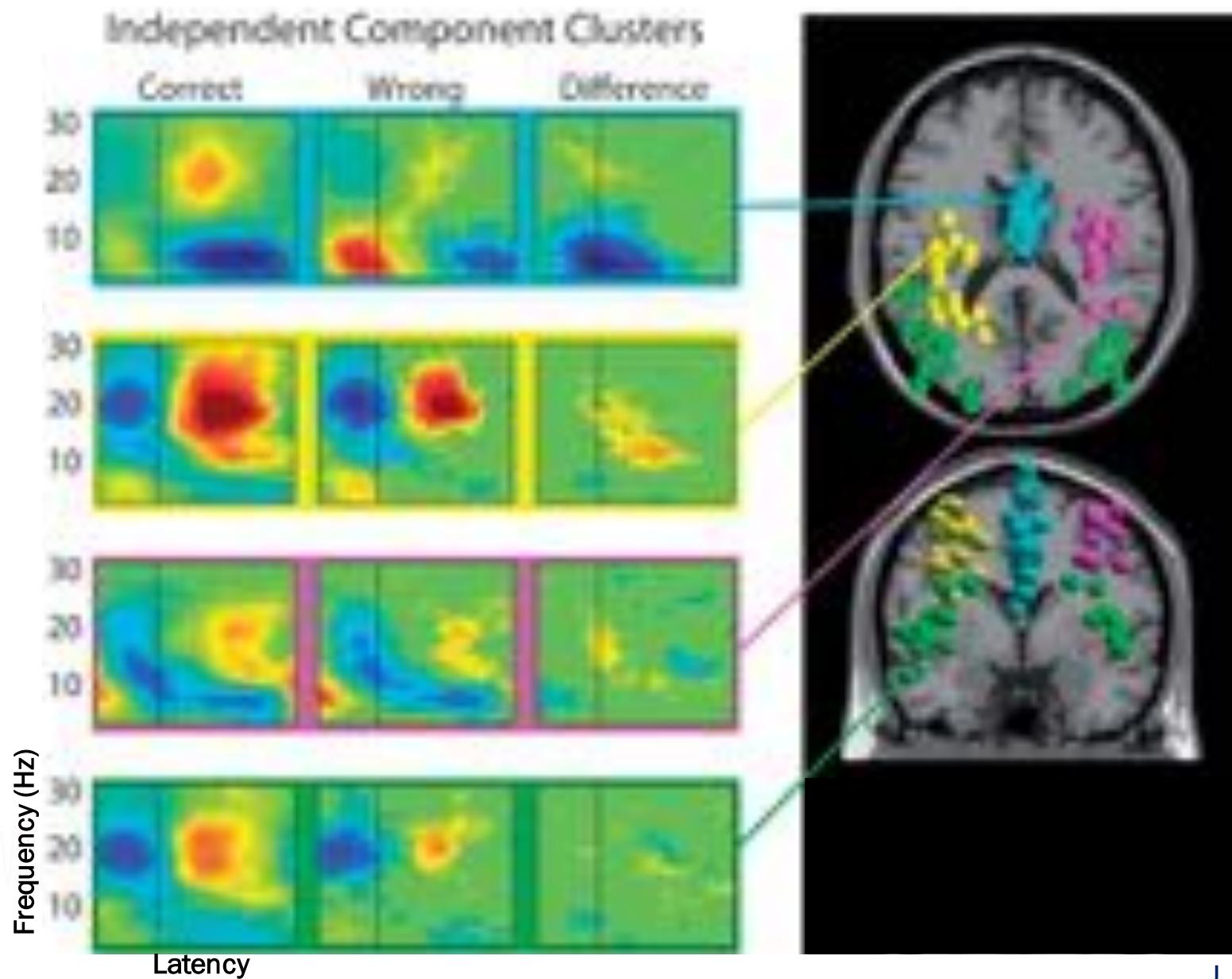
D



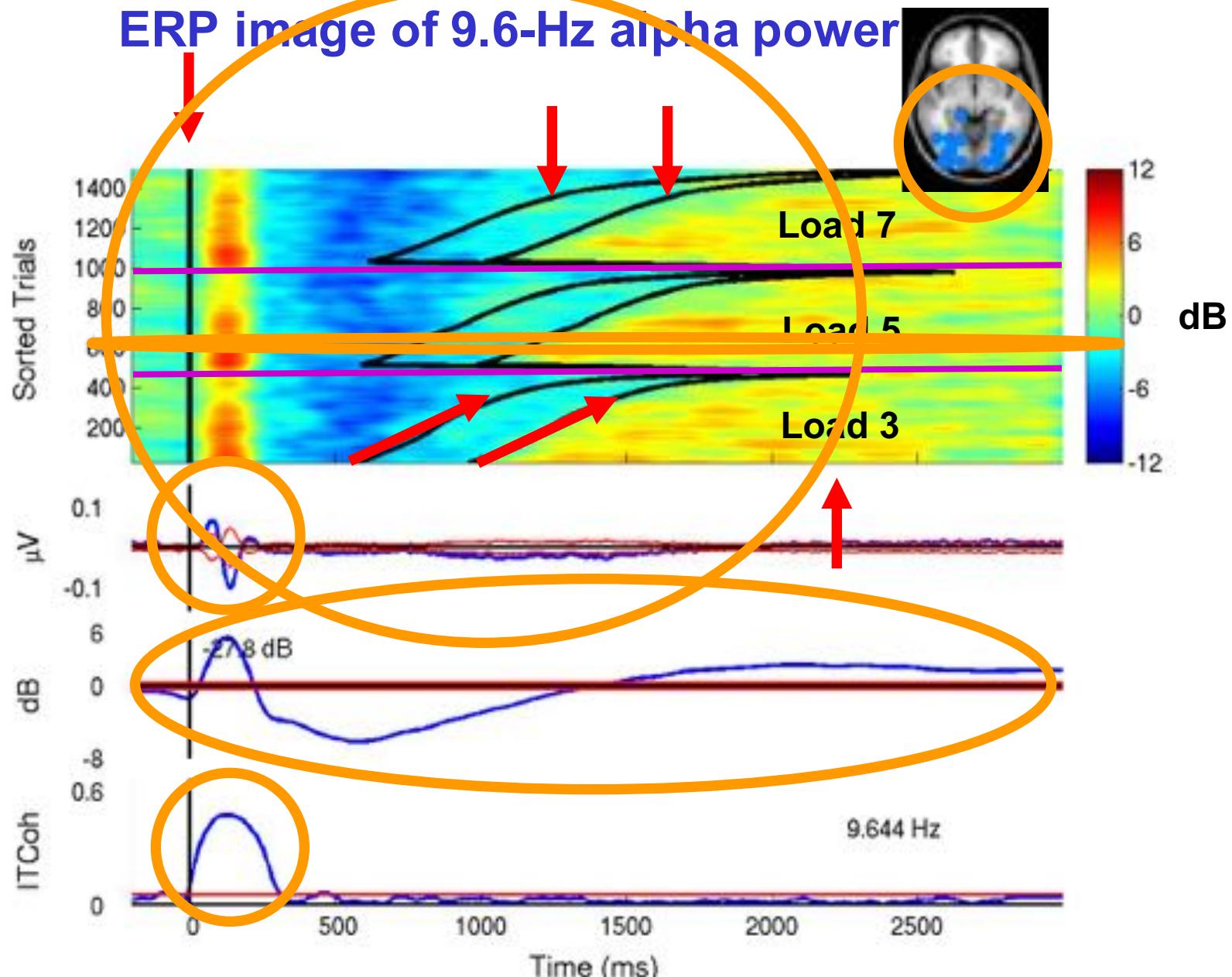
E



# Goal: To cluster equivalent ICs across subjects

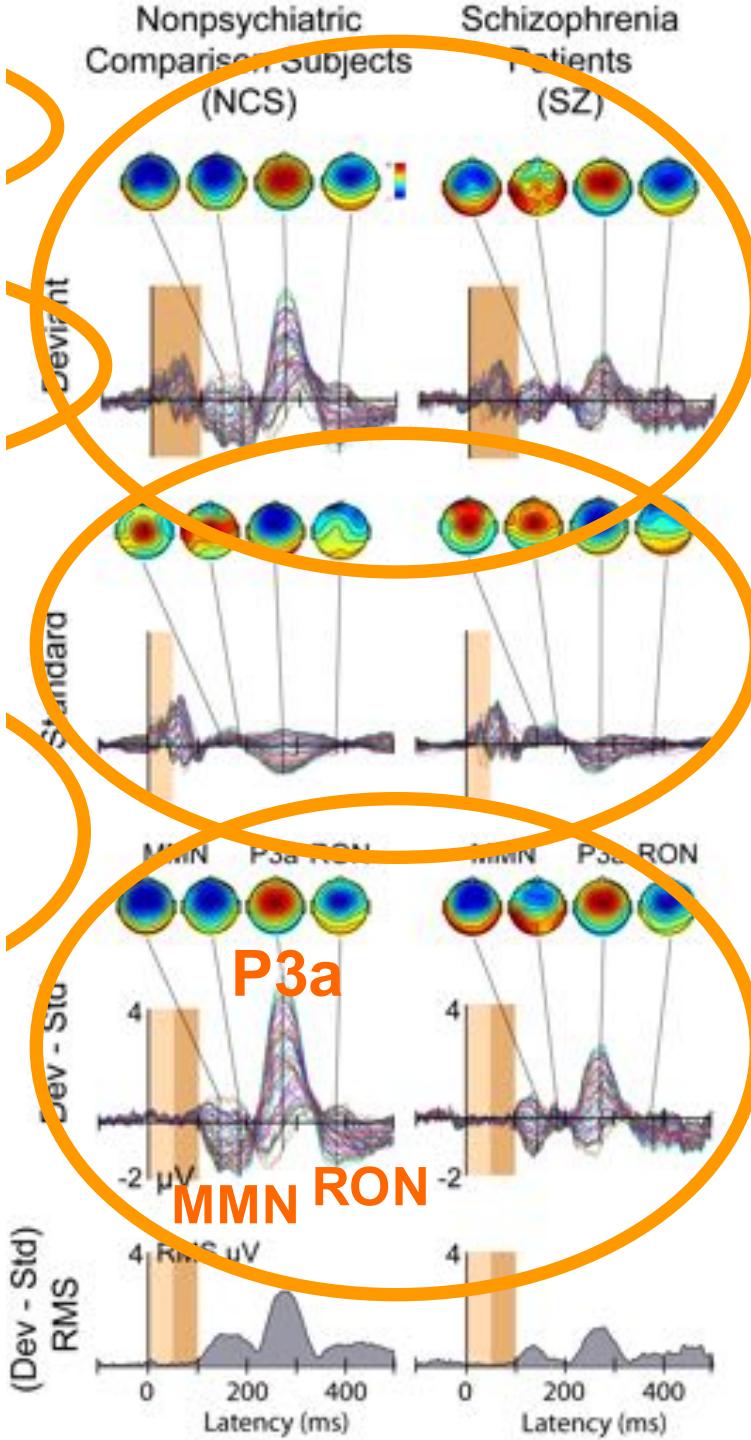


# ERP image of 9.6-Hz alpha power

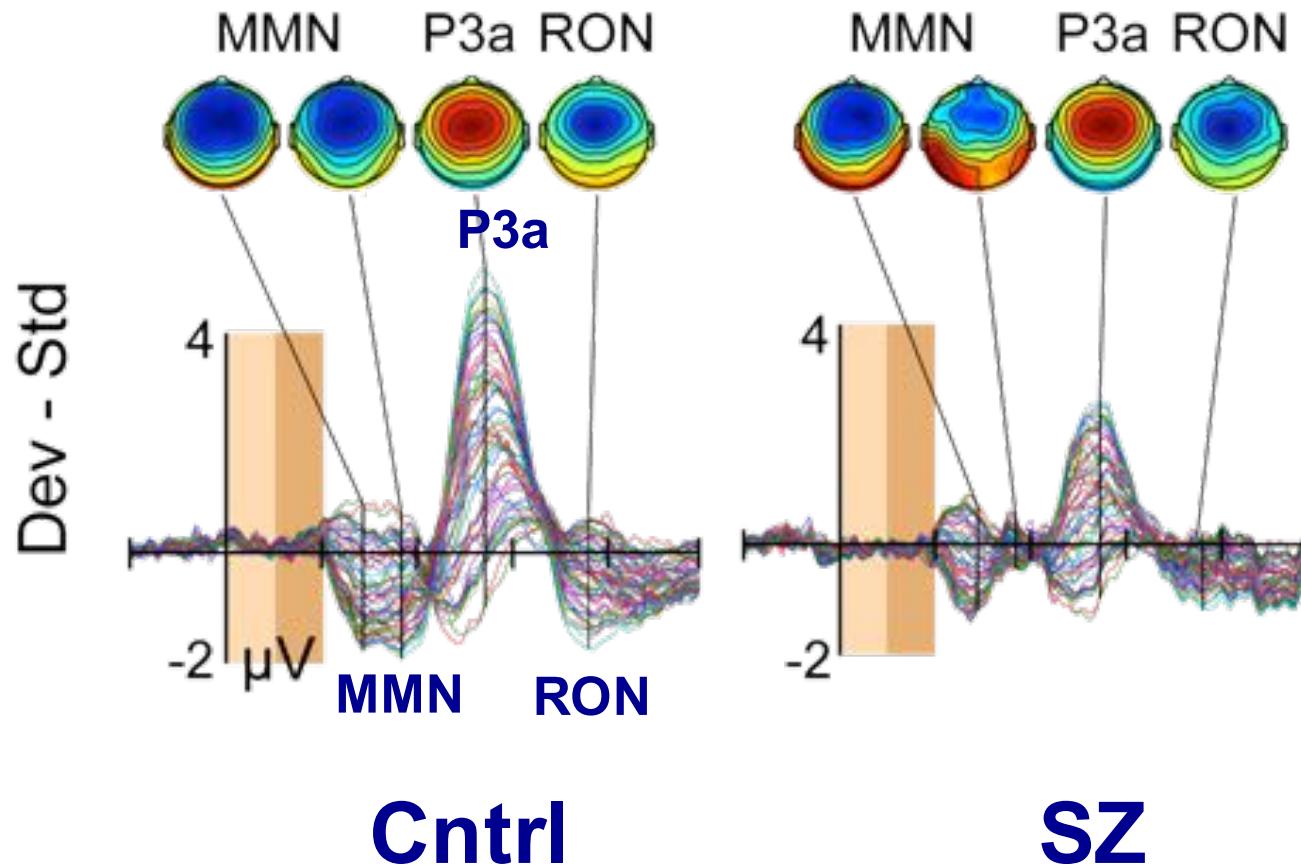


erpimage()

Onton, Delorme & Makeig, 2005.

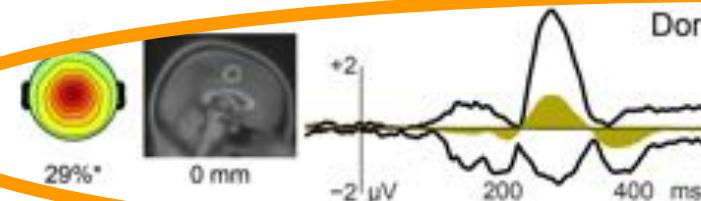
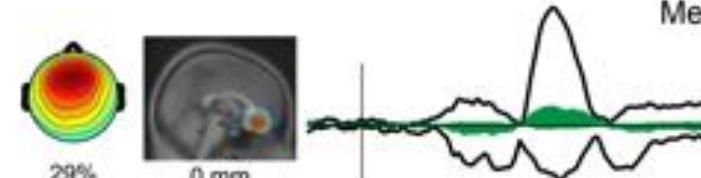
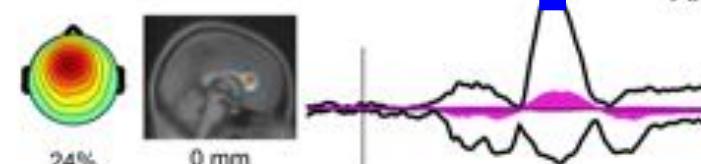
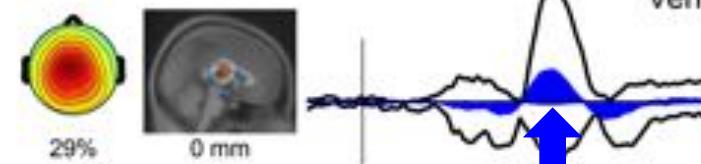
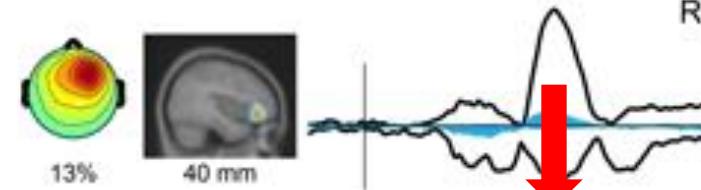
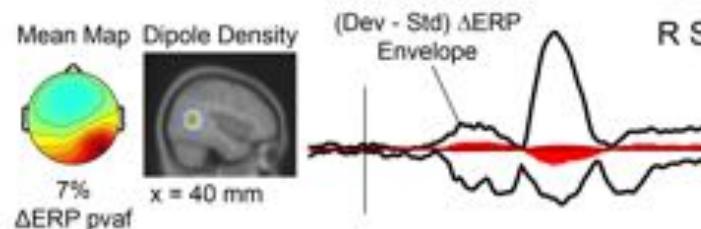


# Auditory Deviance Response



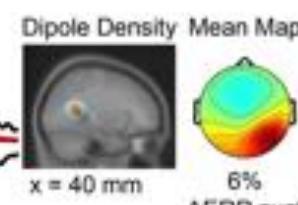
The deepest mental trap in electrophysiology  
lies in the word “THE” !!!

### Nonpsychiatric Comparison Subjects (NCS)



### Schizophrenia Patients (SZ)

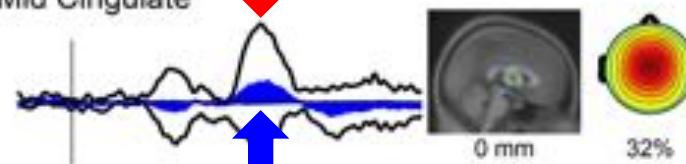
#### R Superior Temporal



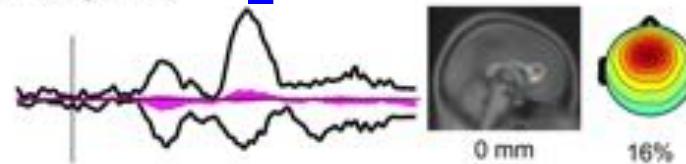
#### R Inferior Frontal



#### Ventral Mid Cingulate



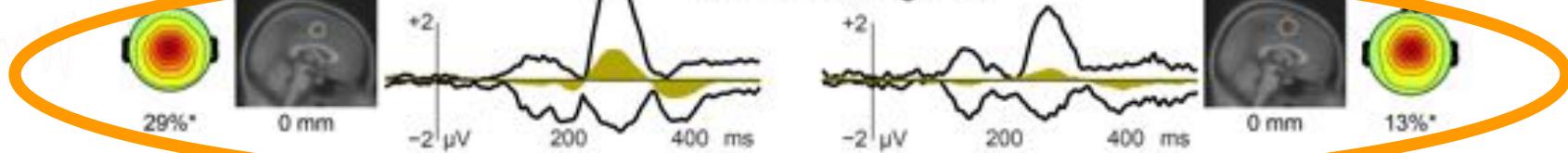
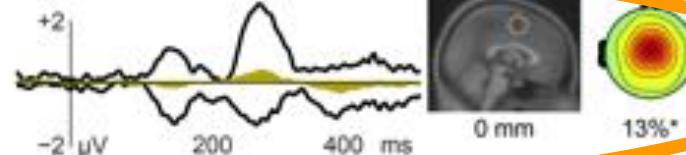
#### Anterior Cingulate



#### Medial Orbitofrontal



#### Dorsal Mid Cingulate



## PEAK AMPLITUDES

ERP

$r^2$

Scalp Electrode (Fz)

Verbal IQ (WRAT)

Functional Capacity (UPSA)

R Superior Temporal

Working Memory (LNS Reorder)

Verbal IQ (WRAT)

**Immediate Verbal Memory (CVLT)**

Delayed Verbal Memory (CVLT)

**Functional Capacity (UPSA)**

Functional Capacity (UPSA)

R Inferior Frontal

**Negative Symptoms (SANS)**

Psychosocial Functioning (SOC)

**Auditory Attention (LNS Forward)**

Working Memory (LNS Reorder)

Verbal IQ (WRAT)

Ventral Mid Cingulate

**Positive Symptoms (SAPS)**

**Negative Symptoms (SANS)**

**Immediate Verbal Memory (CVLT)**

Delayed Verbal Memory (CVLT)

Verbal IQ (WRAT)

Executive Functioning (WCST)

Anterior Cingulate

Functional Status (GAF)

Functional Status (GAF)

Immediate Verbal Memory (CVLT)

Delayed Verbal Memory (CVLT)

Medial Orbitofrontal

**Positive Symptoms (SAPS)**

**Negative Symptoms (SANS)**

**Psychosocial Functioning (SOC)**

**Functional Capacity (UPSA)**

Dorsal Mid Cingulate

Verbal IQ (WRAT)

Executive Functioning (WCST)

P3a  
RON

0.11  
0.12

RON

0.15  
0.15

RON

0.28  
0.26

MMN

0.48  
0.26

RON

0.36  
0.24

MMN

0.38  
0.30

MMN

0.46  
0.46

RON

0.29  
0.36

RON

0.41  
0.24

RON

0.29  
0.29

RON

0.24  
0.24

MMN

0.18  
0.17

RON

0.17  
0.25

RON

0.17  
0.17

P3a

0.40  
0.54

P3a

0.37  
0.32

P3a

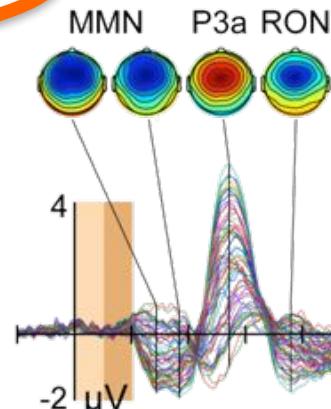
0.15  
0.18

MMN

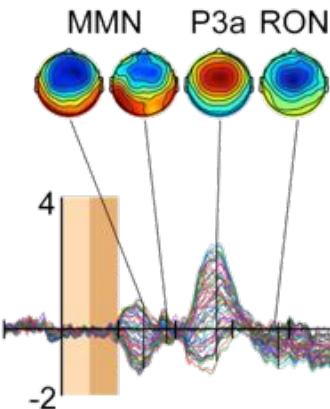
0.18  
0.18

## ADR

Dev - Std



## Cntrl



## SZ

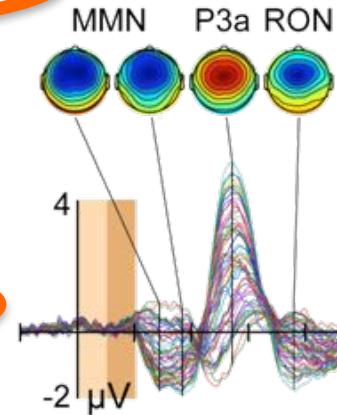
# PEAK LATENCIES

ERP

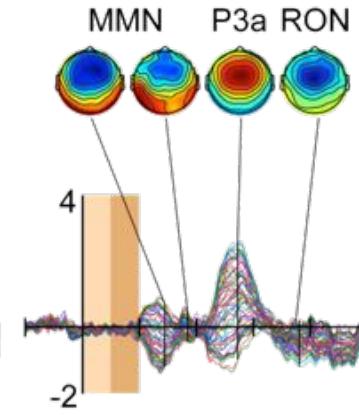
$r^2$

Scalp Electrode (Fz)		
---n/a---	---	---
R Superior Temporal		
Functional capacity (UPSA)	MMN	0.25
Delayed Verbal Memory (CVLT)	MMN	0.17
R Inferior Frontal		
<b>Negative Symptoms (SANS)</b>	<b>RON</b>	<b>0.51</b>
Psychosocial Functioning (SOF)	RON	0.25
<b>Executive Functioning (WCST)</b>	<b>MMN</b>	<b>0.30</b>
<b>Executive Functioning (WCST)</b>	<b>P3a</b>	<b>0.28</b>
Ventral Mid Cingulate		
<b>Negative Symptoms (SANS)</b>	<b>P3a</b>	<b>0.33</b>
<b>Negative Symptoms (SANS)</b>	<b>RON</b>	<b>0.33</b>
Psychosocial Functioning (SOF)	P3a	0.31
Verbal IQ (WRAT)	MMN	0.25
<b>Executive Functioning (WCST)</b>	<b>P3a</b>	<b>0.30</b>
Anterior Cingulate		
Functional Capacity (UPSA)	RON	0.17
Verbal IQ (WRAT)	MMN	0.24
Auditory Attention (LNS-Forward)	MMN	0.17
Medial Orbitofrontal		
<b>Negative Symptoms (SANS)</b>	<b>RON</b>	<b>0.41</b>
<b>Positive Symptoms (CAPS)</b>	<b>RON</b>	<b>0.40</b>
<b>Auditory Attention (LNS-Forward)</b>	<b>MMN</b>	<b>0.29</b>
<b>Executive Functioning (WCST)</b>	<b>P3a</b>	<b>0.32</b>
Dorsal Mid Cingulate		
Negative Symptoms (SANS)	MMN	0.20
Negative Symptoms (SANS)	P3a	0.17
Global Functioning (GAF)	RON	0.24
Functional Capacity (UPSA)	P3a	0.13

# ADR

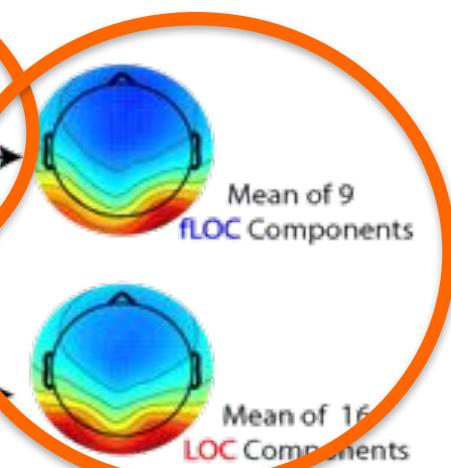
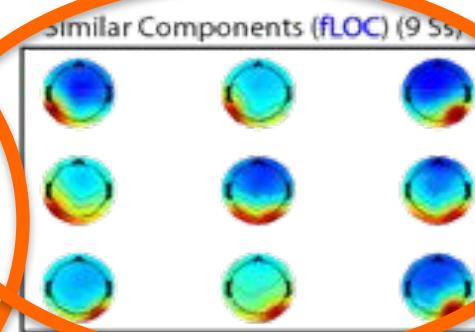
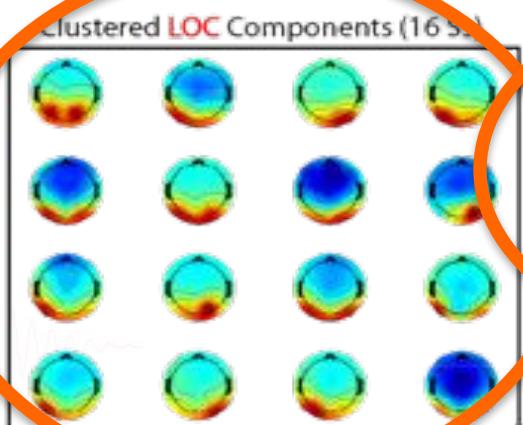
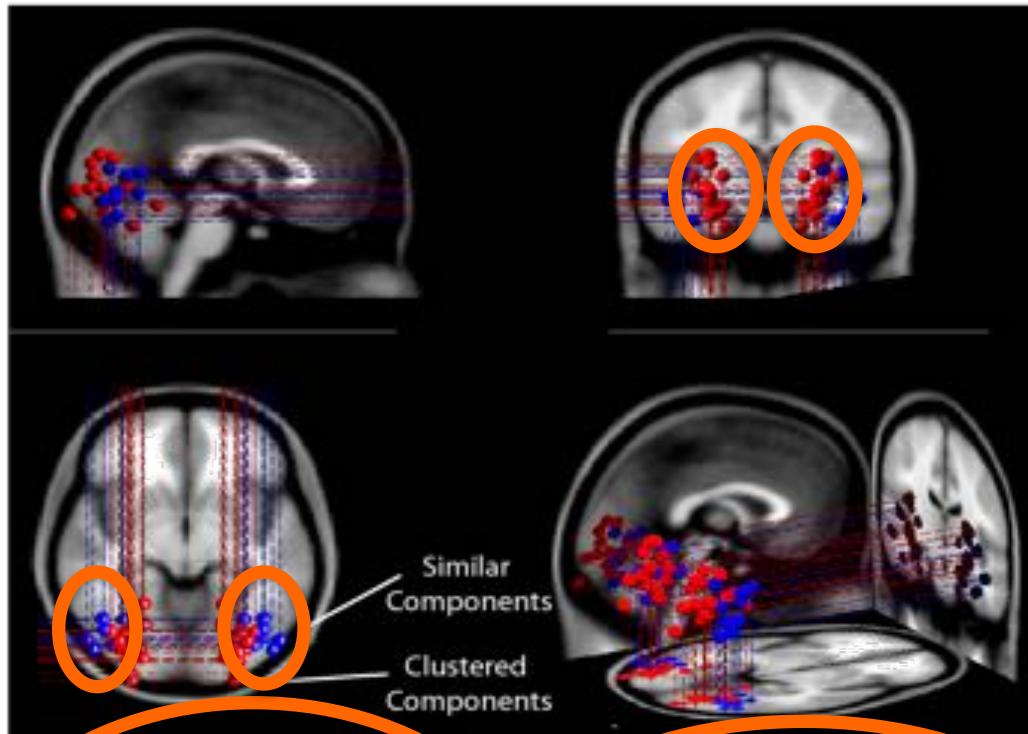


Cntrl

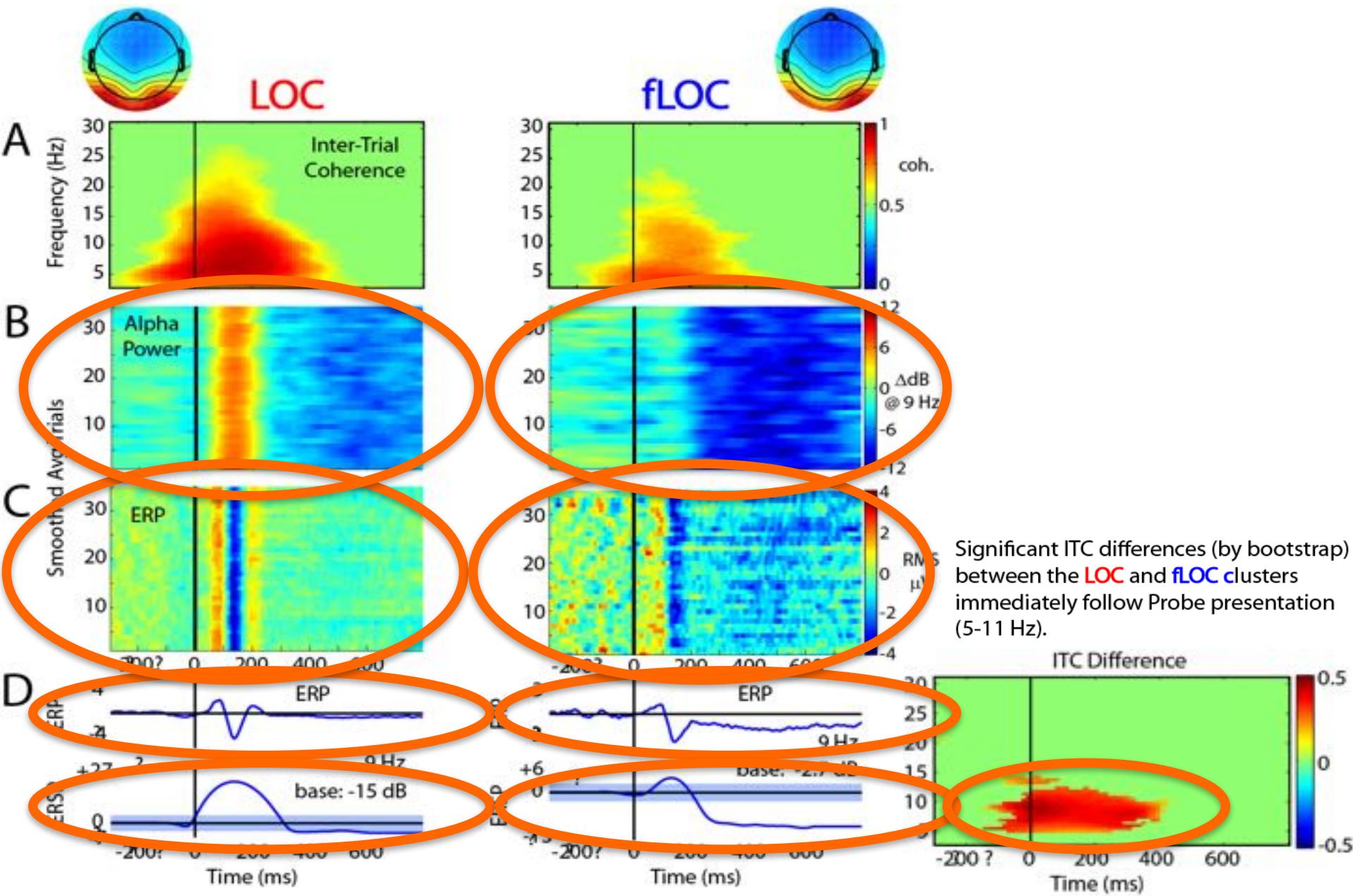


SZ

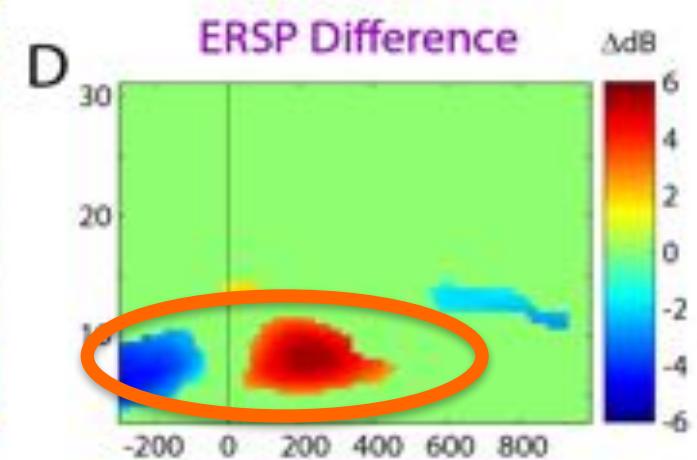
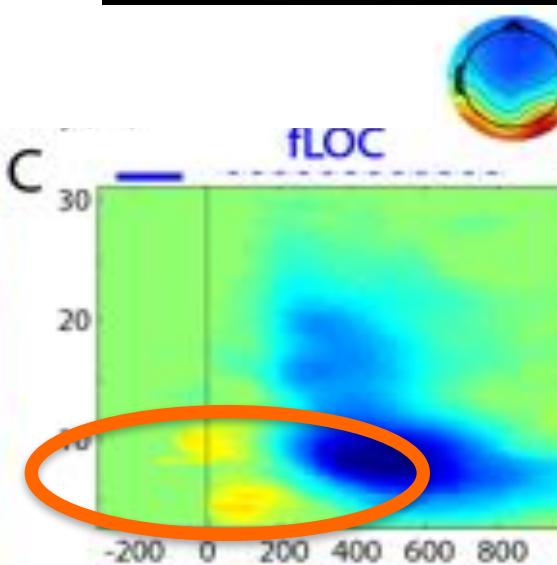
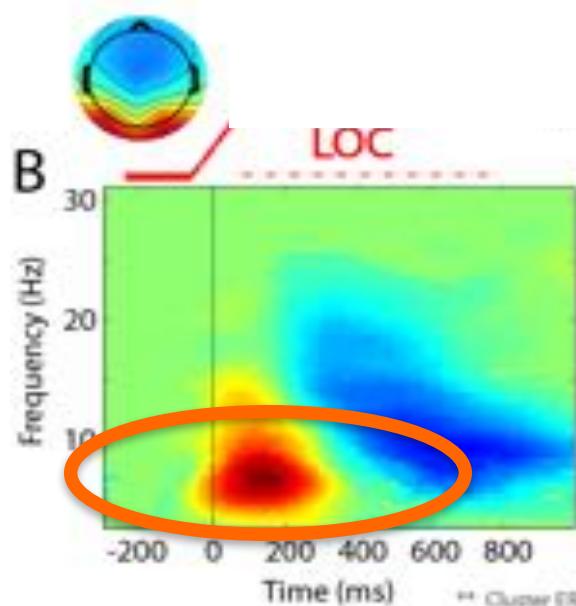
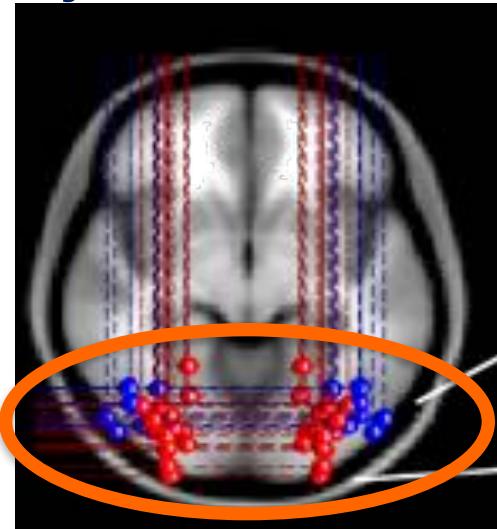
# Why aren't all participants in every IC cluster?



# Subject differences?



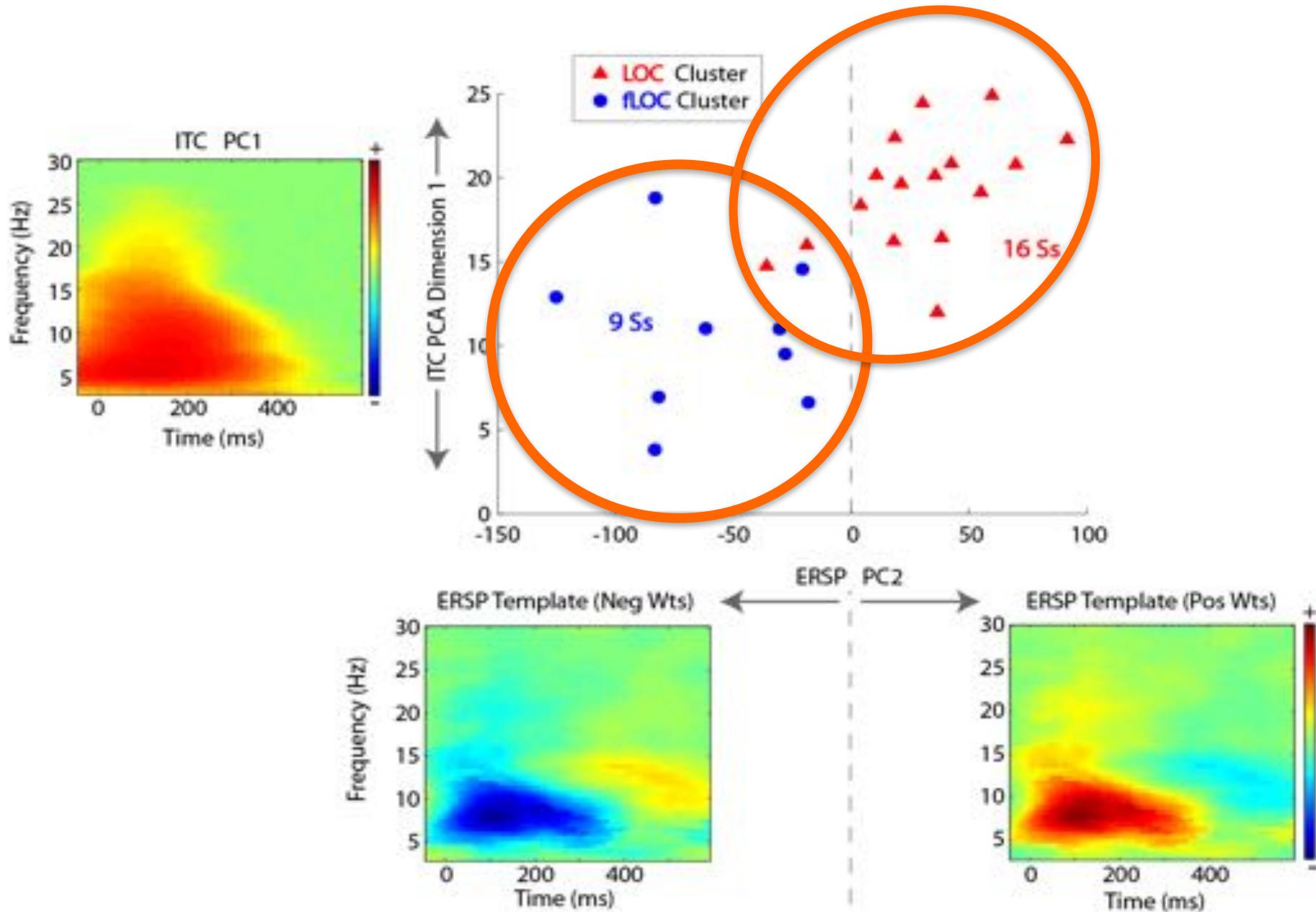
# Subject differences?



\*\* Cluster ERSPs show significant activity determined by bootstrap statistics within subject and binomial probability between subjects ( $p < 0.01$ )

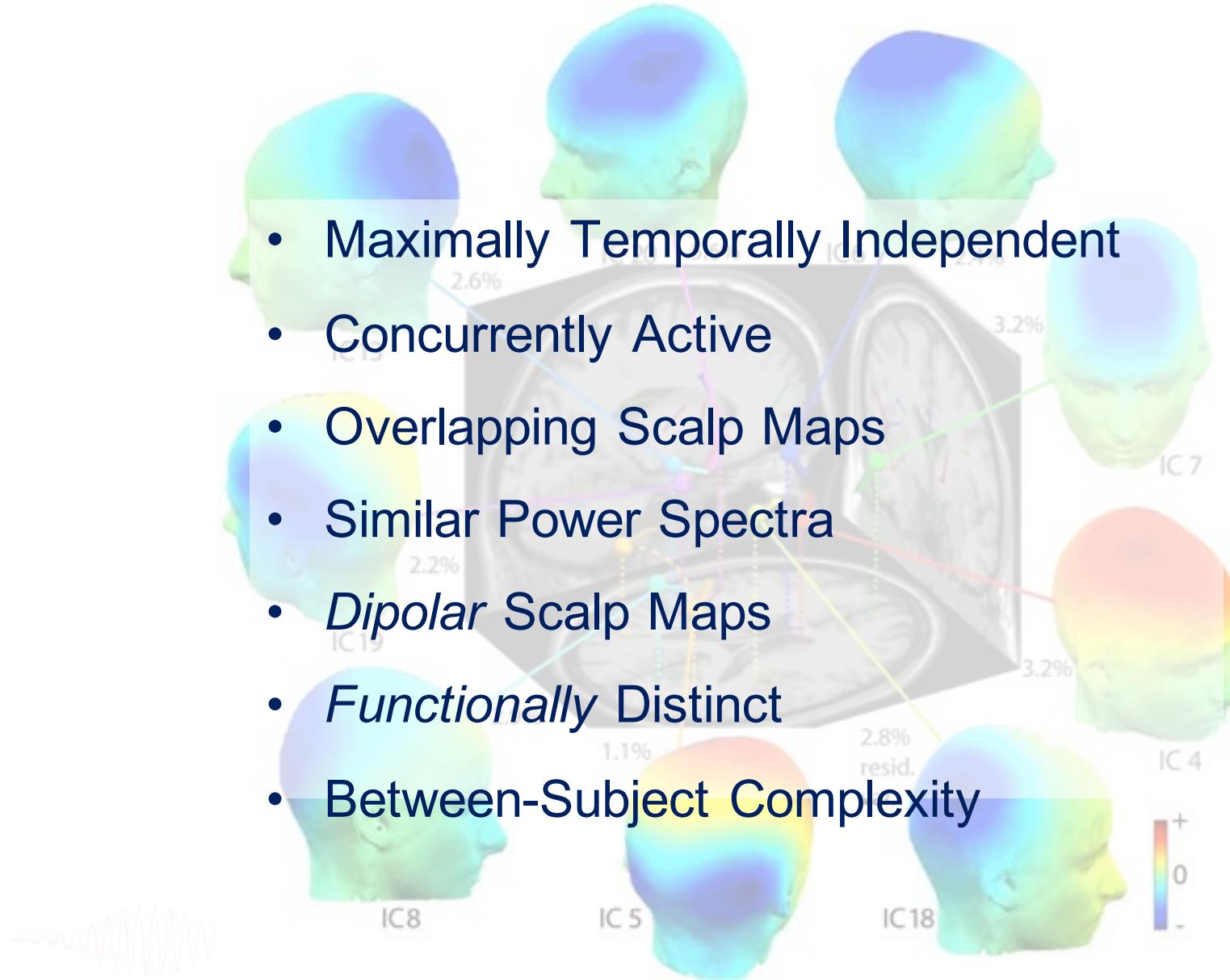
\*\*\* Difference ERSP shows significant differences between the two clusters by bootstrap statistics ( $p < 0.001$ )

# Subject differences?

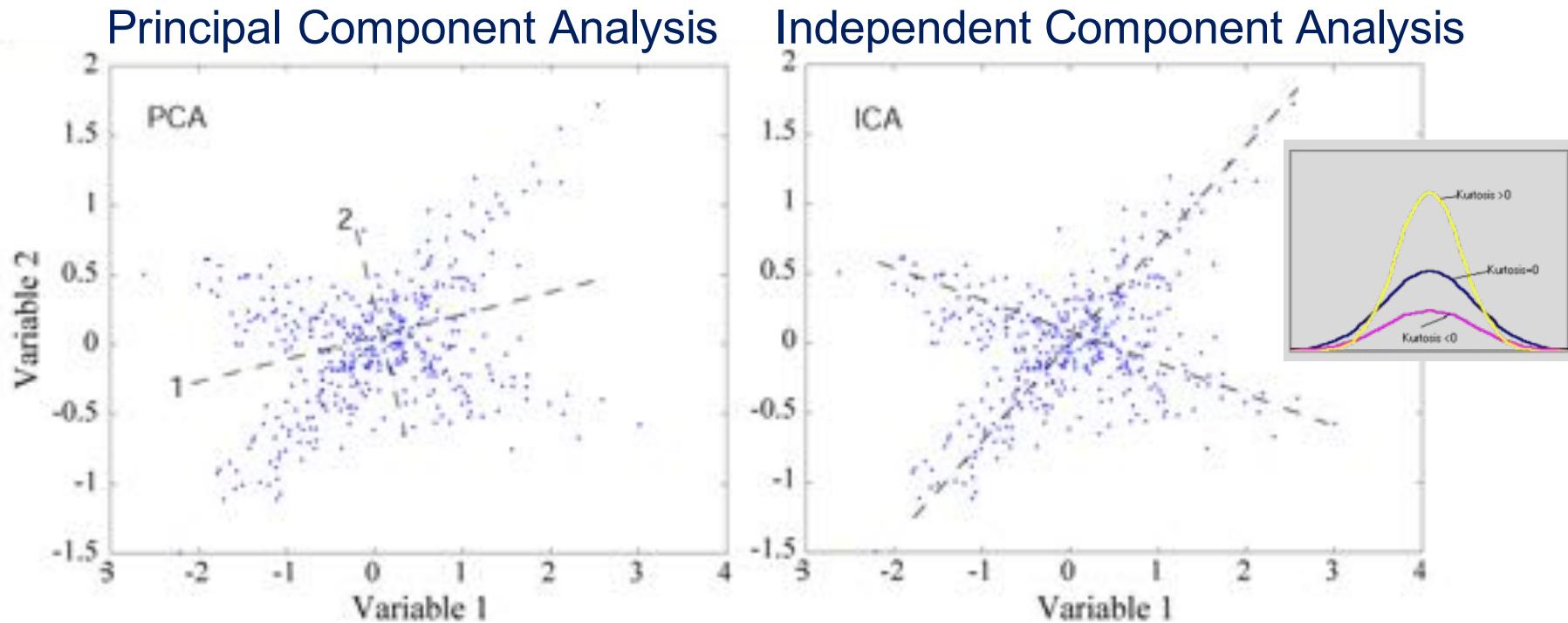


# Properties of EEG Independent Components

- Maximally Temporally Independent
- Concurrently Active
- Overlapping Scalp Maps
- Similar Power Spectra
- *Dipolar* Scalp Maps
- *Functionally* Distinct
- Between-Subject Complexity



# ICA vs. PCA



PCA simply decorrelates the outputs using an **orthogonal mixing matrix**.

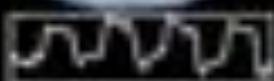
PCA makes each successive component account for as much **variance** in the data as possible.

ICA makes each component account for as much **temporally independent information** in the data as possible, with no constraints on the mixing matrix.

*PCA lumps – ICA splits!*

# Independent fMRI Components

Consistently  
task-related



Transiently  
task-related



Abrupt head  
movement



Quasi-periodic



Slowly-varying

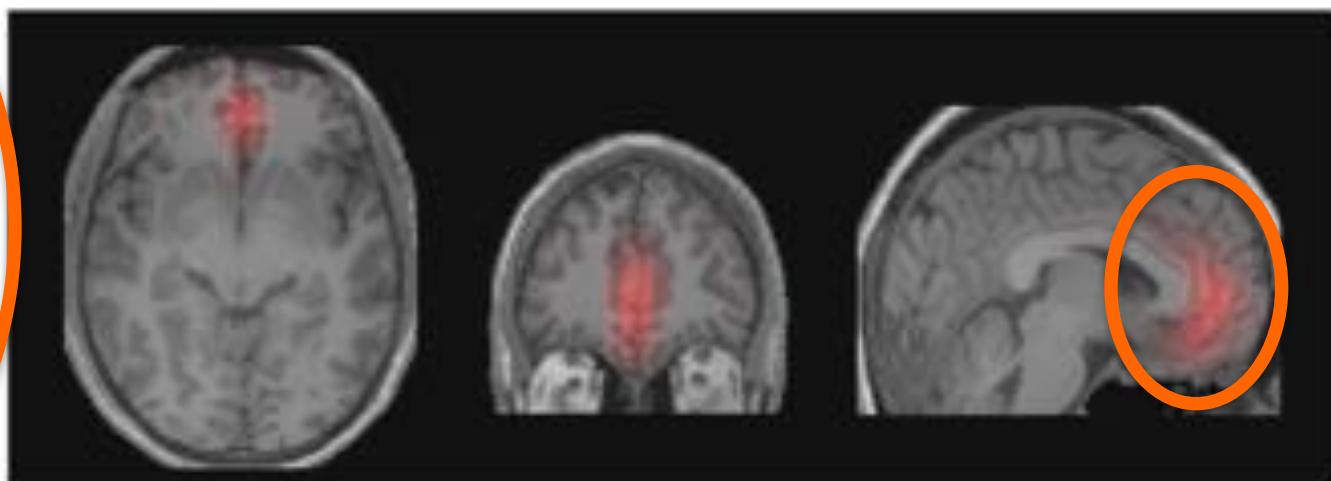
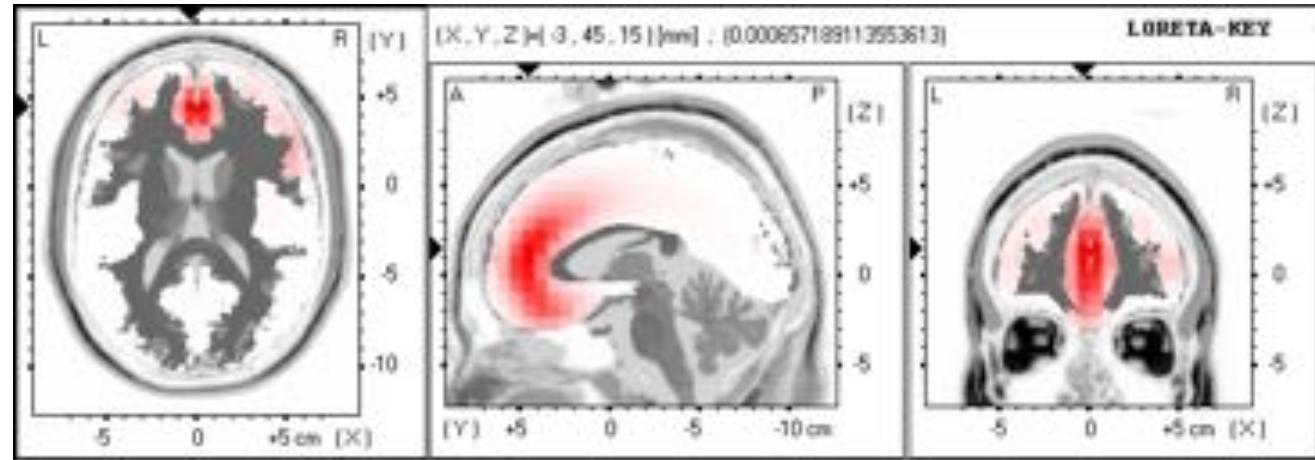
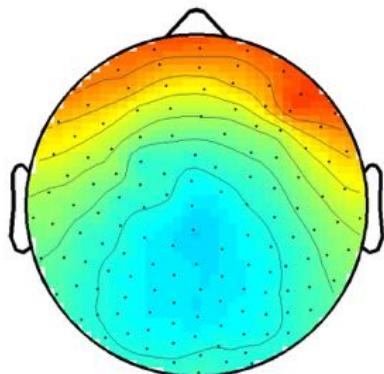


Slow head  
movement



■ Activated  
■ Suppressed

# EEG Source Localization





More to come

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