

Independent Component Analysis of Electrophysiological Data



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23rd EEGLAB Workshop
Mysuru, India

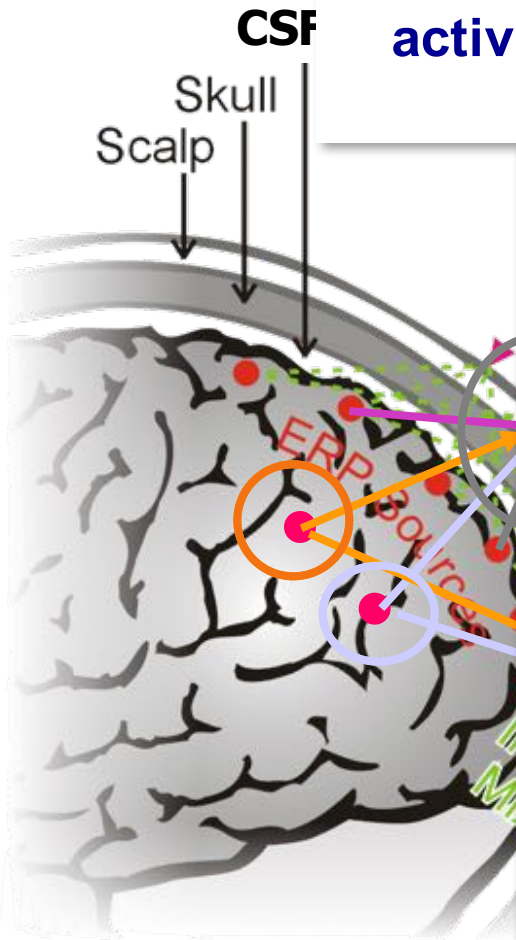
January, 2017

Blind EEG Source Separation by Independent Component Analysis



Tony Bell,
developer
of Infomax
ICA

ICA can find distinct EEG source activities -- and their 'simple' scalp maps!



Independent Component Analysis of Electroencephalographic Data

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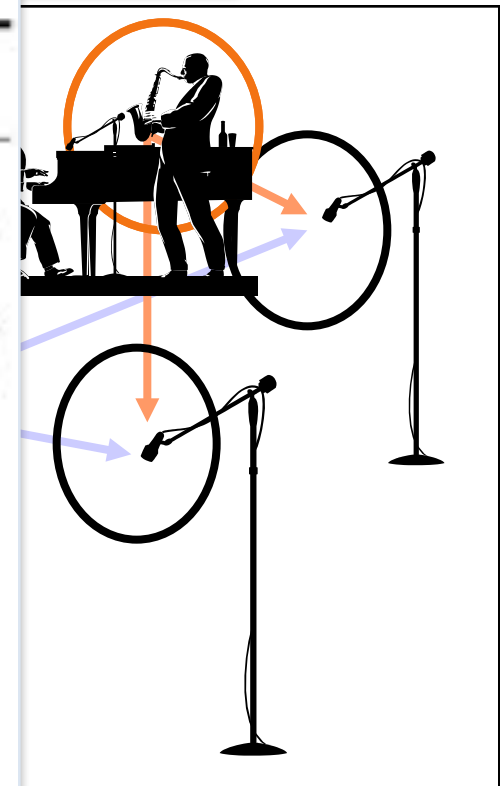
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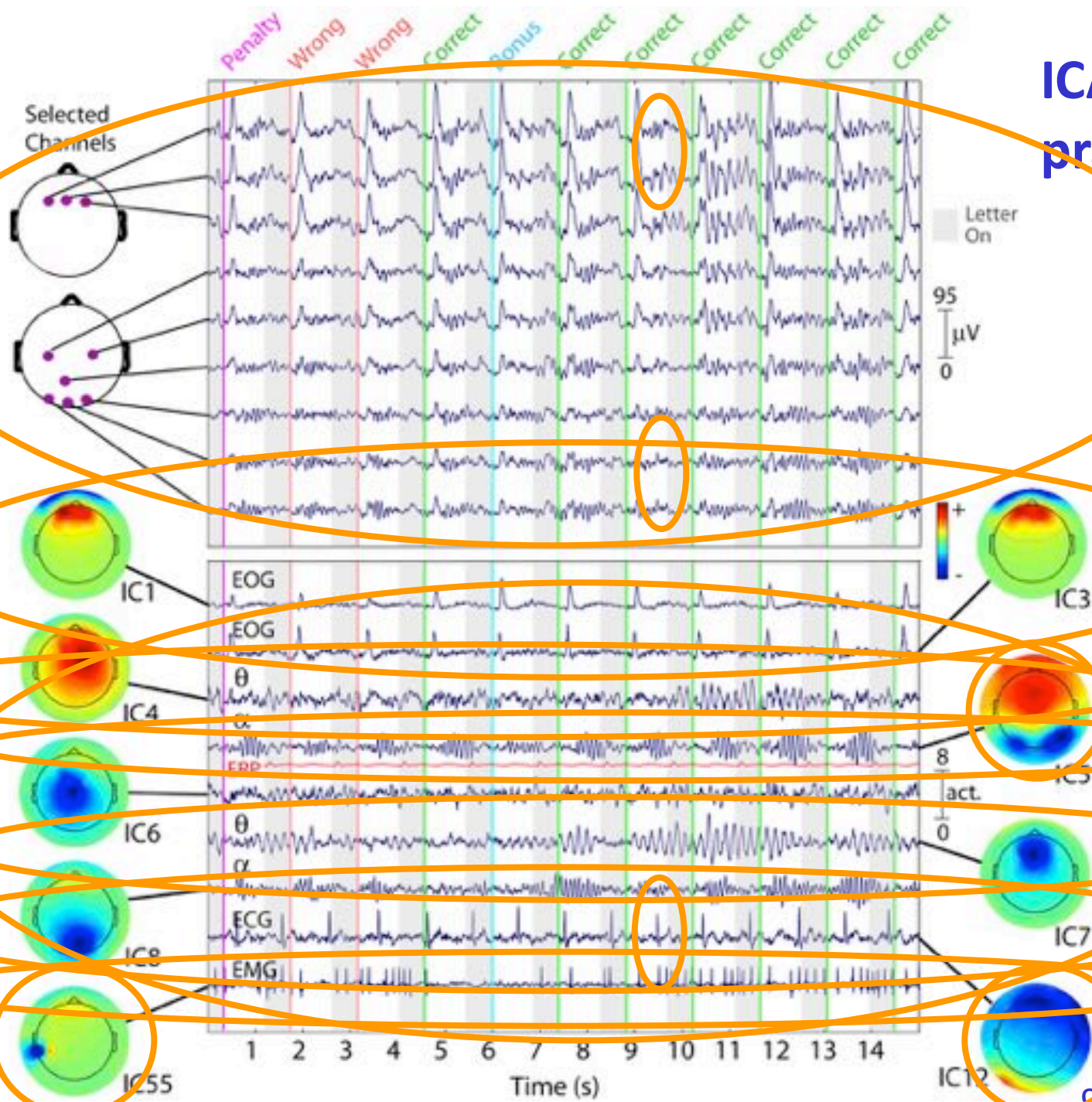
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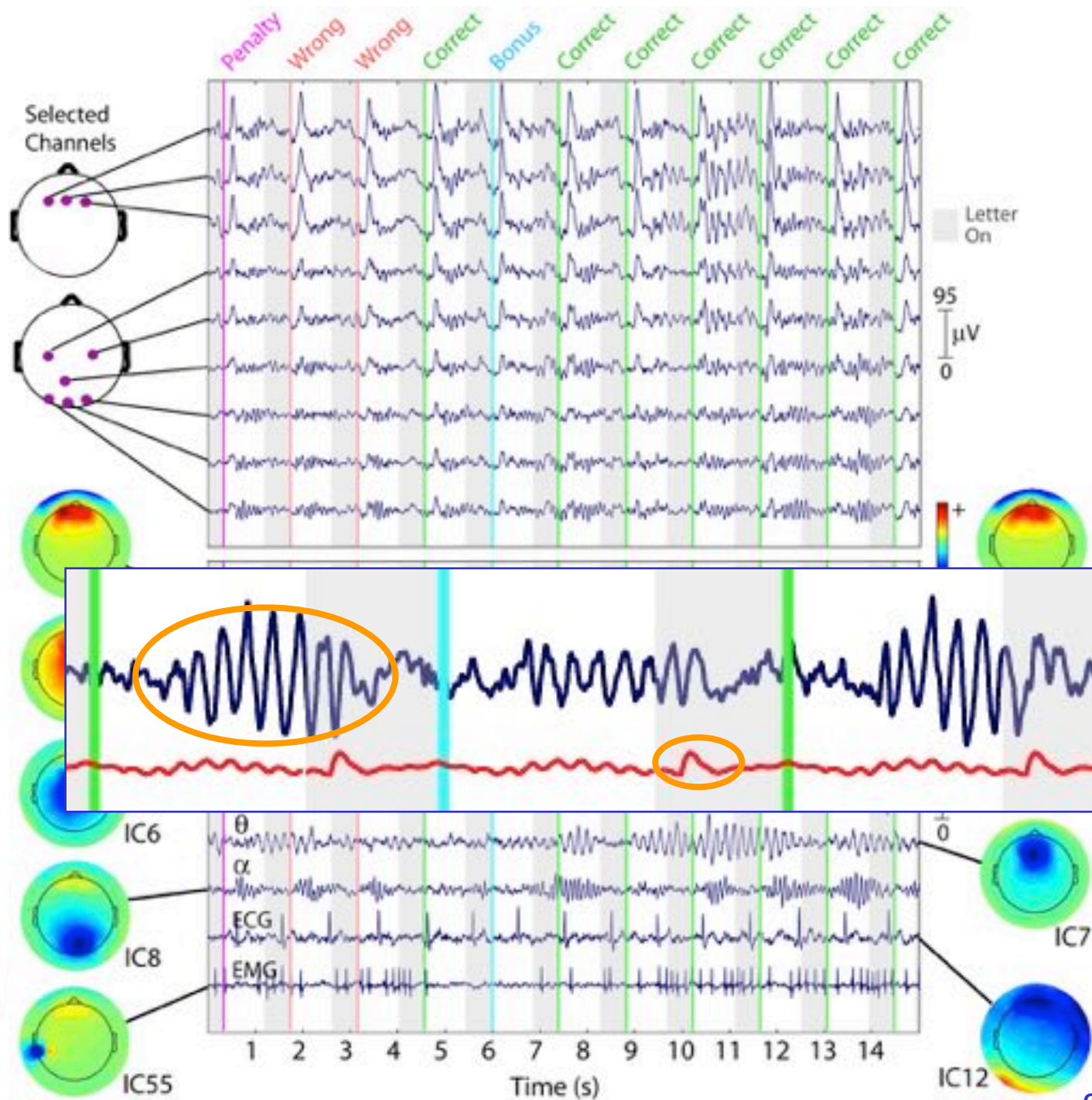
Abstract

Because of the distance between the skull and brain and their different conductivities, electroencephalographic (EEG) data collected from any point on the human scalp include activity generated within a large brain area. This spatial smearing of EEG data by volume conduction does not obscure significant time delays, however, suggesting that the Independent Component Analysis (ICA) algorithm of Bell and Sejnowski (1) is suitable for performing blind source separation on EEG data. The ICA algorithm separates the problem of source identification from that of source localization. Five results of applying the ICA algorithm to EEG and magnetoencephalogram (MEG) data collected during a sustained auditory detection task show: (1) ICA is useful in localizing to different random words; (2) ICA may be used to separate auditory evoked potentials (AEP) components (the endogenous neural activity) from other sources; (3) ICA is capable of isolating overlapping EEG phenomena, including alpha and theta bands and spatially separate EEG components, to separate ICA channels; (4) Nonstationarities in EEG and behavioral state can be tracked using ICA via changes in the amount of spectral power between ICA-derived output channels.

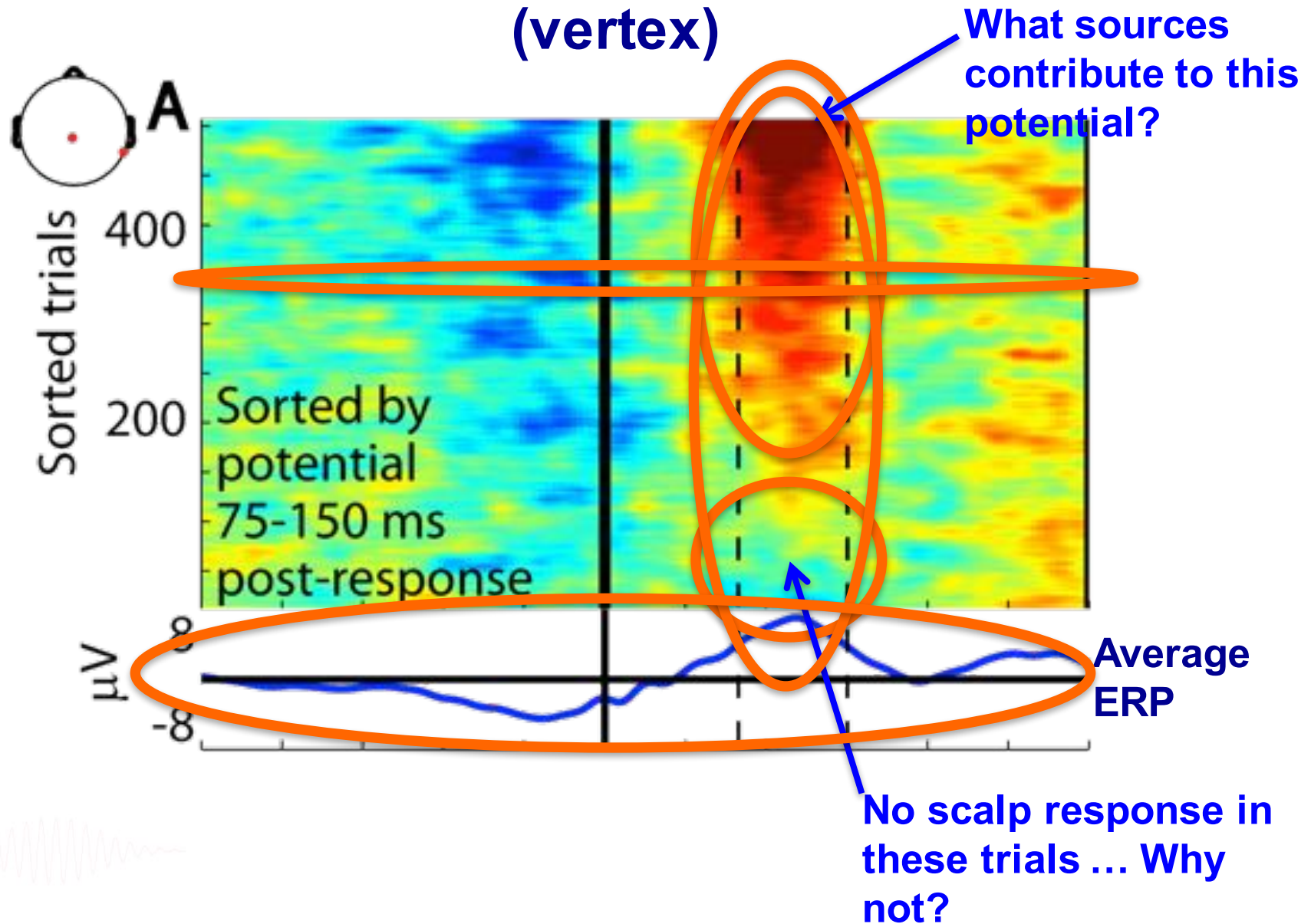


ICA in practice

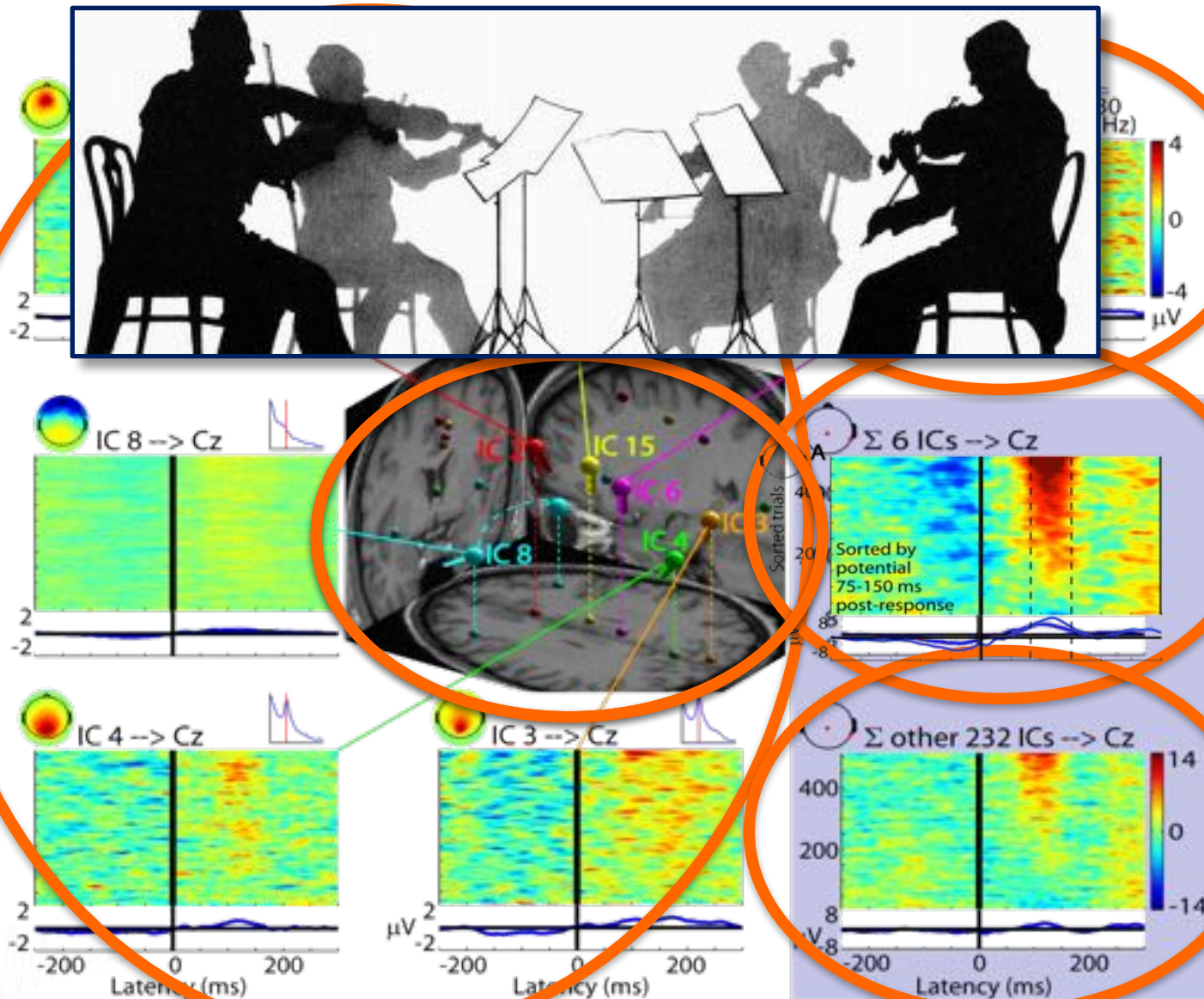




A P300' visual target response at electrode Cz (vertex)



The response (at Cz) sums 238 independent sources



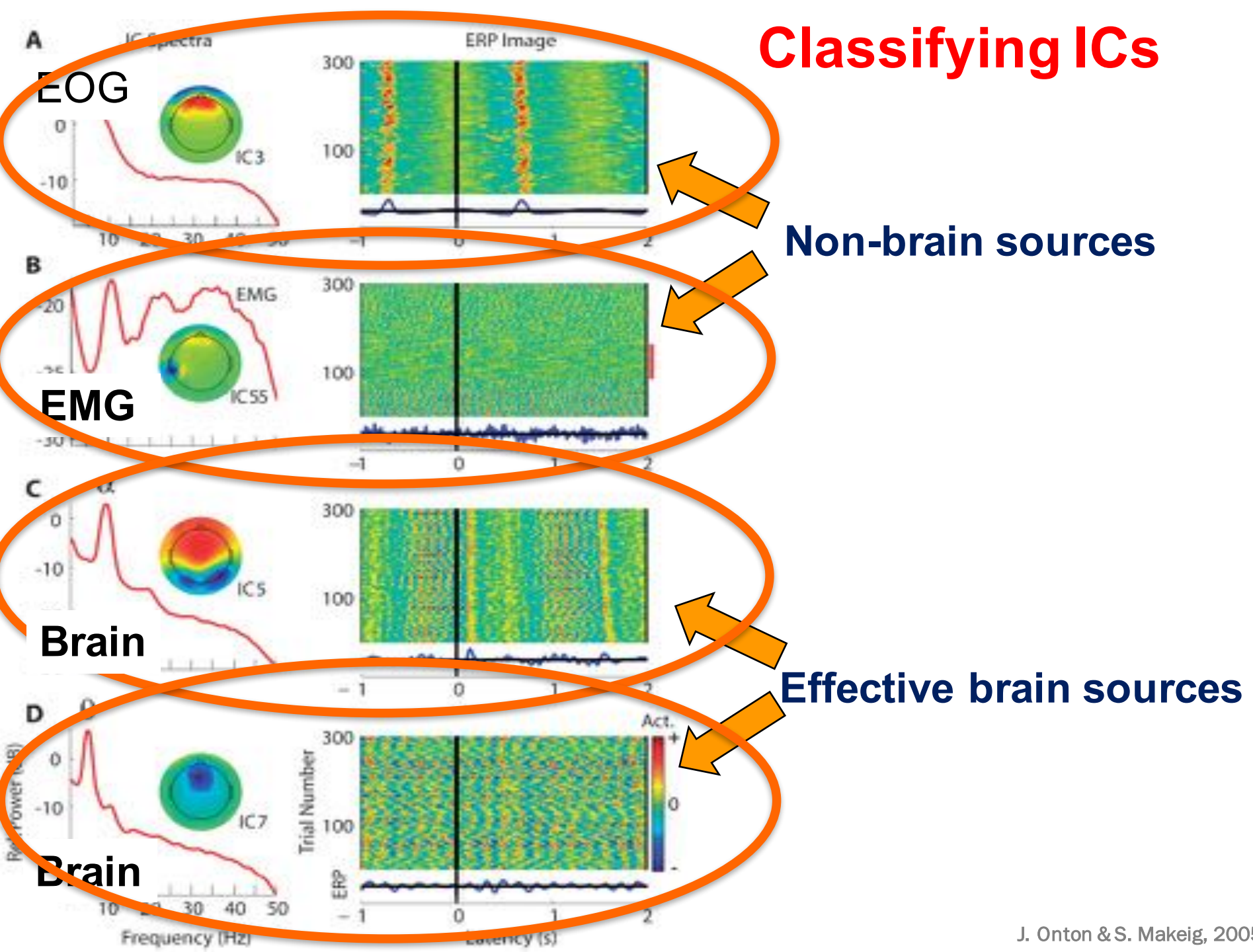
No more than
~30% of any
scalp channel
variance is
produced by any
one brain source!



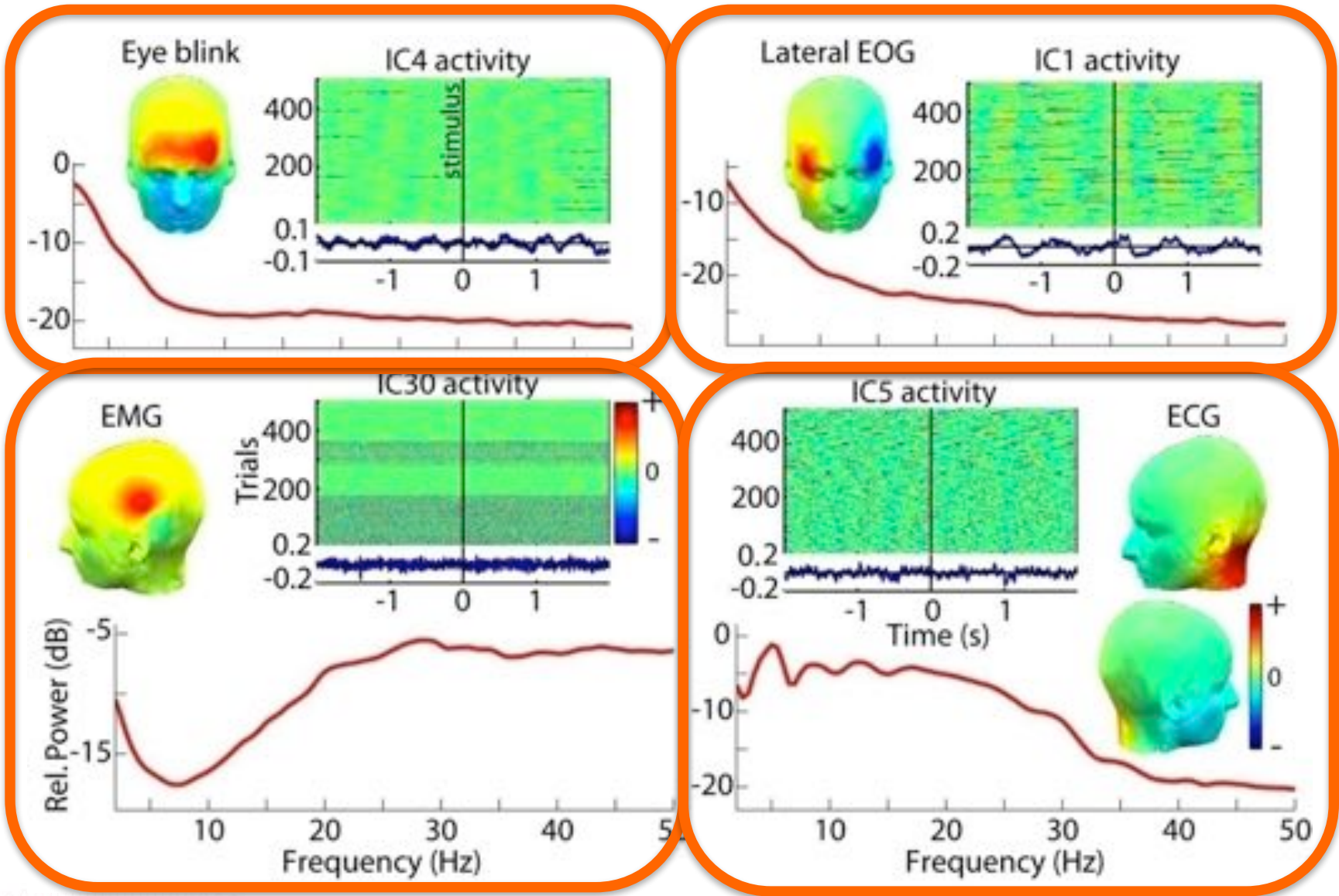
Scalp EEG signals are
strong mixtures
of brain sources.

In this sense scalp
channel signals are
epiphenomena.
Source signals are the EEG
phenomena of real interest!

Classifying ICs

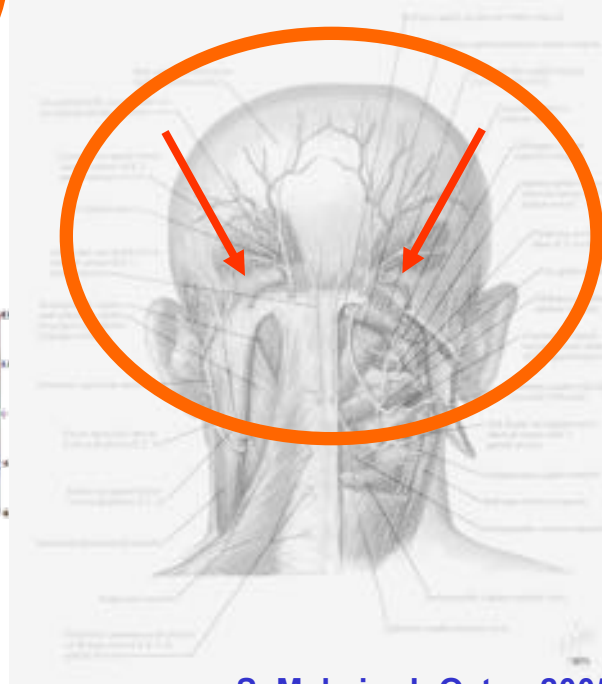
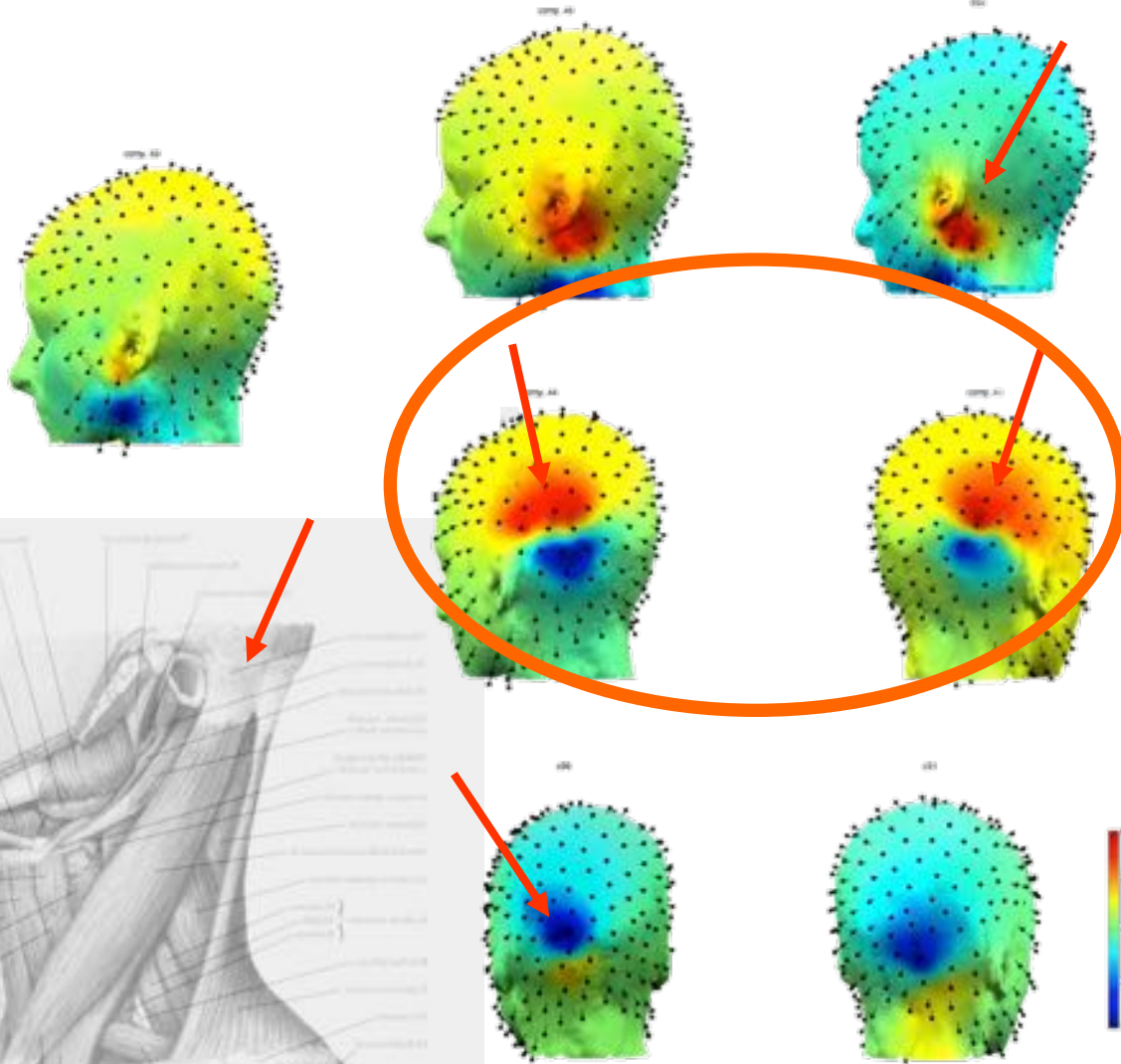
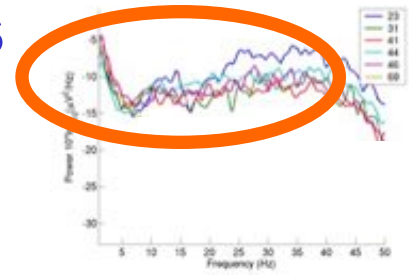


ICA finds Non-Brain Independent Component (IC) Processes ...

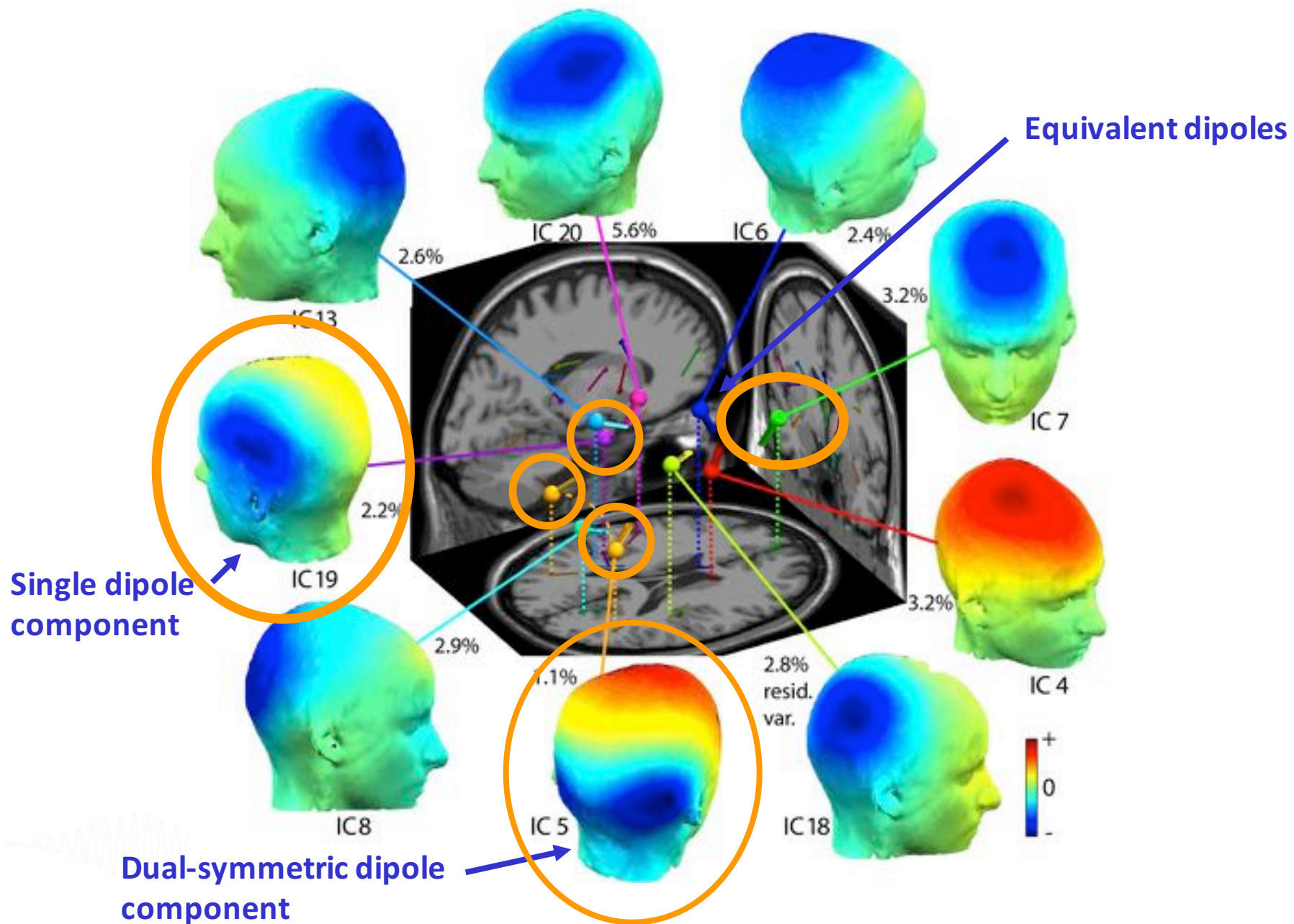


... separates them from the remainder of the data ...

Independent muscle signals



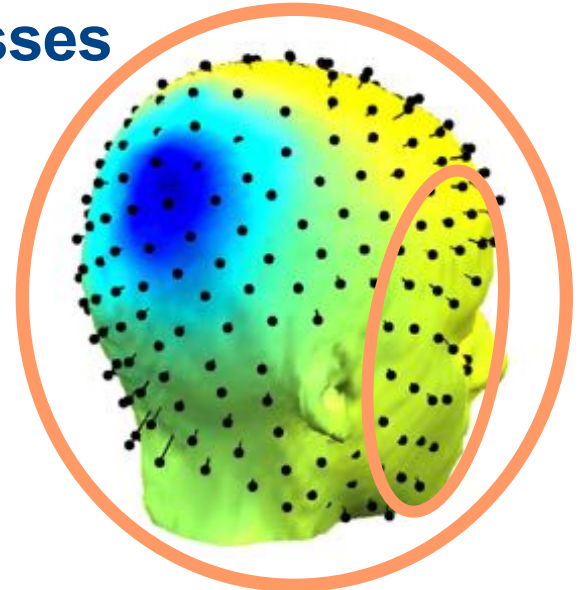
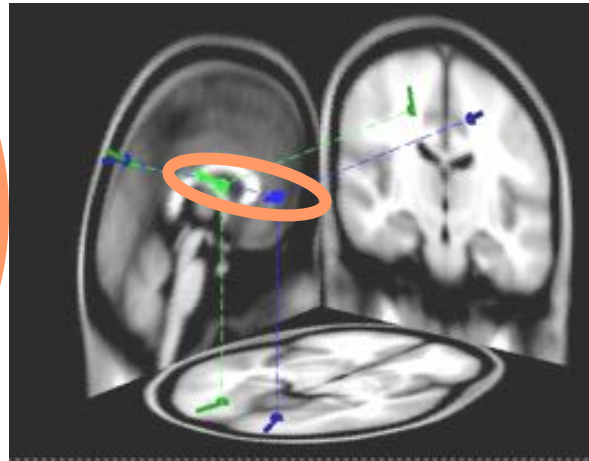
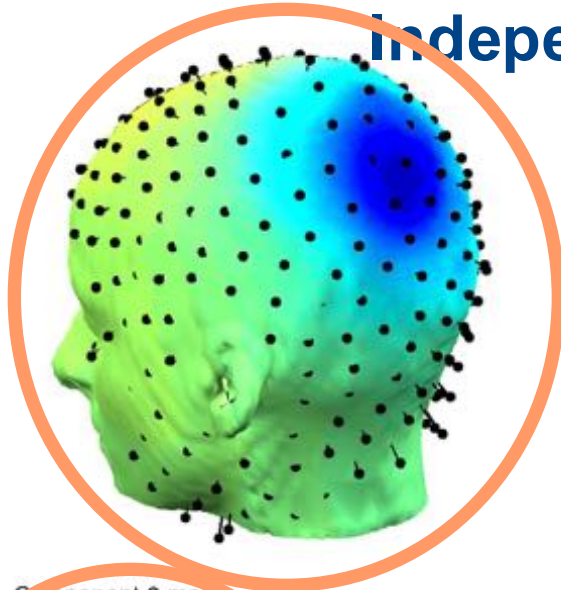
... and also separates cortical brain IC processes



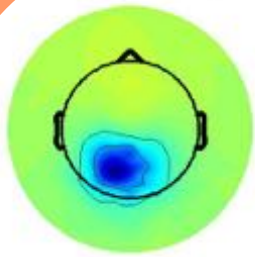
IC9

Single Session - Two Maximally Independent Alpha Processes

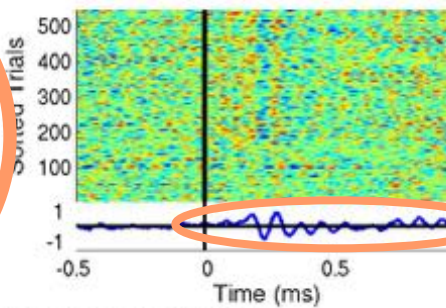
IC11



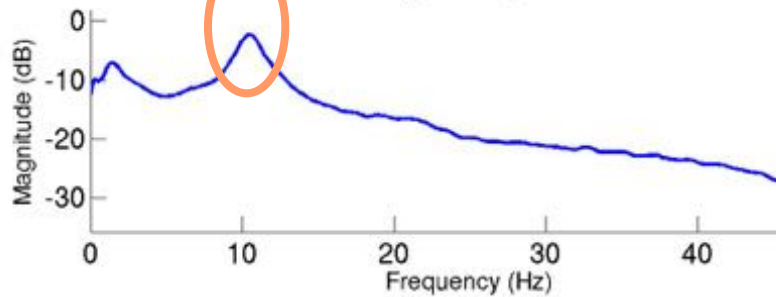
Component 9 map



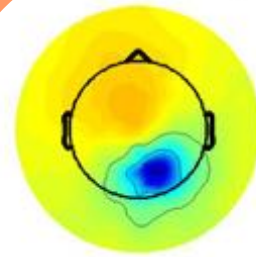
Component 9 activity (global offset 0.02)



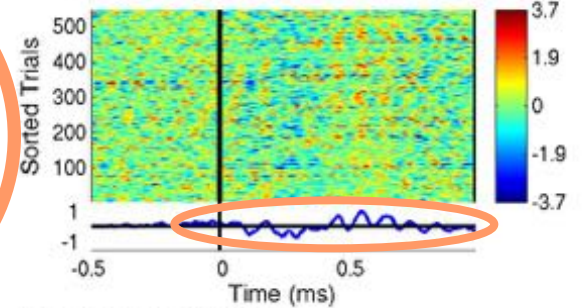
Activity power spectrum



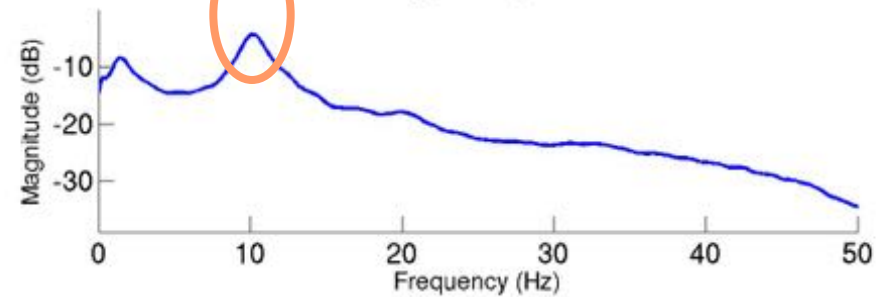
Component 11 map



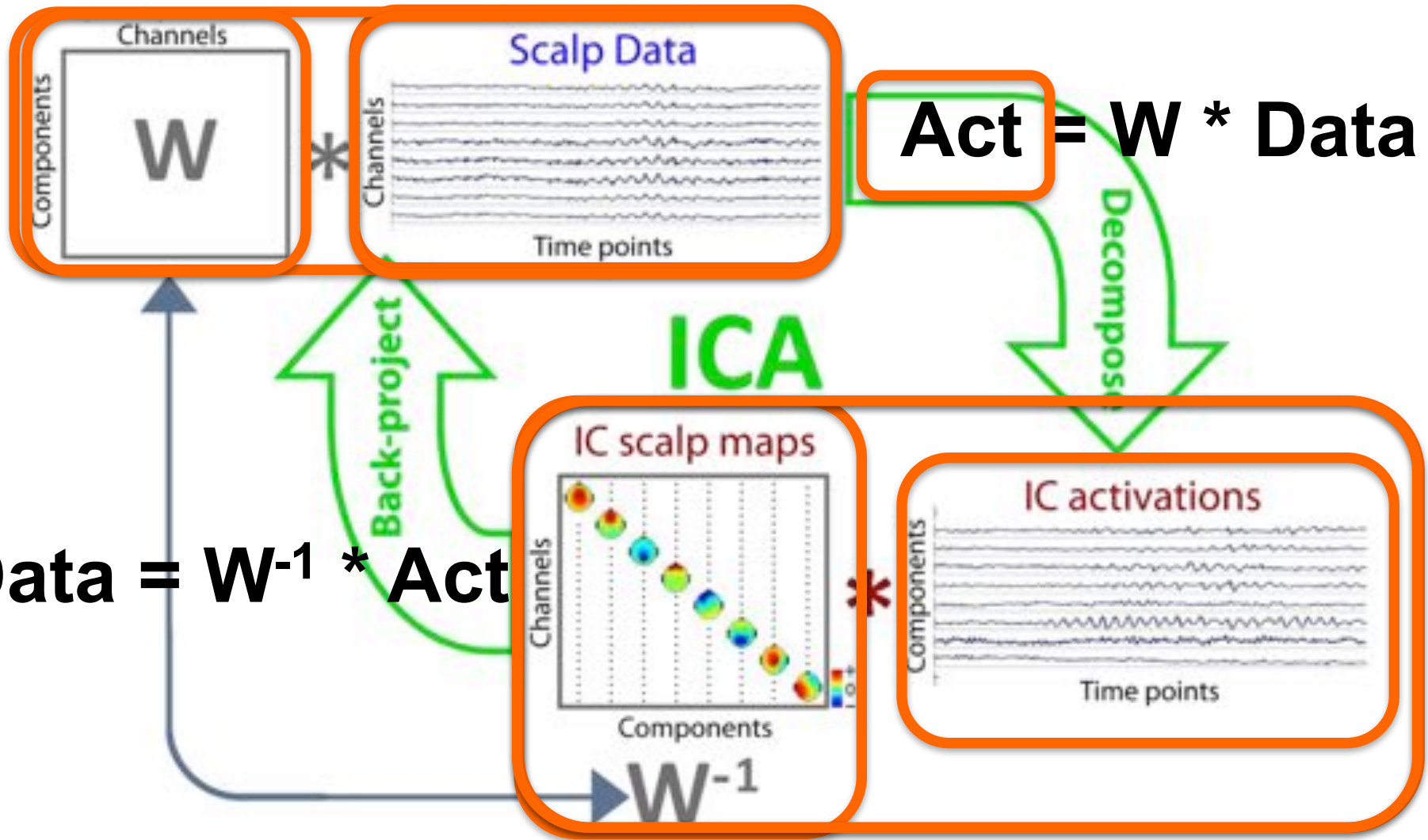
Component 11 activity (global offset -0.038)



Activity power spectrum



ICA is a linear data decomposition method



$$Data = W^{-1} * (W * Data)$$

Infomax ICA learning approach

How to make the outputs statistical independent?

Minimize their redundancy or mutual information.

Consider the joint entropy of two components,

$$H(y_1, y_2) = H(y_1) + H(y_2) - I(y_1, y_2).$$

Maximizing $H(y_1, y_2)$

minimizing $I(y_1, y_2)$.

Infomax

The learning rule:

$$\Delta \mathbf{W} \propto \frac{\partial H(\mathbf{y})}{\partial \mathbf{W}} \mathbf{W}^T \mathbf{W}$$

Natural gradient
normalization
(Amari)

Is 0 if the two variables
are independent

Historical Remarks

- Herault & Jutten ("Space or time adaptive signal processing by neural network models", *Neural Nets for Computing Meeting*, Snowbird, Utah, 1986): **Seminal paper**
- Bell & Sejnowski (1995): Information maximization (**Infomax**)
- Makeig, Bell, Jung, Sejnowski (1996); ICA decomposition of EEG
- Amari et al. (1996): Natural gradient learning
- Cardoso (1996): Joint approximate diagonalization (JADE)
- Hyvarinen (1999): (fastICA)
- Lee/Girolami (1999): Mixture model ICA (**Extended Infomax**)

Applications of ICA to biomedical signals

- EEG/ERP analysis (Makeig, Bell, Jung & Sejnowski, **NIPS 1996**)
- fMRI analysis (McKeown et al., 1998)
- Fetal/mother ECG separation (Cardoso, 1998)
- Electrocorticography (ECoG) (Whitmer, 2010)

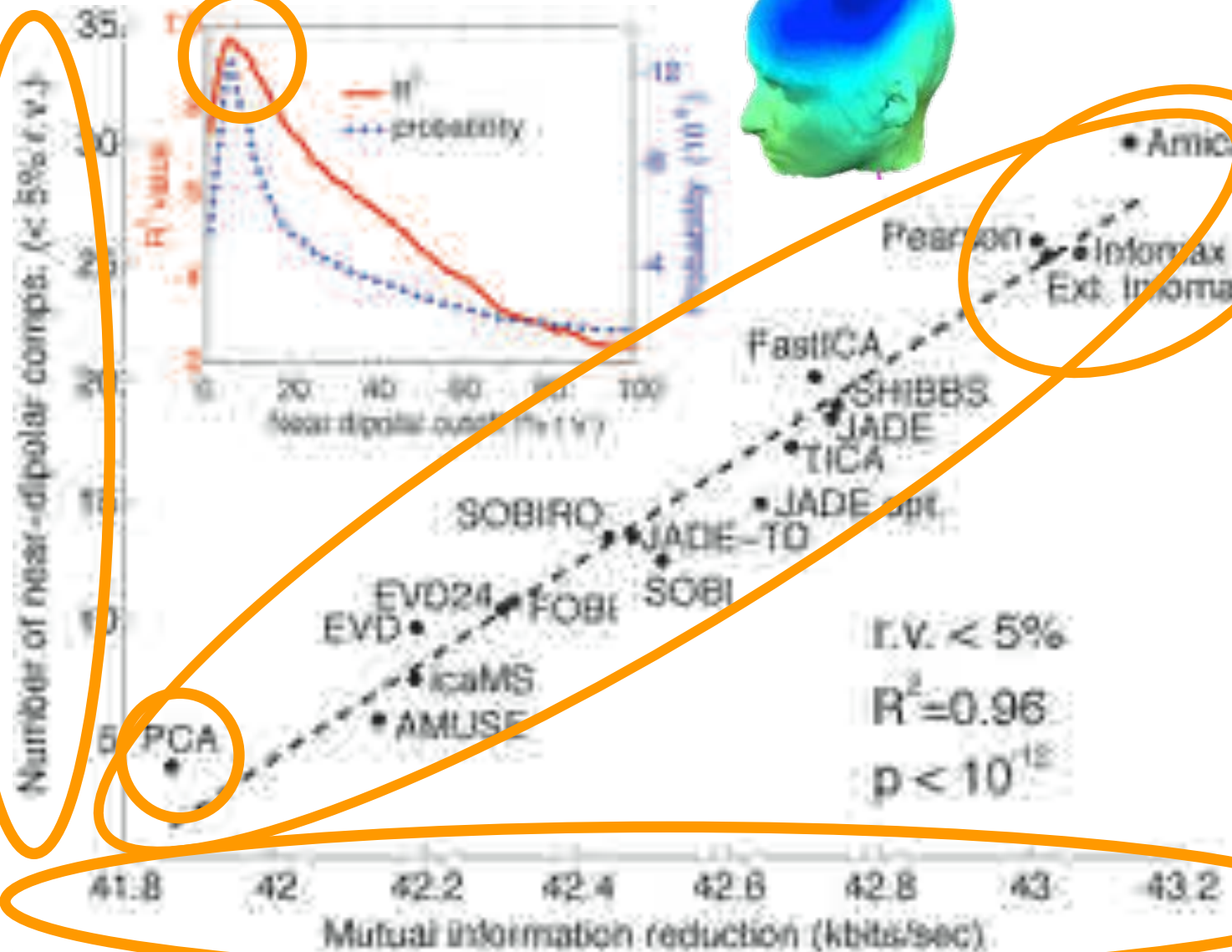
Important Recent Result (2012)

Those linear decompositions of multi-channel EEG data that find ICs whose time courses are **more** temporally **independent** ...

Also find more ICs whose scalp maps are highly '**dipolar**' – i.e., ICs compatible with the spatial projection of a single local cortical (or non-brain, artifactual) source process – whose location can be accurately estimated.

More independent time courses \leftrightarrow **Larger number of dipolar ICs**

Hypothesis: Dipolar ICs = Localized cortical source processes



Delorme et al., *PLOS One*,
 2012

Important Recent Result

Those **linear decompositions** of multi-channel EEG data that find ICs whose time courses are **more** temporally **independent** ...

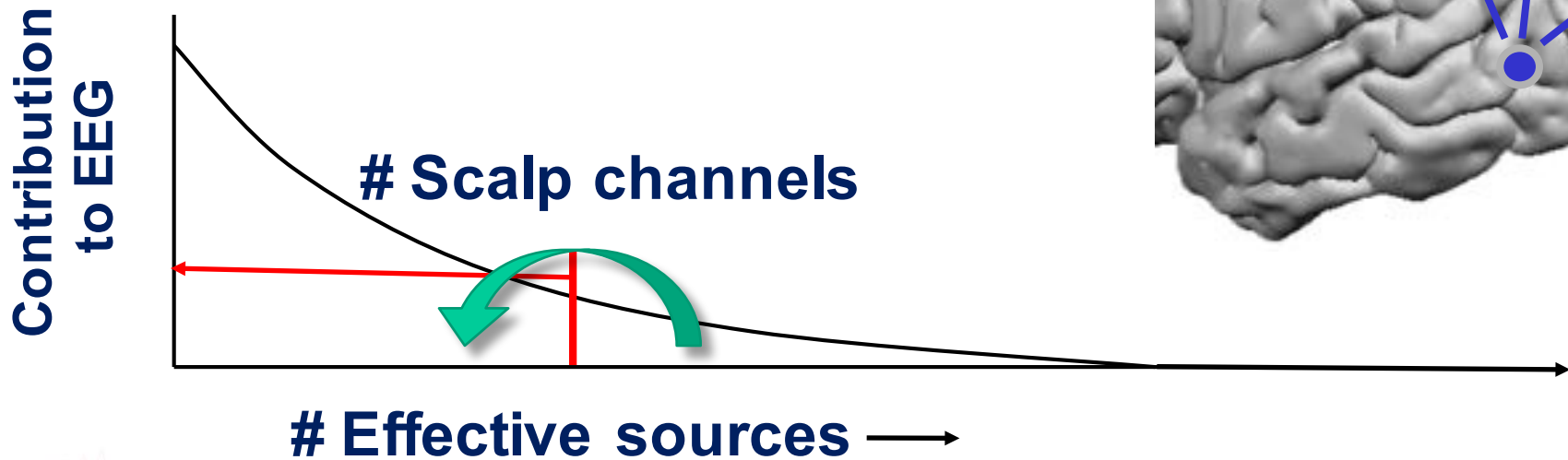
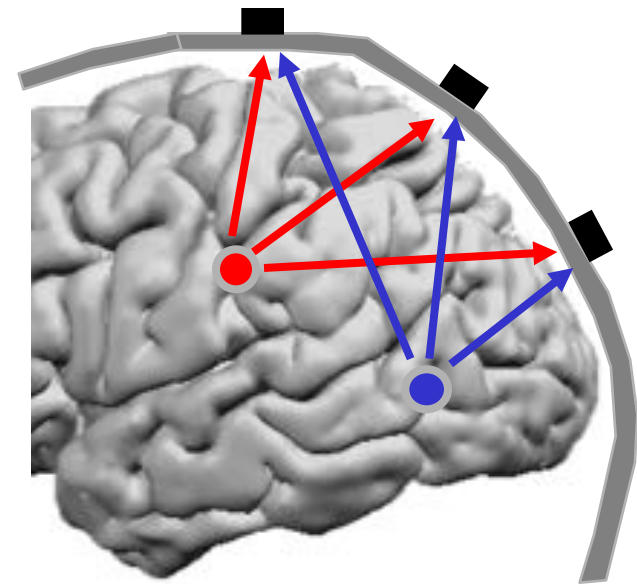
Also find more ICs whose scalp maps are highly '**dipolar**' – i.e., ICs compatible with the spatial projection of a single local cortical (or non-brain, artifactual) source process – whose location can be accurately estimated.

More independent time courses \leftrightarrow **Larger number of dipolar ICs**

Dipolar ICs = Localized cortical source processes

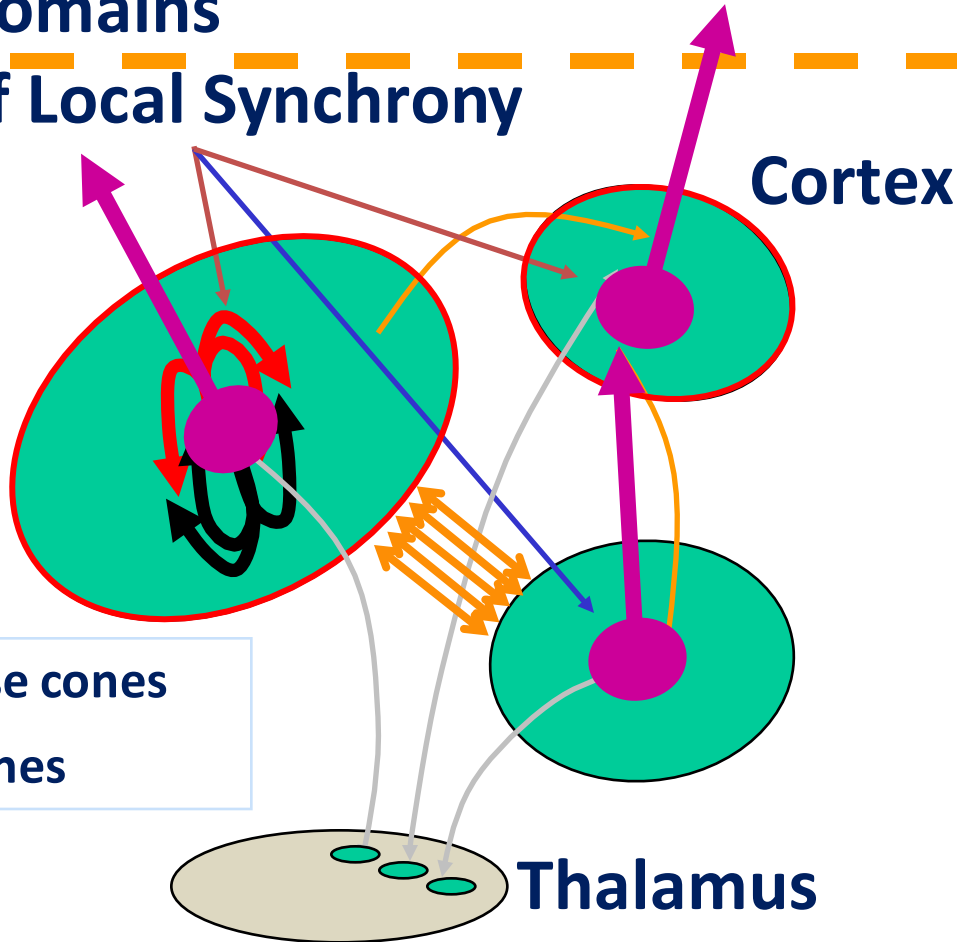
ICA Assumptions

- Mixing is linear at electrodes ✓
- Propagation delays are negligible ✓
- Component locations are fixed ?
- Component time courses are independent ?
- # components \leq # scalp channels ?



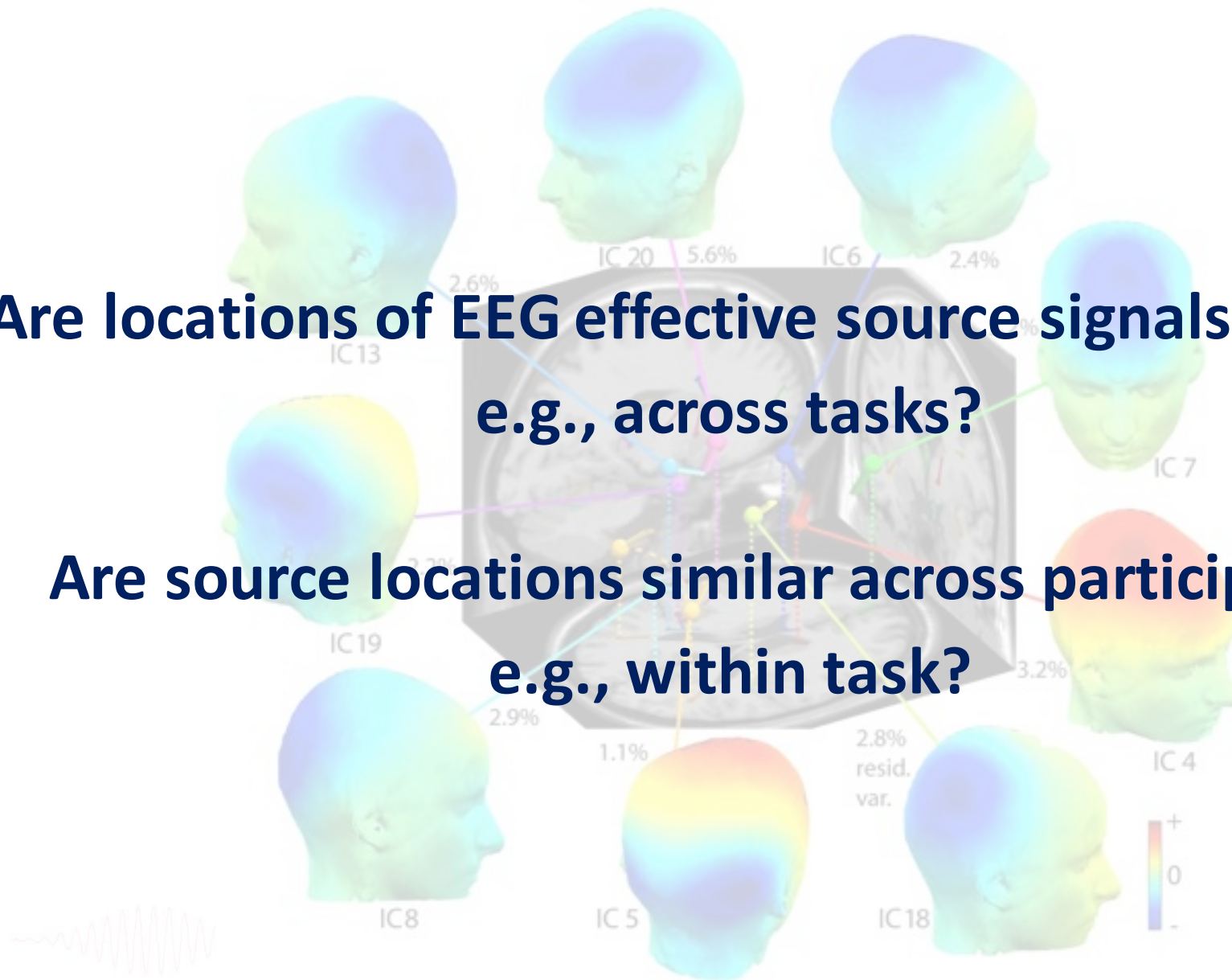
Are EEG effective source signals independent?

Independent
Domains
of Local Synchrony



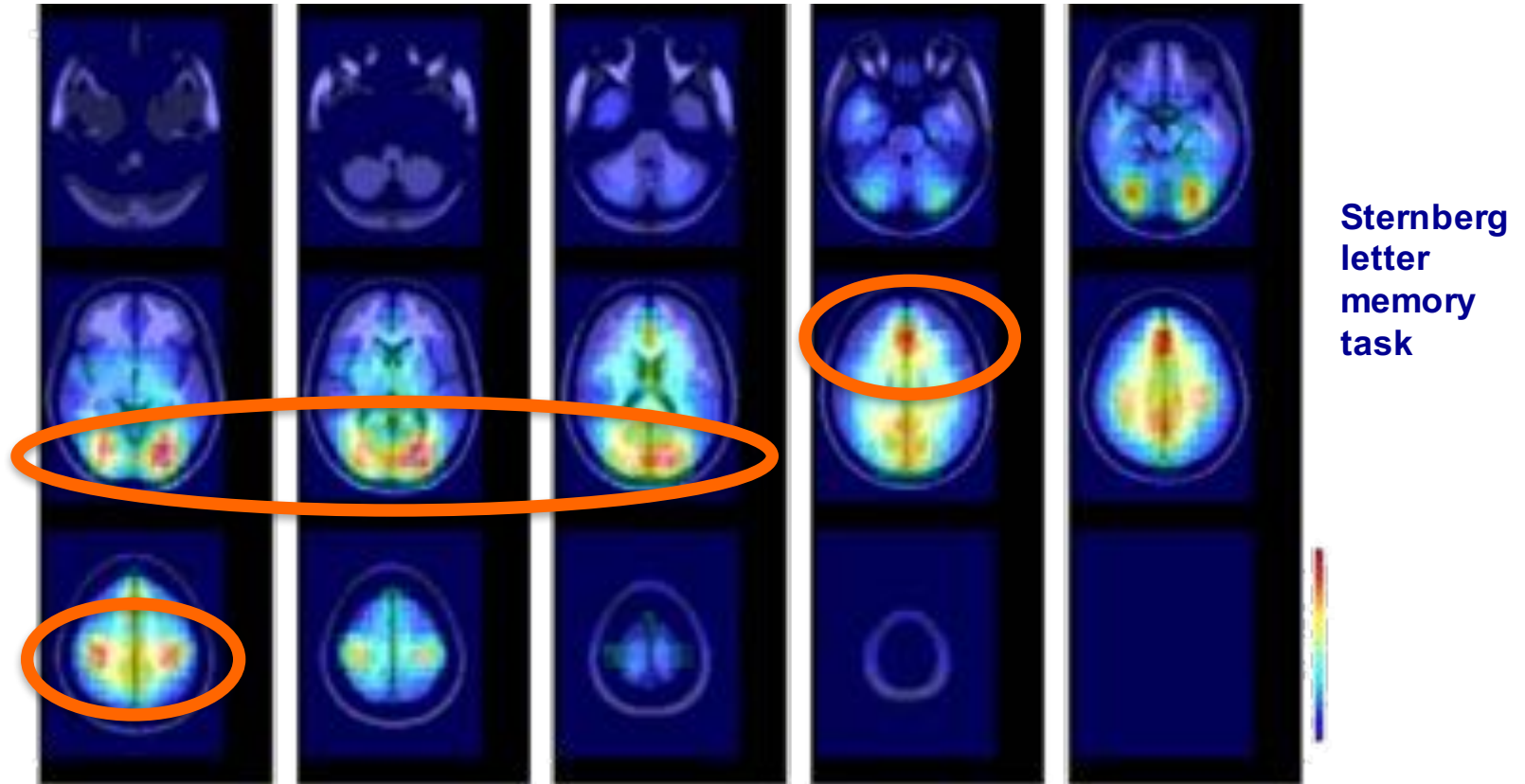
**Are locations of EEG effective source signals similar?
e.g., across tasks?**

**Are source locations similar across participants?
e.g., within task?**



Effective Source Density

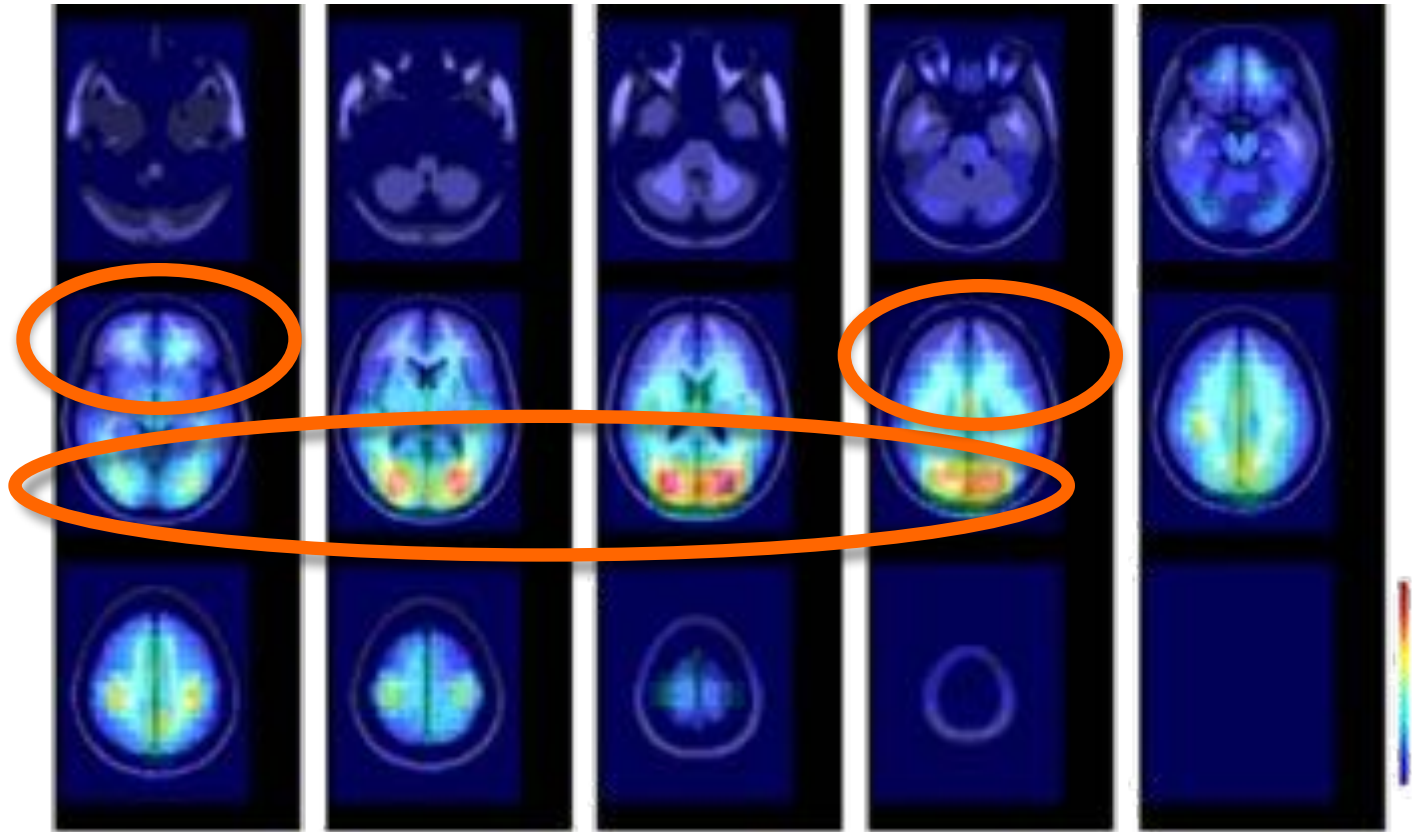
Visual Working Memory



dipoledensity()

Effective Source Density

Eyes-closed emotion imagination

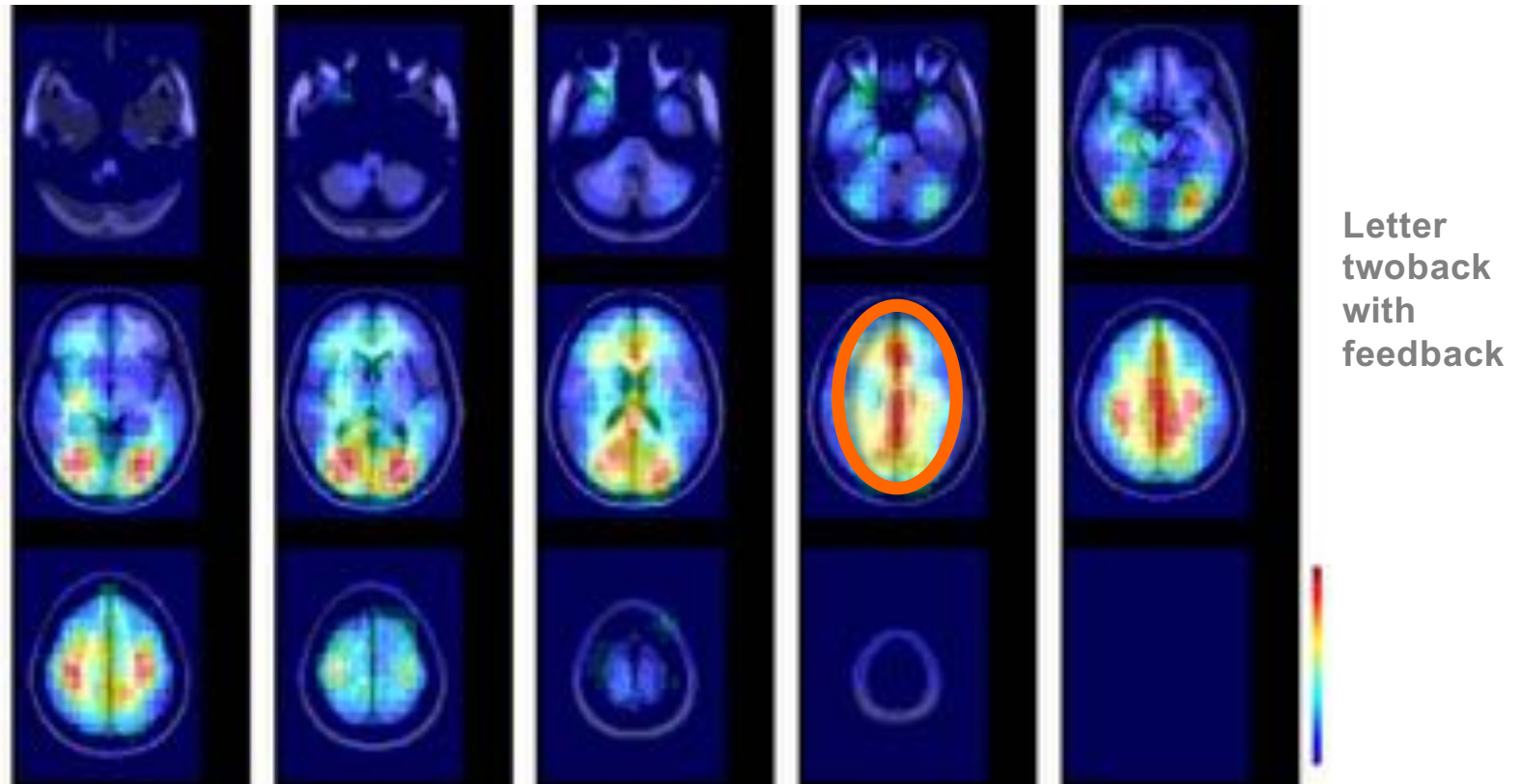


>> dipoledensity()



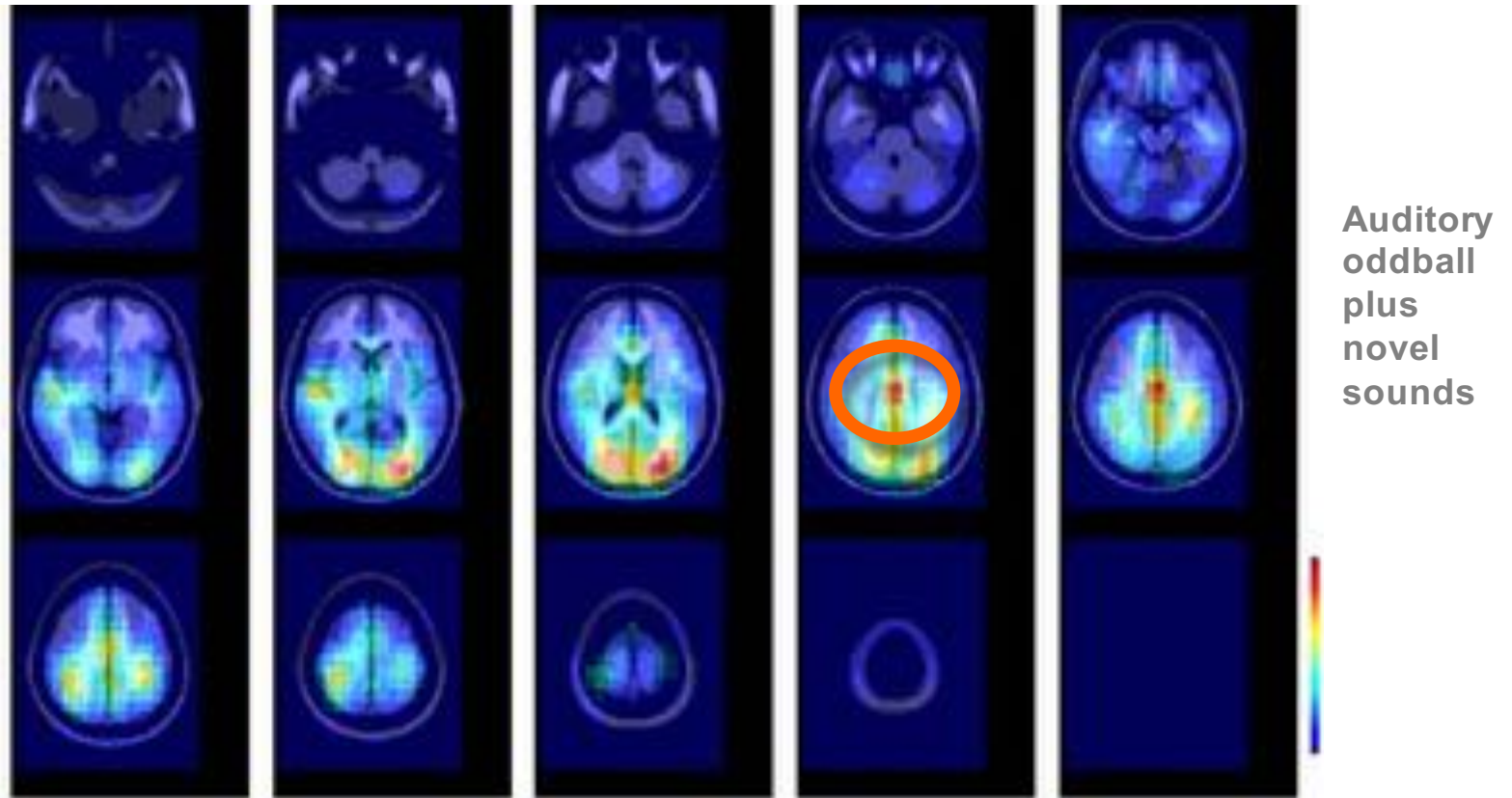
Effective Source Density

Letter twoback with feedback



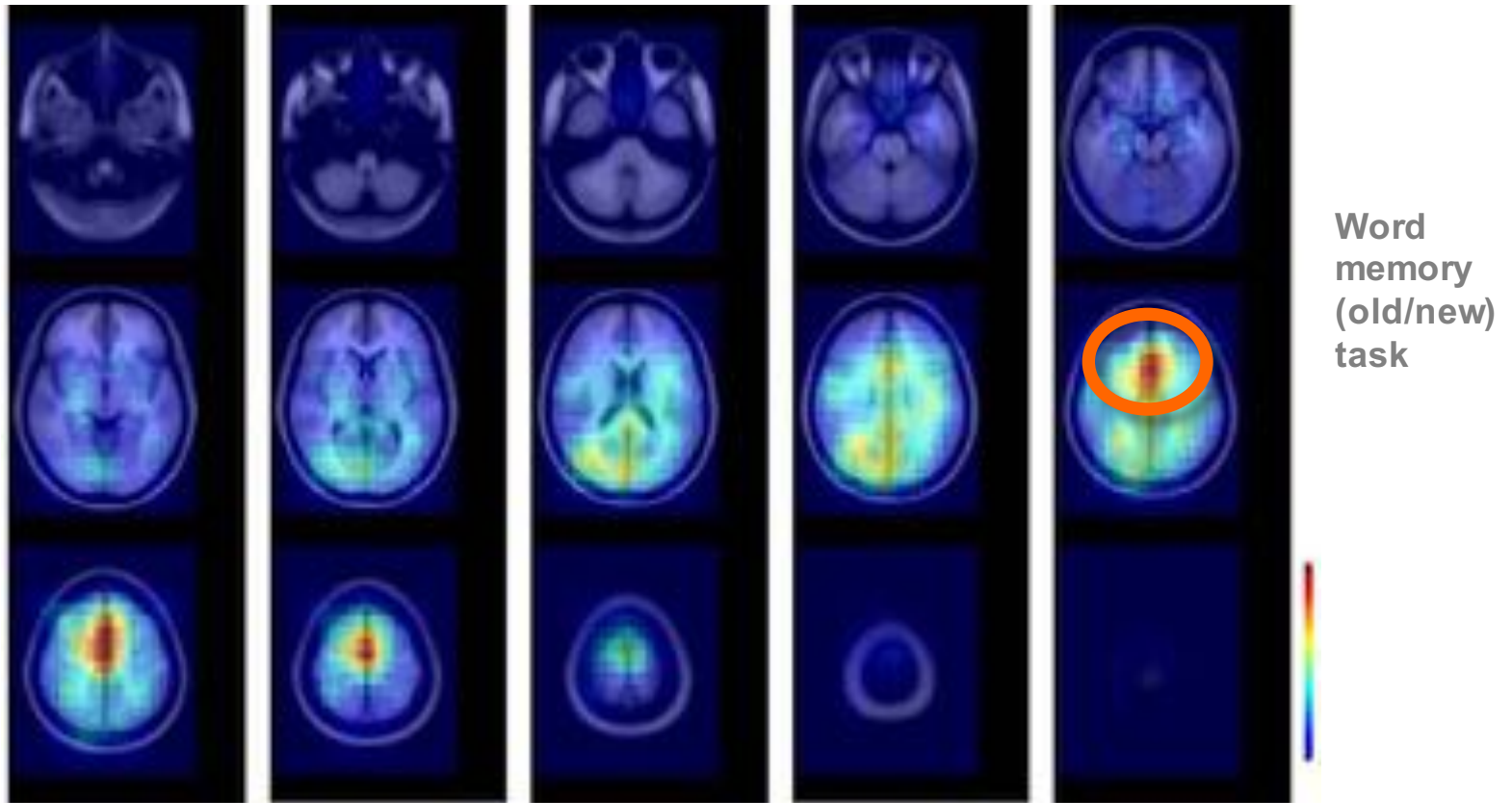
Effective Source Density

Auditory novelty oddball



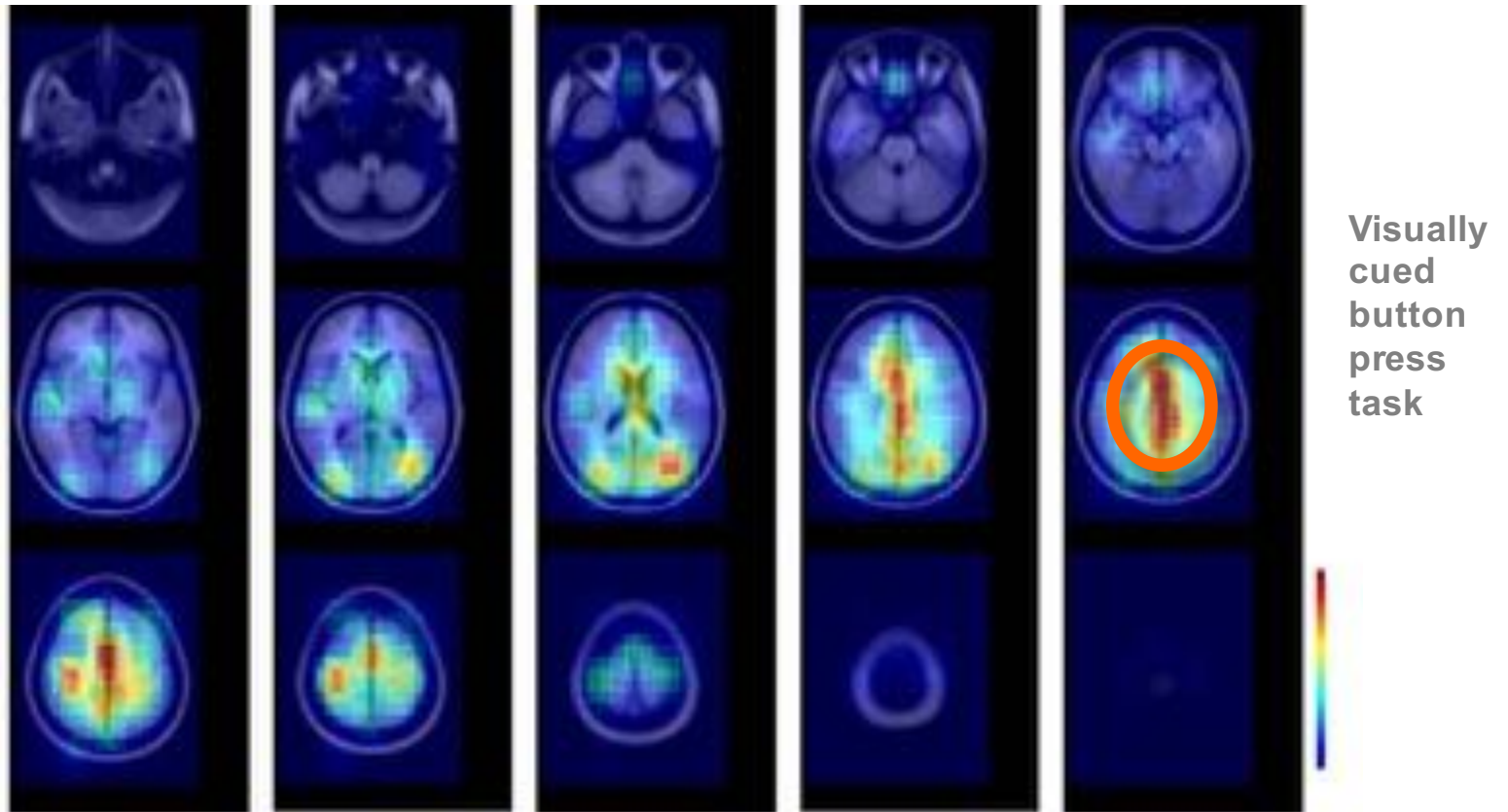
Effective Source Density

A. Old/new word memory

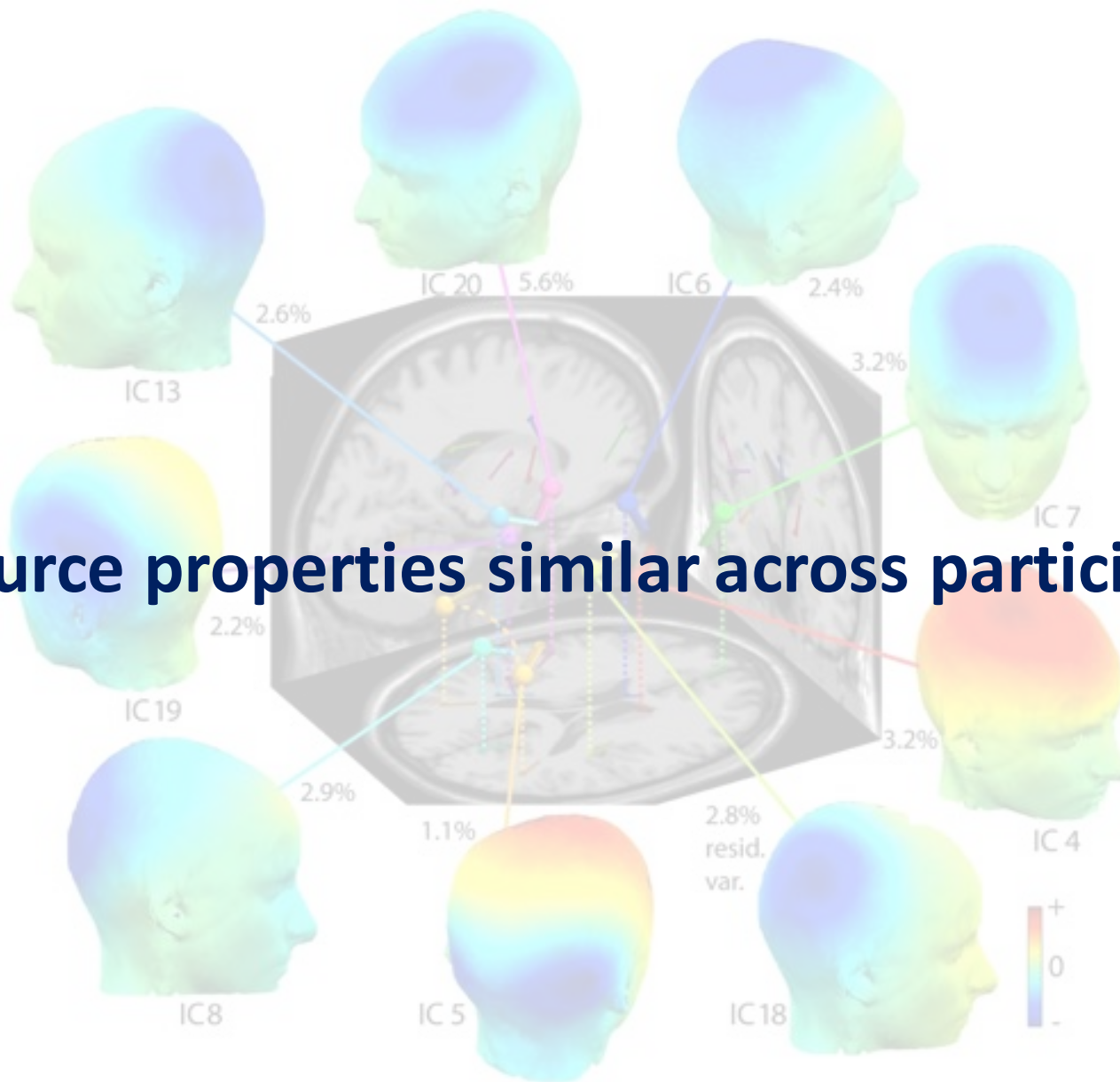


Effective Source Density

B. Visually cued selective response



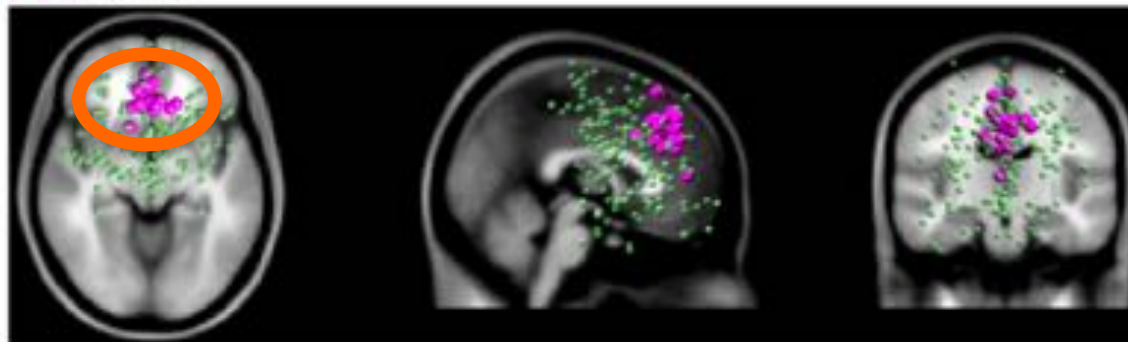
Are source properties similar across participants?



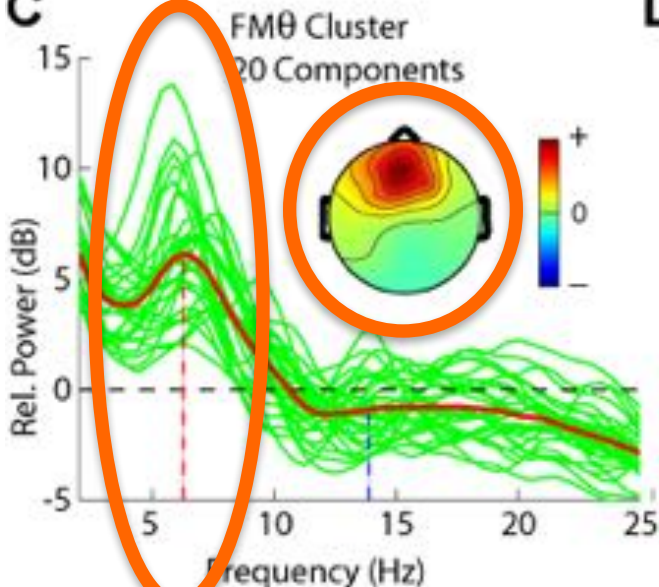
Example: frontal midline theta cluster

B

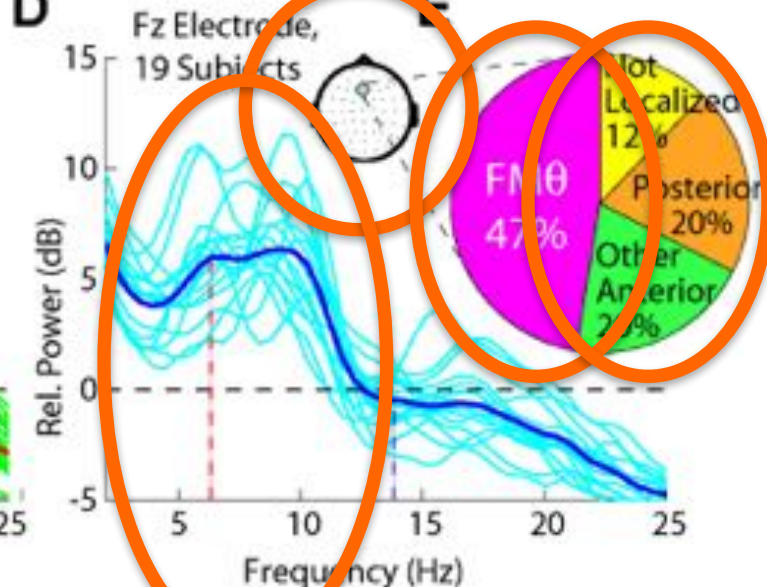
FM θ Cluster



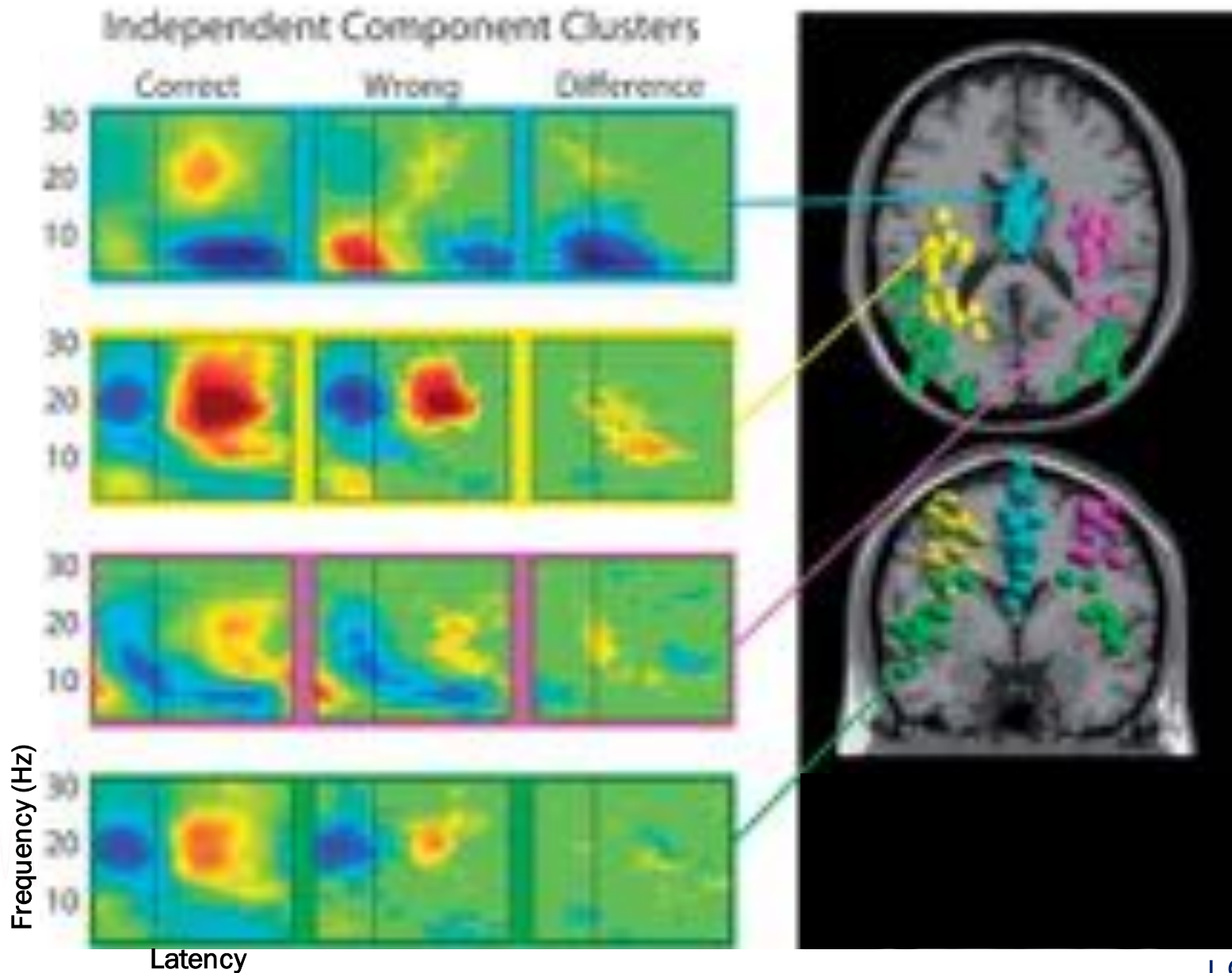
C



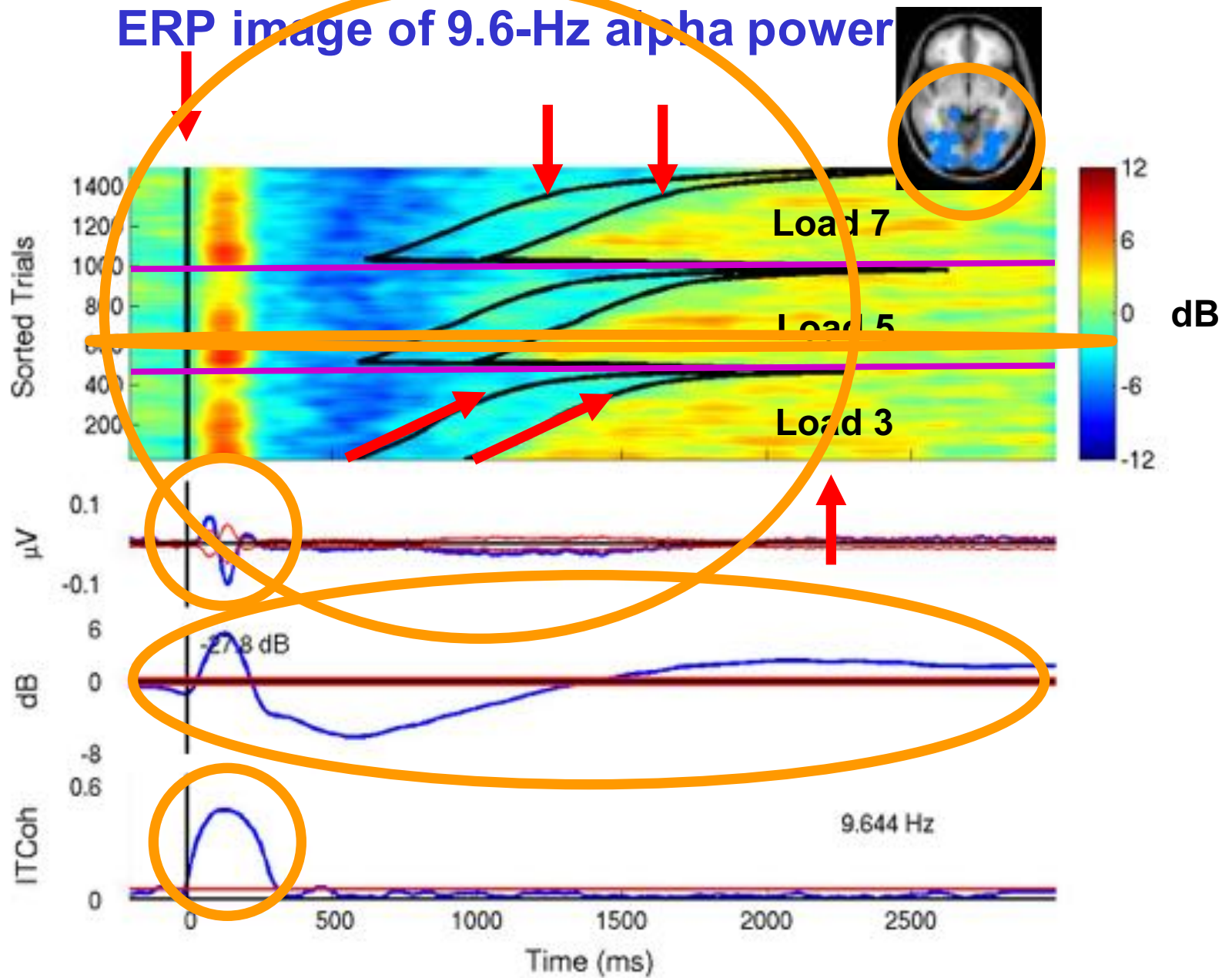
D



Goal: To cluster equivalent ICs across subjects

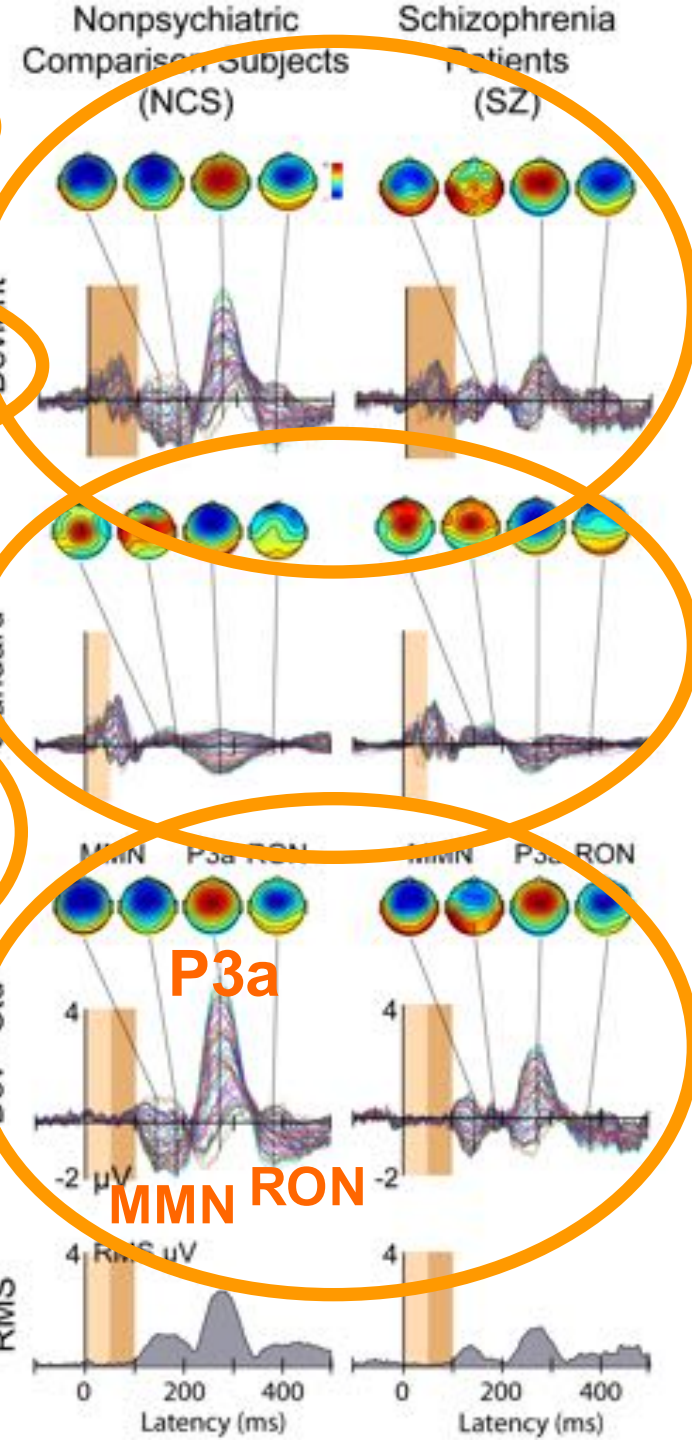
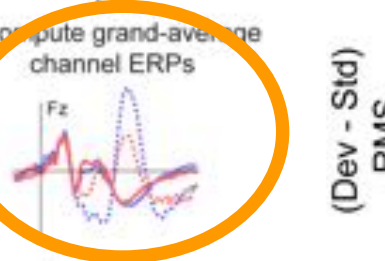
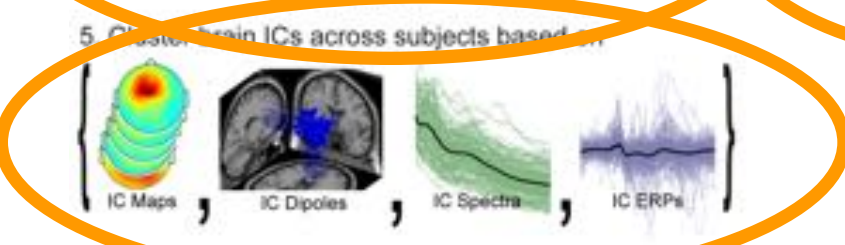
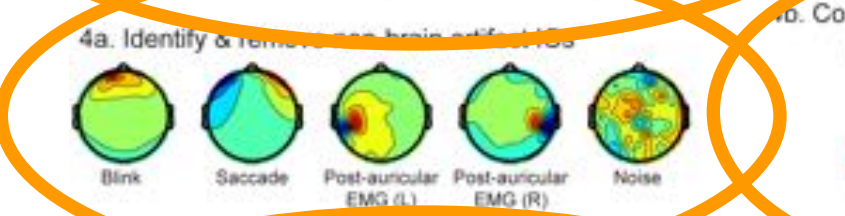
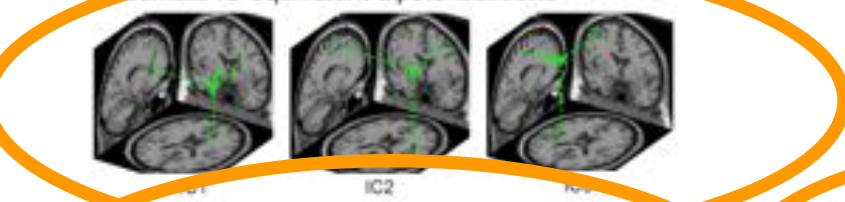
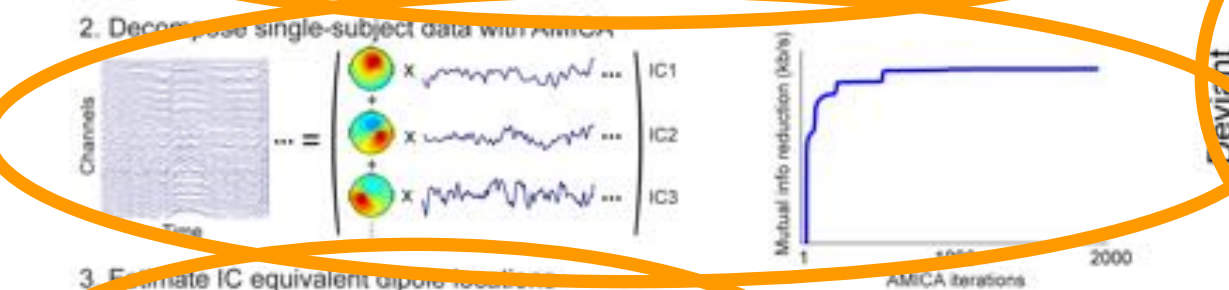


ERP image of 9.6-Hz alpha power

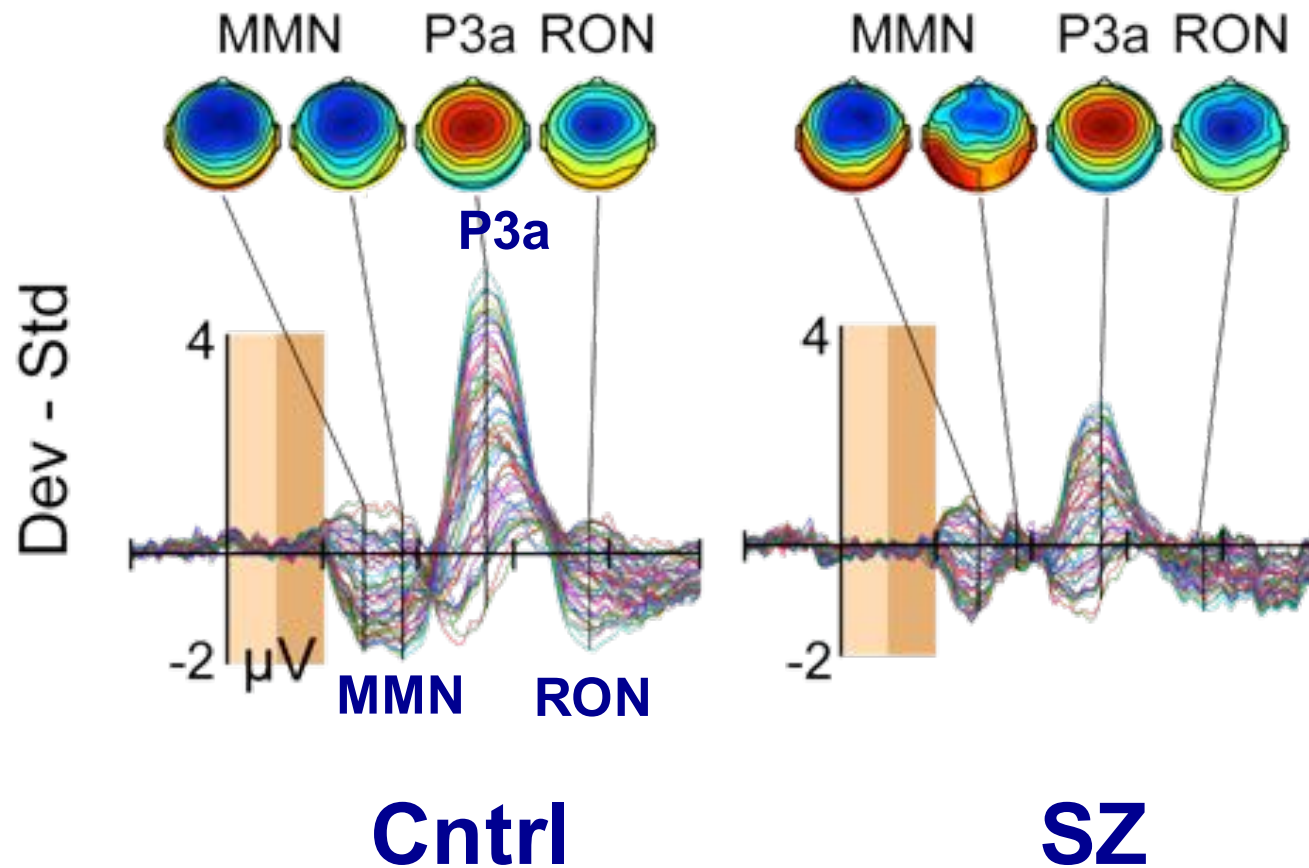


erpimage()

Onton, Delorme & Makeig, 2005.



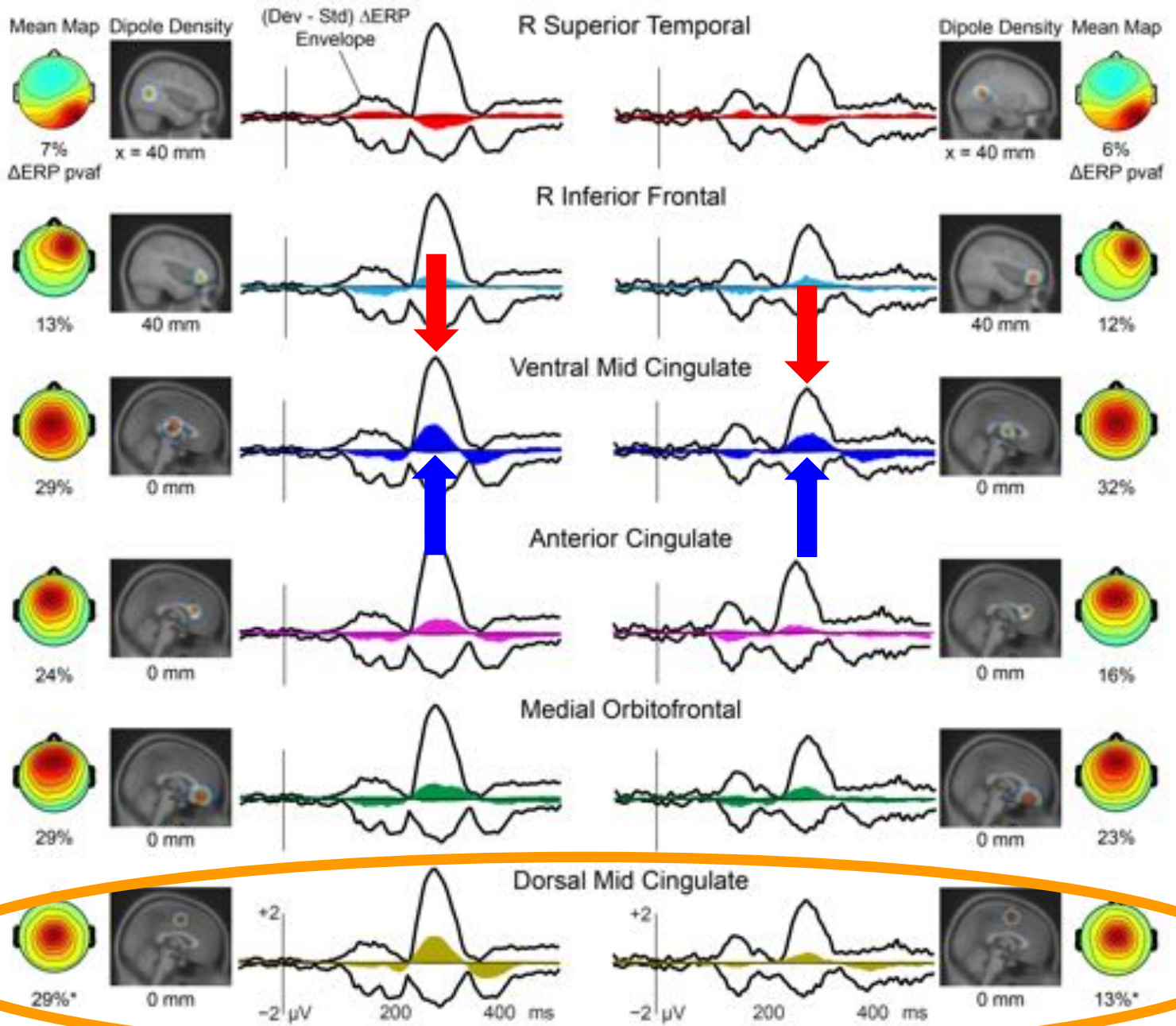
Auditory Deviance Response



The deepest mental trap in electrophysiology
lurks in the word "THE" !!!

Nonpsychiatric Comparison Subjects (NCS)

Schizophrenia Patients (SZ)



PEAK AMPLITUDES

ERP

r²

Scalp Electrode (Fz)

Verbal IQ (WRAT)	P3a	0.11
Functional Capacity (UPS)	RON	0.12

X

R Superior Temporal

Working Memory (LNS Reorder)	RON	0.15
Verbal IQ (WRAT)	RON	0.15
Immediate Verbal Memory (CVLT)	RON	0.28
Delayed Verbal Memory (CVLT)	RON	0.26
Functional Capacity (UPSA)	MMN	0.48
Functional Capacity (UPSA)	RON	0.26

R Inferior Frontal

Negative Symptoms (SANS)	RON	0.36
Psychosocial Functioning (SOF)	RON	0.24
Auditory Attention (LNS Forward)	MMN	0.38
Working Memory (LNS Reorder)	MMN	0.30
Verbal IQ (WRAT)	MMN	0.46

Ventral Mid Cingulate

Positive Symptoms (SAPS)	RON	0.29
Negative Symptoms (SANS)	P3a	0.36
Immediate Verbal Memory (CVLT)	RON	0.41
Delayed Verbal Memory (CVLT)	RON	0.24
Verbal IQ (WRAT)	RON	0.29
Executive Functioning (WCST)	RON	0.24

Anterior Cingulate

Functional Status (GAF)	MMN	0.18
Functional Status (GAF)	RON	0.17
Immediate Verbal Memory (CVLT)	RON	0.25
Delayed Verbal Memory (CVLT)	RON	0.17

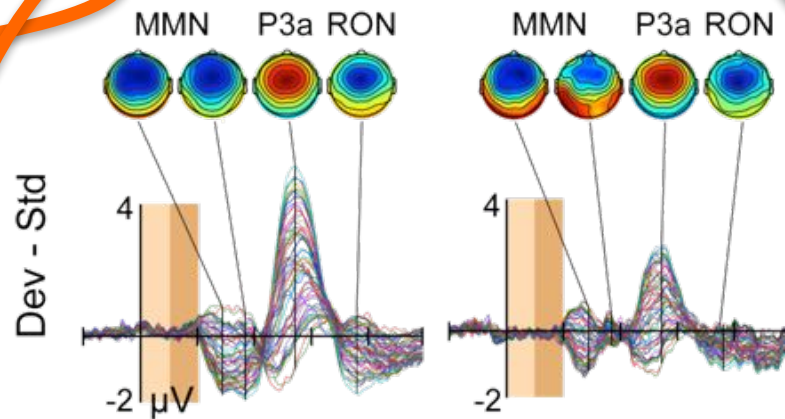
Medial Orbitofrontal

Positive Symptoms (SAPS)	P3a	0.40
Negative Symptoms (SANS)	P3a	0.54
Psychosocial Functioning (SOF)	P3a	0.37
Functional Capacity (UPSA)	P3a	0.32

Dorsal Mid Cingulate

Verbal IQ (WRAT)	P3a	0.15
Executive Functioning (WCST)	MMN	0.18

ADR



Cntrl

SZ

PEAK LATENCIES

ERP

r²

Scalp Electrode (Fz)

---n/a---

R Superior Temporal

Functional capacity (UPSA)

MMN

0.25

Delayed Verbal Memory (CVLT)

MMN

0.17

R Inferior Frontal

Negative Symptoms (SANS)

RON

0.51

Psychosocial Functioning (SOF)

RON

0.25

Executive Functioning (WCST)

MMN

0.30

Executive Functioning (WCST)

P3a

0.28

Ventral Mid Cingulate

Negative Symptoms (SANS)

P3a

0.33

Negative Symptoms (SANS)

RON

0.33

Psychosocial Functioning (SOF)

P3a

0.31

Verbal IQ (WRAT)

MMN

0.25

Executive Functioning (WCST)

P3a

0.30

Anterior Cingulate

Functional Capacity (UPSA)

RON

0.17

Verbal IQ (WRAT)

MMN

0.24

Auditory Attention (LNS-Forward)

MMN

0.17

Medial Orbitofrontal

Negative Symptoms (SANS)

RON

0.41

Positive Symptoms (SAPS)

RON

0.40

Auditory Attention (LNS-Forward)

MMN

0.29

Executive Functioning (WCST)

P3a

0.32

Dorsal Mid Cingulate

Negative Symptoms (SANS)

MMN

0.20

Negative Symptoms (SANS)

P3a

0.17

Global Functioning (GAF)

RON

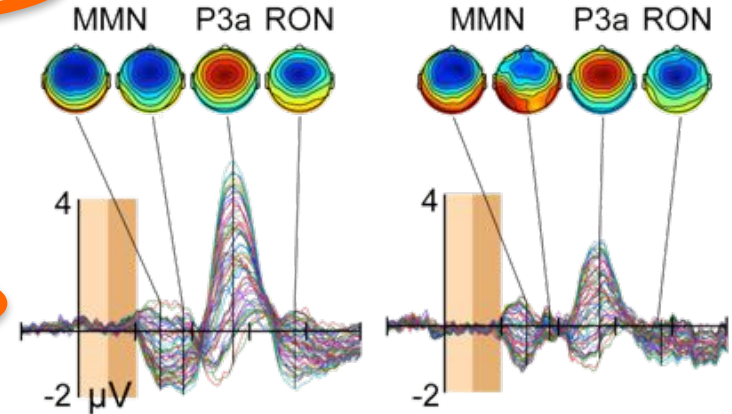
0.24

Functional Capacity (UPSA)

P3a

0.13

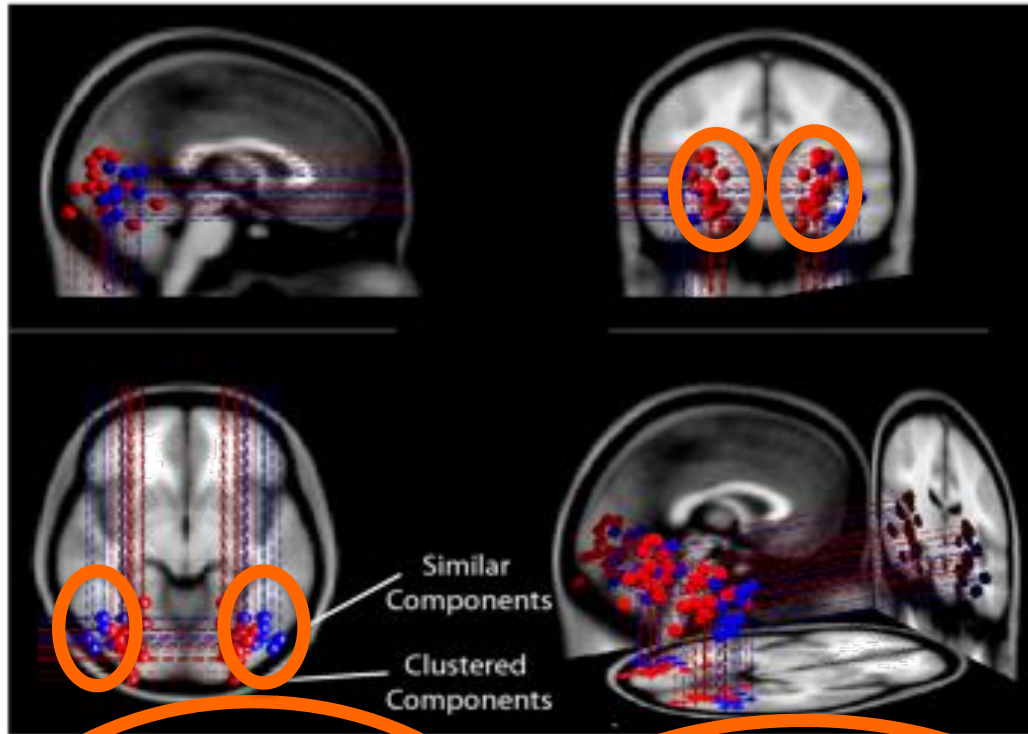
ADR



Cntrl

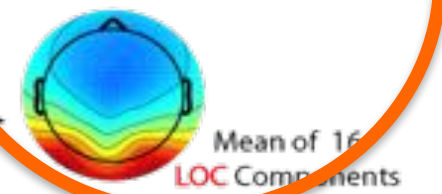
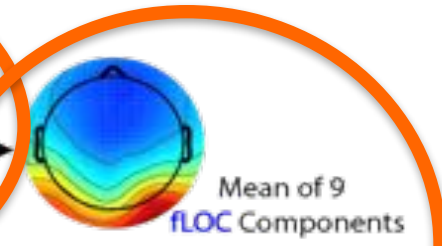
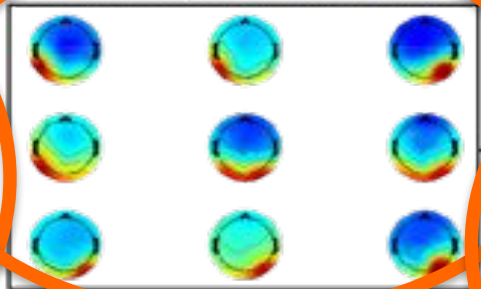
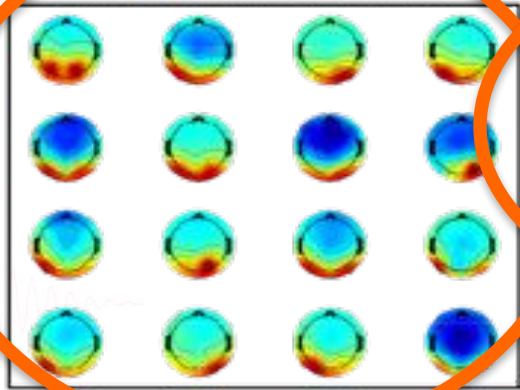
SZ

Why aren't all participants in every IC cluster?

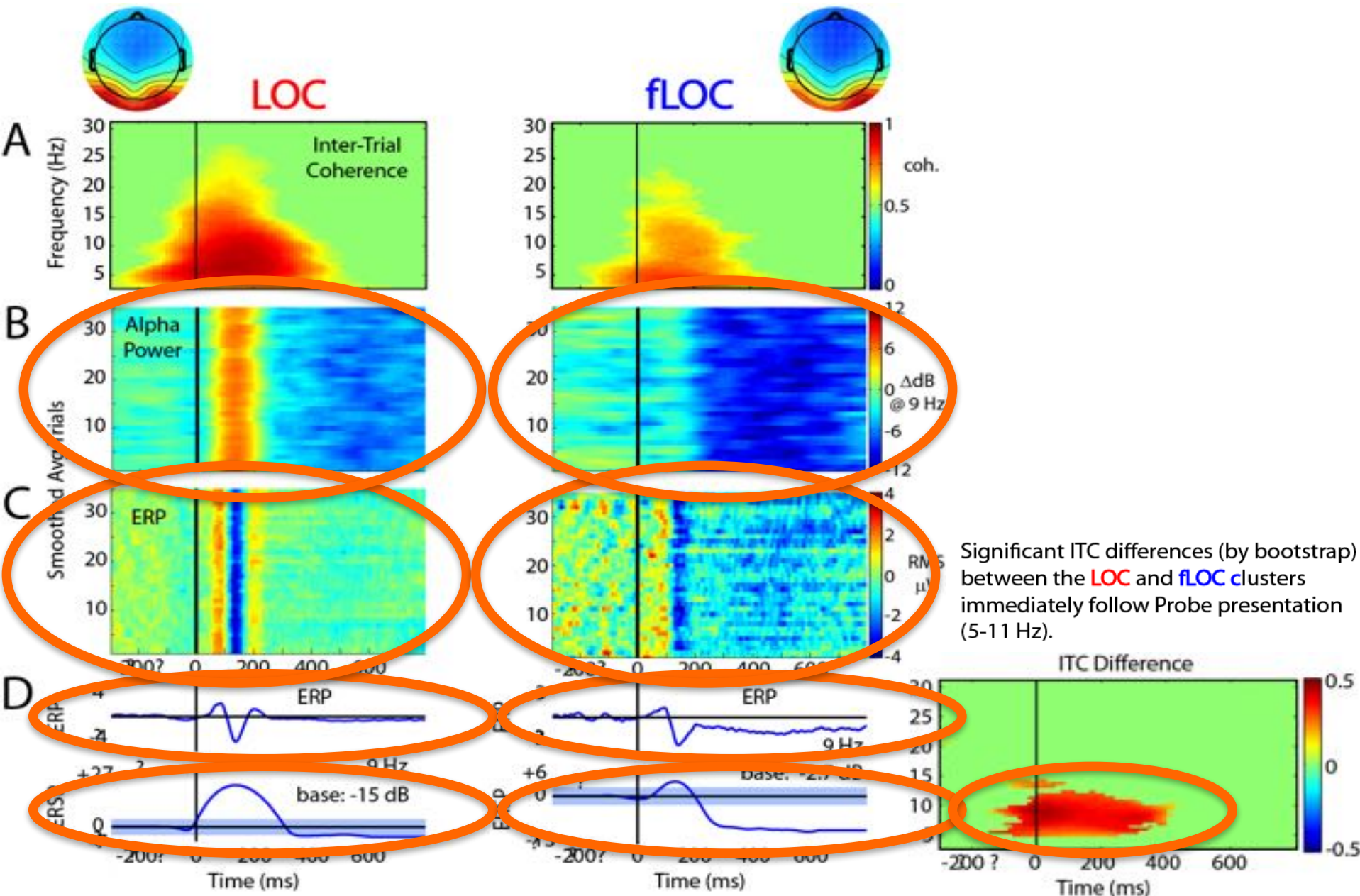


Clustered LOC Components (16 5s)

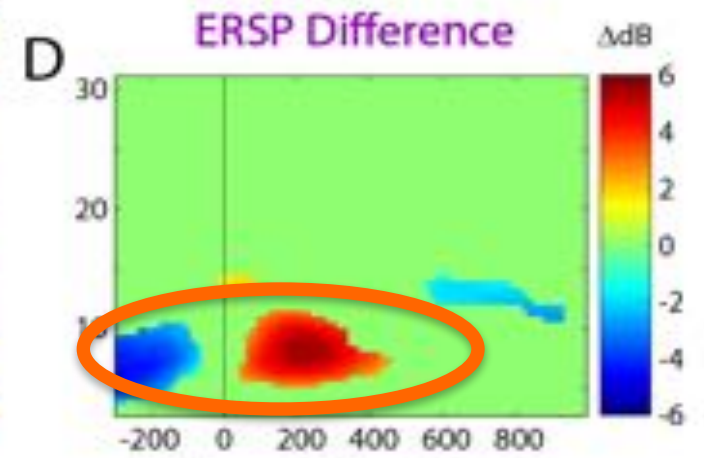
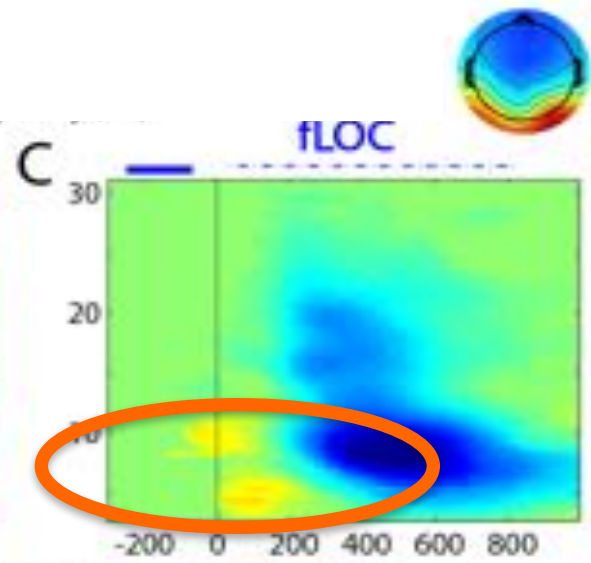
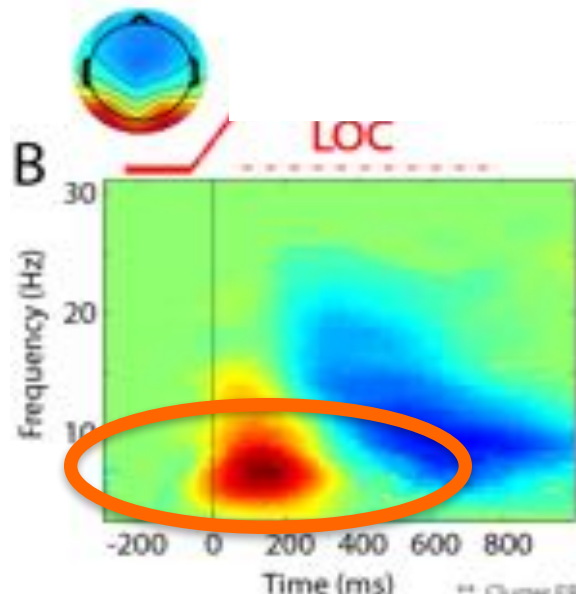
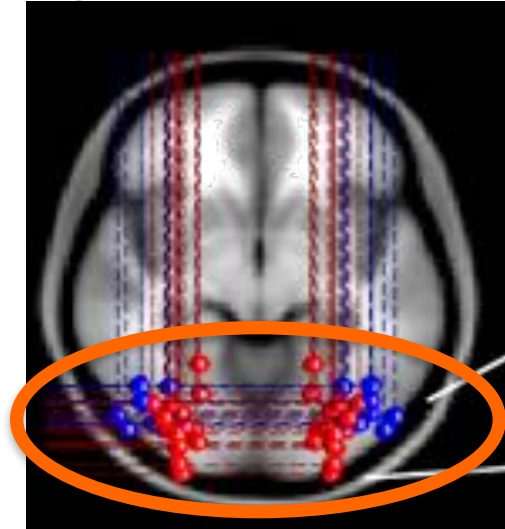
Similar Components (FLOC) (9 5s)



Subject differences?



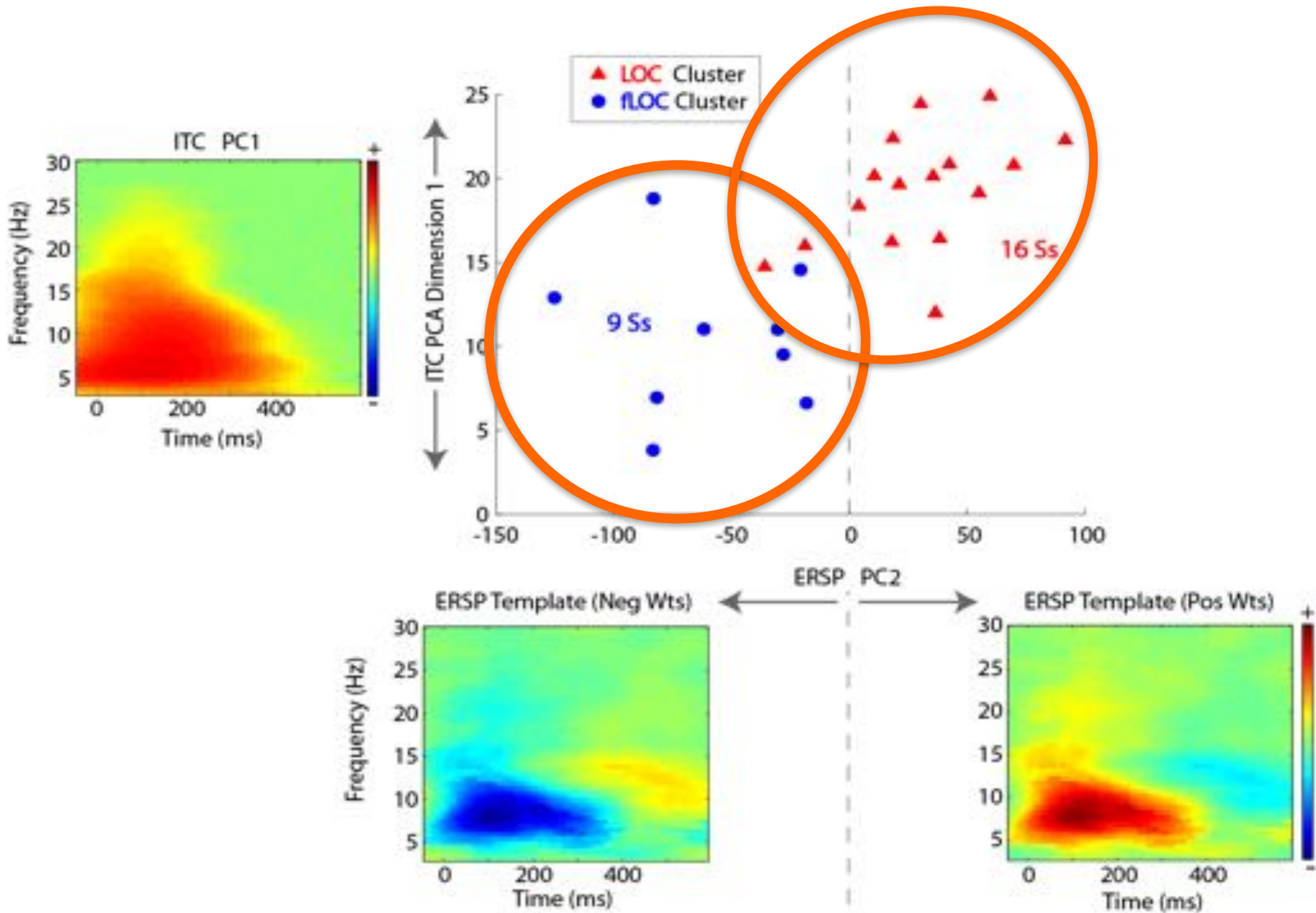
Subject differences?



** Cluster ERSPs show significant activity determined by bootstrap statistics within subject and binomial probability between subjects ($p < 0.01$)

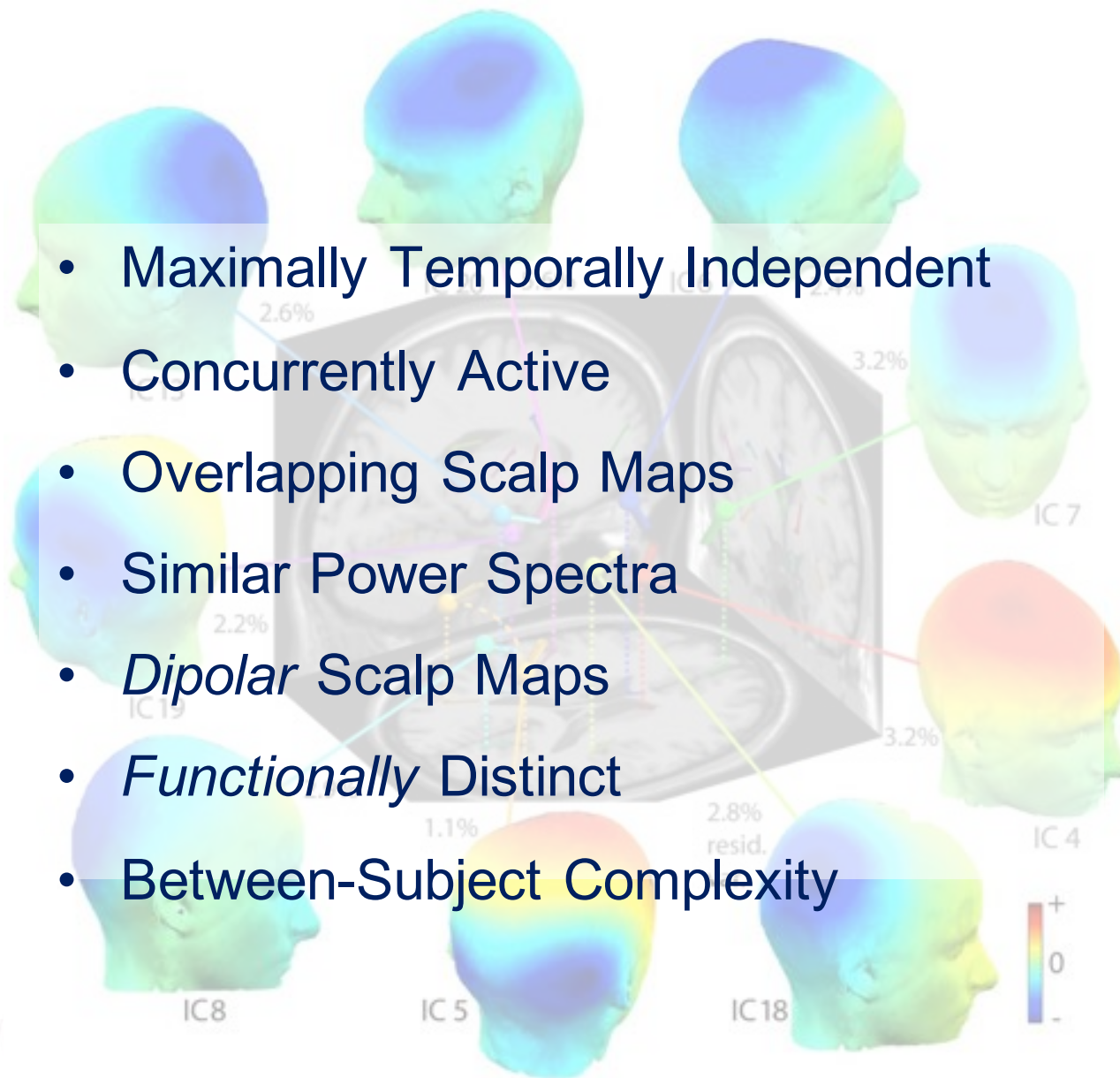
*** Difference ERSP shows significant differences between the two clusters by bootstrap statistics ($p < 0.001$)

Subject differences?

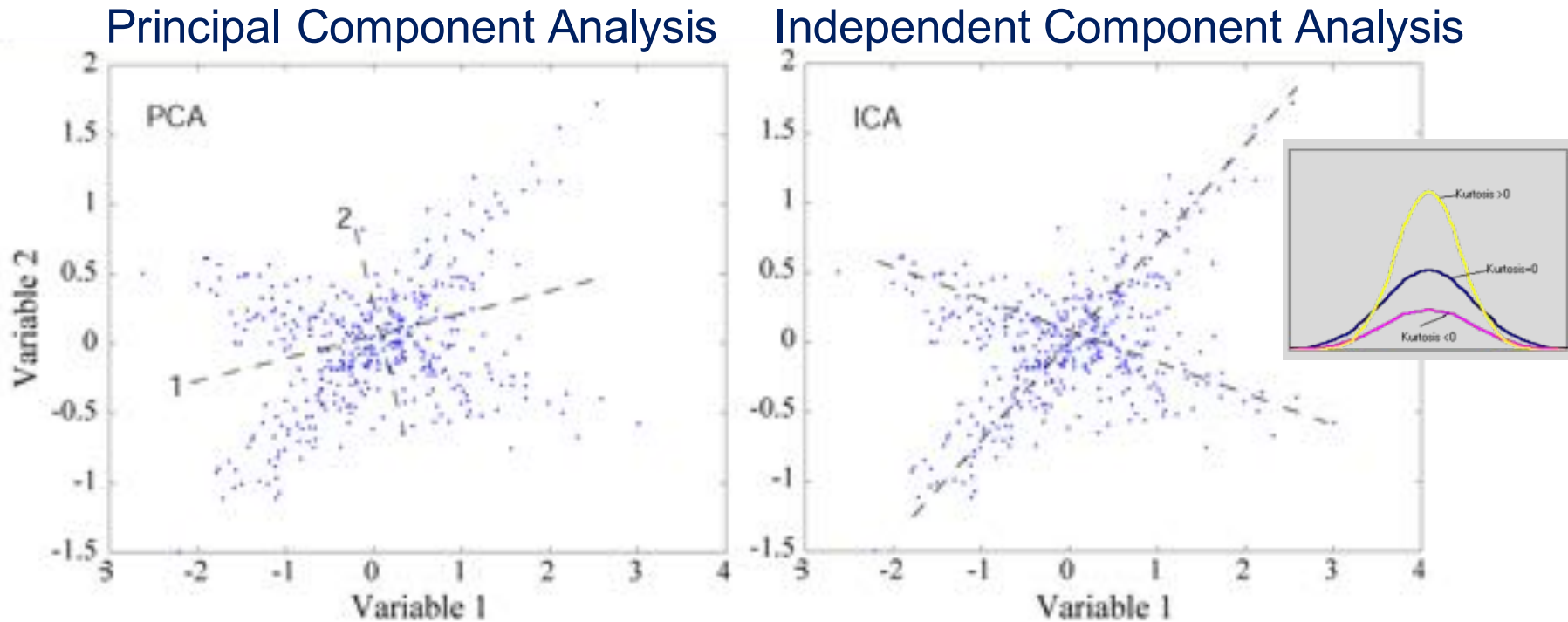


Properties of EEG Independent Components

- Maximally Temporally Independent
- Concurrently Active
- Overlapping Scalp Maps
- Similar Power Spectra
- *Dipolar* Scalp Maps
- *Functionally* Distinct
- Between-Subject Complexity



ICA vs. PCA



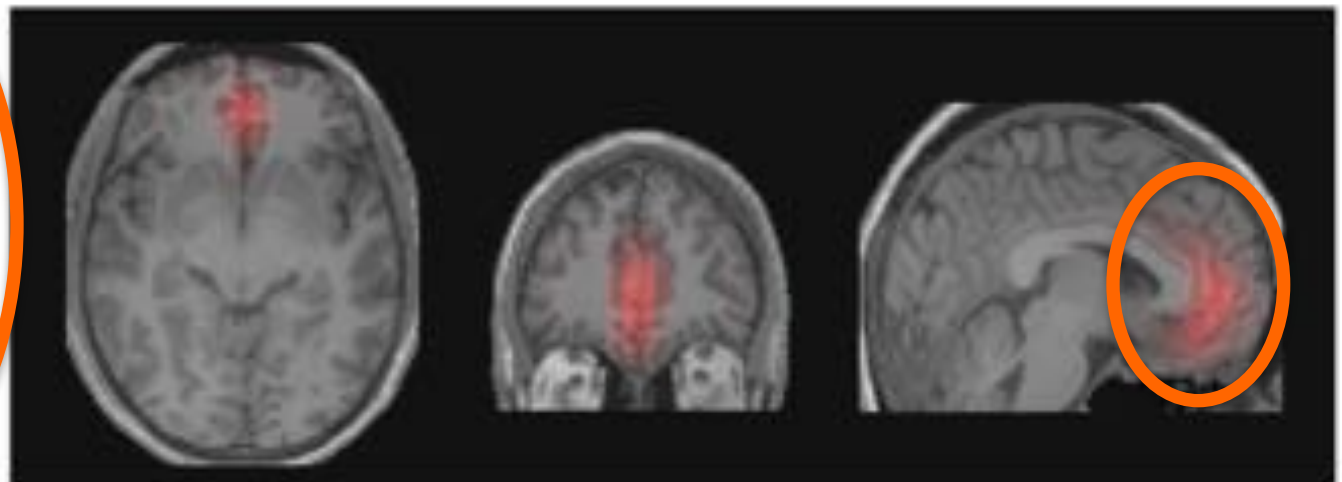
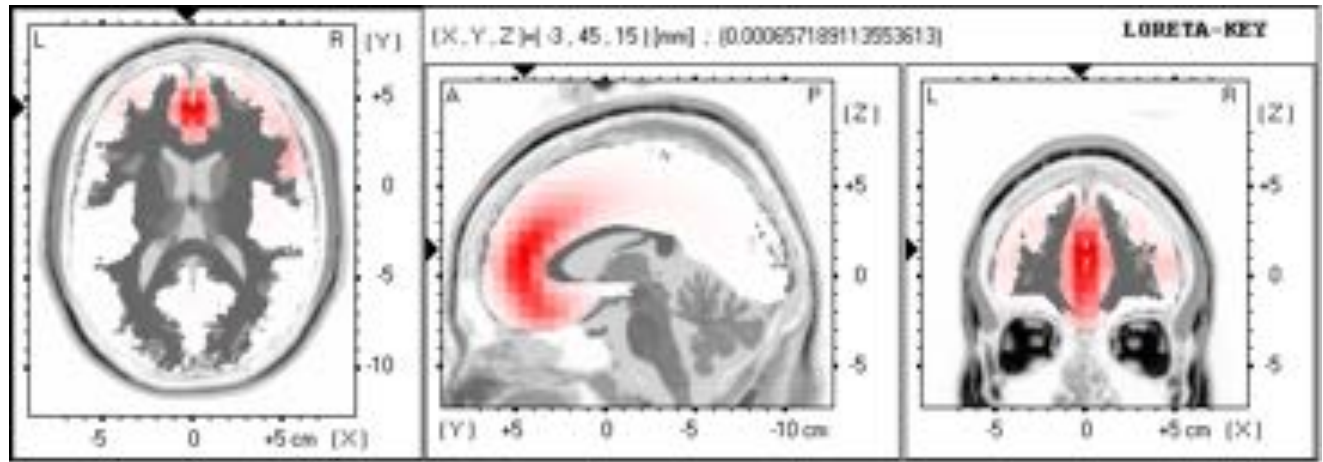
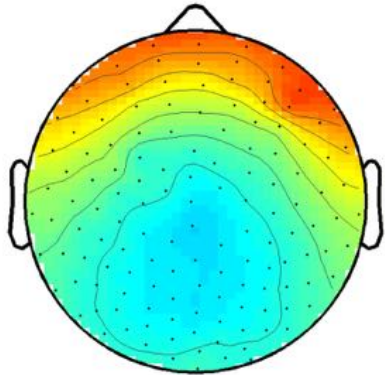
PCA simply decorrelates the outputs using an **orthogonal mixing matrix**.

PCA makes each successive component account for as much **variance** in the data as possible.

ICA makes each component account for as much **temporally independent information** in the data as possible, with no constraints on the mixing matrix.

PCA lumps – ICA splits!

EEG Source Localization



Independent fMRI Components

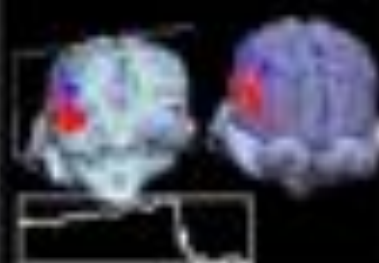
Consistently task-related



Transiently task-related



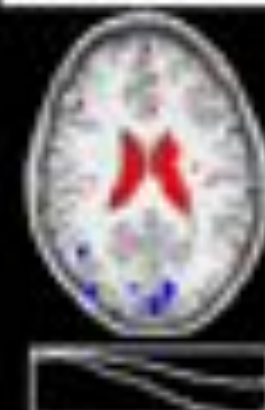
Abrupt head movement



Quasi-periodic



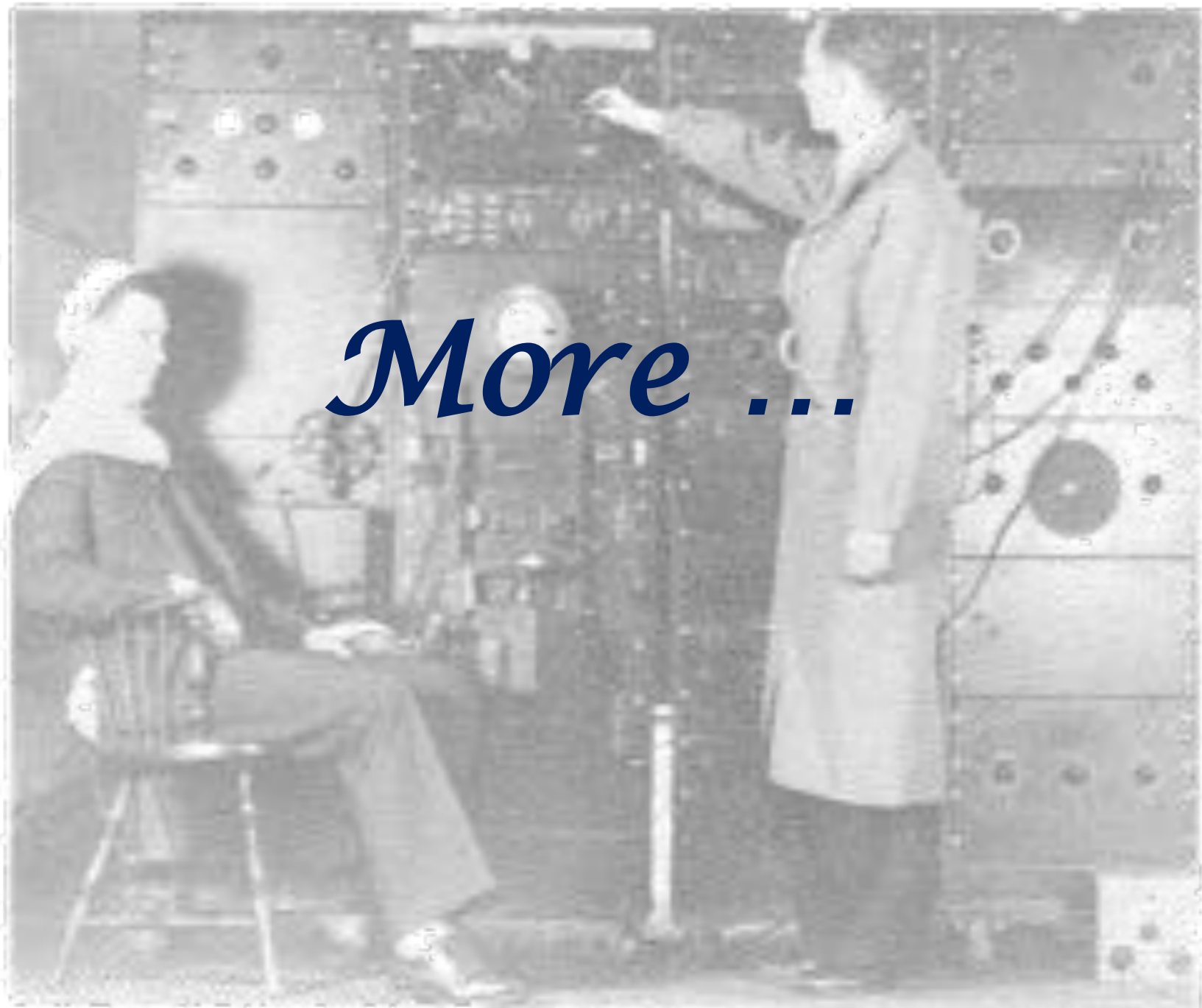
Slowly-varying



Slow head movement



■ Activated
■ Suppressed



More ...